

# MATH5735 Modules and Representation Theory

## Lecture Notes

Joel Beeren

Semester 1, 2012

### Contents

<b>1</b>	<b>Why study modules?</b>	<b>4</b>
1.1	Setup . . . . .	4
1.2	How do you study modules? . . . . .	4
<b>2</b>	<b>Rings and Algebras</b>	<b>4</b>
2.1	Rings . . . . .	4
2.2	Algebras . . . . .	5
<b>3</b>	<b>Some examples of Algebras</b>	<b>6</b>
3.1	Free Algebra . . . . .	7
3.2	Weyl Algebra . . . . .	7
<b>4</b>	<b>Module Basics</b>	<b>9</b>
4.1	Submodules and Quotient Modules . . . . .	10
<b>5</b>	<b>Module Homomorphisms</b>	<b>11</b>
5.1	Isomorphism Theorems . . . . .	12
5.2	Universal property of quotients . . . . .	13
<b>6</b>	<b>Direct Sums and Products</b>	<b>14</b>
6.1	Canonical Injections and Projections . . . . .	14
6.2	Universal Property . . . . .	15
6.3	Decomposability . . . . .	16
<b>7</b>	<b>Free Modules and Generators</b>	<b>16</b>
7.1	Free Modules . . . . .	16
7.2	Generating Submodules . . . . .	17
<b>8</b>	<b>Modules over a PID</b>	<b>18</b>
8.1	Structure theorem for modules over a PID . . . . .	19
8.2	Elementary Row and Column Operations . . . . .	20
<b>9</b>	<b>Proof of Structure Theorem</b>	<b>20</b>
<b>10</b>	<b>Applications of the Structure Theorem</b>	<b>22</b>
10.1	Endomorphism Ring . . . . .	23
10.2	Jordan Canonical Forms . . . . .	24

<b>11 Exact Sequences and Splitting</b>	<b>24</b>
11.1 Exact Sequences . . . . .	24
11.2 Splitting . . . . .	25
11.3 Idempotents . . . . .	26
<b>12 Chain Conditions</b>	<b>27</b>
12.1 Submodules and Quotients . . . . .	27
12.2 Finite Generation . . . . .	28
<b>13 Noetherian Rings</b>	<b>29</b>
13.1 Almost Normal Extensions . . . . .	29
13.2 Hilbert's Basis Theorem . . . . .	30
<b>14 Composition Series</b>	<b>31</b>
14.1 Simple Modules . . . . .	31
14.2 Composition series . . . . .	31
14.3 Jordan Hölder Theorem . . . . .	32
<b>15 Semisimple Modules</b>	<b>33</b>
<b>16 Semisimple rings and Group Representations</b>	<b>35</b>
16.1 Group Representations . . . . .	37
<b>17 Maschke's Theorem</b>	<b>38</b>
17.1 Reynold's Operator . . . . .	38
17.2 $\text{Hom}_R(M, N)$ . . . . .	39
<b>18 Wedderburn's Theorem</b>	<b>41</b>
18.1 Schur's Lemma . . . . .	41
18.2 Structure Theory . . . . .	42
18.3 Module Theory . . . . .	43
18.4 Finite dimensional Semisimple Algebras . . . . .	44
<b>19 One-dimensional Representations</b>	<b>44</b>
19.1 Abelianisation . . . . .	44
19.2 One-dimensional representations . . . . .	45
<b>20 Centres of Group Algebras</b>	<b>47</b>
20.1 Centres of Semisimple Rings . . . . .	47
20.2 Centres of group algebras . . . . .	48
20.3 Irreducible representations of dihedral groups . . . . .	49
<b>21 Categories and Functors</b>	<b>50</b>
21.1 Functors . . . . .	51
21.2 Hom functor . . . . .	52
<b>22 Tensor Products I</b>	<b>53</b>
22.1 Construction of Tensor Products . . . . .	53
22.2 Universal property of tensor products . . . . .	53
22.3 Functoriality . . . . .	54
22.4 Bimodules . . . . .	54

<b>23 Tensor Products II</b>	<b>55</b>
23.1 Identity . . . . .	55
23.2 Distributive Law . . . . .	56
23.3 Case when $R$ is commutative . . . . .	57
23.4 Tensor Products of Group Representations . . . . .	58
<b>24 Characters</b>	<b>58</b>
24.1 Linear Algebra Recap . . . . .	58
24.2 Characters . . . . .	59
24.3 Character Tables . . . . .	60
24.4 Dual Modules and Contragredient Representation . . . . .	60
24.5 Hom as tensor product . . . . .	61
<b>25 Orthogonality</b>	<b>61</b>
25.1 Characters of $\oplus, \otimes$ . . . . .	61
25.2 Orthogonality . . . . .	62
25.3 Decomposing $kG$ -modules into a direct sum of simples . . . . .	63
<b>26 Example from Harmonic Motion</b>	<b>64</b>
<b>27 Adjoint Associativity and Induction</b>	<b>64</b>
27.1 Adjoint Associativity . . . . .	64
27.2 Induced Modules . . . . .	66
<b>28 Annihilators and the Jacobson Radical</b>	<b>66</b>
28.1 Restriction Functor . . . . .	66
28.2 Annihilators . . . . .	67
28.3 Jacobson Radical . . . . .	68
<b>29 Nakayama Lemma and the Wedderburn-Artin Theorem</b>	<b>69</b>
29.1 NAK Lemma . . . . .	69
29.2 Properties of the Jacobson Radical . . . . .	70
29.3 Wedderburn-Artin Theorem . . . . .	70
<b>30 Radicals and Artinian Rings</b>	<b>71</b>
30.1 Nilpotence . . . . .	71
30.2 DCC implies ACC . . . . .	72
30.3 Computing Some Radicals . . . . .	72

## 1 Why study modules?

Modules appear all over mathematics but it is good to keep the following setup in mind. This arises when we have symmetry in a linear context.

### 1.1 Setup

BIG EXAMPLE HERE (seems unnecessary)

### 1.2 How do you study modules?

We take our inspiration from linear algebra and study vector spaces. The key theorem of vector spaces is that any finitely spanned vector space  $V$  over the field  $k$  has form

$$V \cong k \oplus k \oplus \cdots \oplus k.$$

We seek a similar result for modules – that is, can you write some “finite” modules as a direct sum of ones which are easy to understand? If not, how do you analyse them?

## 2 Rings and Algebras

### 2.1 Rings

**Definition 2.1.** A ring  $R$  is

- (1) An abelian group with group addition denoted  $+$  and group zero  $0$ ; equipped with
- (2) an associative multiplication map  $\mu : R \times R \rightarrow R$ ,  $(r, r') \mapsto rr'$  satisfying
  - (a) (distributive law): for  $r, r', s \in R$  we have

$$(r + r')s = rs + r's, \text{ and} \\ s(r + r') = sr + sr'.$$

- (b) (multiplicative identity) there is an element  $1_R = 1 \in R$  such that

$$1r = r1 = r \quad \forall r \in R.$$

We say  $R$  is **commutative** if multiplication is commutative (that is,  $rs = sr$  for all  $r, s \in R$ ).

The **group of units** is

$$R^* = \{r \in R \mid \exists s \in R. sr = 1 = rs\}.$$

If  $R^* = R \setminus \{0\}$  we say  $R$  is a **division ring**, and further if  $R$  is commutative then it is a field.

**Example 2.1.**  $\mathbb{R}, \mathbb{C}, \mathbb{Q}, \mathbb{Q}(i)$  are fields

**Example 2.2.**  $\mathbb{Z}, \mathbb{Z}(i)$  are rings

**Example 2.3.** For  $k$  a field,  $R$  a ring,  $R[x_1, \dots, x_n]$  is the polynomial ring in  $n$  variables over  $R$ , and  $k(x_1, \dots, x_n)$  is the field of rational functions in  $n$  variables.

Furthermore,  $M_n(R)$  is the ring of  $n \times n$  matrices over  $R$ , and if  $V$  is a vector space then  $\text{End}_k(V)$ , the set of linear maps  $V \rightarrow V$  is a ring with pointwise addition and composition for multiplication.

**Definition 2.2.** Let  $R$  be a ring. A subgroup  $S$  of the underlying additive group of  $R$  is

(1) a **subring** if

- $1_R \in S$ ; and
- for  $s, s' \in S$ , we have  $ss' \in S$ .

(2) an (two-sided) **ideal** if for all  $r \in R, s \in S$ , we have  $sr, rs \in S$ .

**Example 2.4.** Let  $R$  be a ring. We have the **opposite ring**  $R^{\text{op}}$  where

$$R^{\text{op}} = \{r^\circ \mid r \in R\}$$

which has the same addition as in  $R$  but  $r^\circ s^\circ = (sr)^\circ$ .

**Exercise.** Check the ring axioms for  $R^{\text{op}}$ .

**Definition 2.3.** Let  $R$  be a ring. The **centre** of  $R$  is

$$Z(R) = \{z \in R \mid zr = rz \forall r \in R\}.$$

**Exercise.** Check that  $Z(R)$  is a subring of  $R$ .

**Fact 2.1.** Let  $I \triangleleft R$  be an ideal of  $R$ . Then there is a quotient ring  $R/I$  with

- addition:  $(r + I) + (r' + I) = (r + r') + I$ ; and
- multiplication:  $(r + I)(r' + I) = rr' + I$ .

**Definition 2.4.** A map of rings  $\varphi : R \rightarrow S$  is a **ring homomorphism** if (for all  $r, r' \in R$ )

- (1)  $\varphi(r + r') = \varphi(r) + \varphi(r')$
- (2)  $\varphi(rr') = \varphi(r)\varphi(r')$
- (3)  $\varphi(1_R) = 1_S$

**Fact 2.2.** Let  $\varphi : R \rightarrow S$  be a ring homomorphism. Then

- $\text{im}(\varphi) \subseteq S$  is a subring
- $\ker \varphi \triangleleft R$

## 2.2 Algebras

Fix a commutative ring  $R$ .

**Definition 2.5.** An  **$R$ -algebra** (also called an algebra over  $R$ ) is a ring  $A$  equipped with a ring homomorphism  $\iota : R \rightarrow Z(A)$ .

An  **$R$ -subalgebra** is a subring  $B$  of  $A$  with  $Z(\mathbb{R}) \subseteq Z(B)$  (so  $B$  is naturally a subalgebra).

**Example 2.5.** The following are  $R$ -algebras:

- (1)  $R[x_1, \dots, x_n]$  – here we take  $\iota : R \rightarrow R[x_1, \dots, x_n]$  as the map  $r \mapsto r + 0x_1 + \dots + 0x_n$
- (2)  $M_n(R)$  – take  $\iota : R \rightarrow M_n(R)$  by  $r \mapsto rI_n$ .

**Proposition 2.1.** Let  $A \neq 0$  be an algebra over a field  $k$ .

- (1) The unit map  $\iota : k \rightarrow A$  is injective, so we will often consider  $k$  as a subring of  $A$  by identifying it with  $\iota(k)$

(2)  $A$  is naturally a vector space over  $k$ .

*Proof.*

(1)  $k$  is a field, so  $\ker \iota = k$  or  $0$ . We know that  $\iota(1_k) = 1_A$  so  $\ker \iota = 0$  and hence  $\iota$  is injective.

(2) We set vector addition to be the same as ring addition, and scalar multiplication the same as ring multiplication by elements of  $k$  identified with  $\iota(k)$ . The vector space axioms then follow from the ring axioms. □

**Definition 2.6.** A ring homomorphism  $\varphi : A \rightarrow B$  of  $k$ -algebras is a  **$k$ -algebra homomorphism** if it is  $k$ -linear.

**Definition 2.7.** Let  $G$  be a group and  $k$  a field. Define the vector space

$$kG := \bigoplus_{\sigma \in G} k\sigma$$

of all formal (finite) linear combinations of elements of  $G$ . Then  $kG$  is a  $k$ -algebra called the **group algebra** of  $G$  with ring multiplication induced by group multiplication in the following sense:

$$\left(\sum \alpha_{g_i} g_i\right) \left(\sum \beta_{g_j} g_j\right) = \sum_{g \in G} \left(\sum_{g_i g_j = g} \alpha_{g_i} \beta_{g_j}\right) g.$$

**Exercise.** Check the  $k$ -algebra axioms for  $kG$ .

**Exercise.** For the cyclic group  $G = \{1, \sigma\}$  and field  $k$  with  $\text{char } k \neq 2$ , show that there is a  $k$ -algebra isomorphism

$$\begin{aligned} kG &\longrightarrow k \times k \\ 1 &\mapsto (1, 1) \\ \sigma &\mapsto (1, -1) \end{aligned}$$

**Exercise.** Generalise the previous to larger cyclic groups

**Exercise.** Find a noncommutative ring  $R$  with  $R \cong R^{\text{op}}$ .

### 3 Some examples of Algebras

Fix a commutative ring  $R$ .

**Definition 3.1.** Let  $A_1, A_2$  be  $R$ -algebras with unit maps  $\iota_j : R \rightarrow A_j$  for  $j = 1, 2$ . An  $R$ -algebra homomorphism (resp. isomorphism)  $\varphi : A_1 \rightarrow A_2$  is a ring homomorphism (resp. isomorphism) such that the following diagram commutes

$$\begin{array}{ccc} & R & \\ \iota_1 \swarrow & & \searrow \iota_2 \\ A_1 & \xrightarrow{\varphi} & A_2 \end{array}$$

(that is,  $\iota_2 = \varphi \circ \iota_1$ ).

**Example 3.1.**  $\mathbb{C}$  is both an  $\mathbb{R}$ -algebra and a  $\mathbb{C}$ -algebra (with  $\iota$  defined as inclusion). Now, the conjugation map

$$\varphi : \mathbb{C} \rightarrow \mathbb{C}; z \mapsto \bar{z}$$

is an  $\mathbb{R}$ -algebra isomorphism but not a  $\mathbb{C}$ -algebra isomorphism (as  $\iota_2 = \varphi \circ \iota_1 \Leftrightarrow z = \bar{z}$  for all  $z \in R$ ).

### 3.1 Free Algebra

Let  $\{x_i\}_{i \in I}$  be a set of noncommuting variables that commute with scalars in  $R$ . Let  $R\langle x_i \rangle_{i \in I}$  denote the set of noncommutative polynomials in the  $x_i$ 's with coefficients in  $R$ . That is, expressions of the form

$$\sum_{n \geq 0} \sum_{i_1, \dots, i_n \in I} r_{i_1} r_{i_2} \dots r_{i_n} x_{i_1} x_{i_2} \dots x_{i_n}, \quad r_{i_j} \in R \quad \forall j$$

where all but finitely many  $r_{i_1}, \dots, r_{i_n}$  are zero.

If  $I = \{1, 2, \dots, n\}$ , we write  $R\langle x_i \rangle_{i \in I} = R\langle x_1, x_2, \dots, x_n \rangle$ .

**Proposition 3.1.**  $R\langle x_i \rangle_{i \in I}$  is an  $R$ -algebra when endowed with

- (1) ring addition: just add coefficients
- (2) ring multiplication: induced by concatenation of monomials
- (3) unit map:  $R \rightarrow R\langle x_i \rangle_{i \in I}$  with  $r \mapsto r$ , the constant polynomial.

This is called the **free  $R$ -algebra** on  $\{x_i\}_{i \in I}$ .

*Proof.* Elementary but long and tedious. □

**Example 3.2.**  $A = \mathbb{Z}\langle x, y \rangle$ . See

$$\begin{aligned} (2x + xy)(3yx - y^2x) &= 6xyx - 2xy^2x + 2xy^2x - xy^3x \\ &= 6xyx + xy^2x - xy^3x. \end{aligned}$$

**Exercise.** For the dihedral group  $D_n$ ,  $k$  a field, show that

$$kD_n \cong \frac{k\langle x, y \rangle}{\langle x^2 - 1, y^n - 1, yx - xy^{n-1} \rangle}.$$

### 3.2 Weyl Algebra

Let  $k$  be a field,  $V$  a vector space. Recall that  $\text{End}_k(V)$  is the ring of linear maps  $T : V \rightarrow V$ . Then  $\text{End}_k(V)$  is also a  $k$ -algebra. How? Note that  $\alpha \text{id}_V$ , the scalar multiplication by  $\alpha$  map is an element of  $\text{End}_k(V)$ , so we have the unit map

$$\iota : k \rightarrow \text{End}_k(V); \quad \alpha \mapsto \alpha \text{id}_V.$$

We need to check that  $\alpha \text{id}_V \in Z(\text{End}_k(V))$  but this is obvious.

**Definition 3.2.** A **differential operator with polynomial coefficients** is a  $\mathbb{C}$ -linear map of the form

$$D = \sum_{i=0}^n p_i(x) \partial^i : \mathbb{C}[x] \longrightarrow \mathbb{C}[x]$$

$$f(x) \mapsto \sum_{i=0}^n p_i(x) \frac{d^i f}{dx^i}$$

where  $p_i(x) \in \mathbb{C}[x]$  for all  $i$ .

We denote the set of these operators by  $A_1$  (note that  $D$  is an element of the  $\mathbb{C}$ -algebra  $\text{End}_{\mathbb{C}}(\mathbb{C}[x])$ ). Furthermore, we see that

$$\partial x = x\partial + 1$$

Why? For  $p(x) \in \mathbb{C}[x]$ , we have

$$\begin{aligned} (\partial x)(p(x)) &= \frac{d}{dx}(xp(x)) \\ &= x \frac{dp}{dx} + p(x) \\ &= (x\partial + 1)(p(x)). \end{aligned}$$

**Proposition 3.2.**  $A_1$  is a  $\mathbb{C}$ -subalgebra of  $\text{End}_{\mathbb{C}}(\mathbb{C}[x])$ . It is called the **(first) Weyl algebra**.

*Proof.* Note that  $\mathbb{C} \subset A_1$ , so we check closure axioms.  $A_1$  is clearly closed under addition, and contains 0 and 1. Furthermore, any  $D \in A_1$  has the form

$$\sum_{i,j=0}^N \alpha_{ij} x^i \partial^j, \quad \alpha_{ij} \in \mathbb{C}$$

so by the distributive law, it suffices to show (exercise)

$$x^{i_1} \partial^{j_1} x^{i_2} \partial^{j_2} \in A_1.$$

However, using the Weyl relation we can push all of the  $\partial$ 's to the right of all  $x$ 's to see that this holds true. □

**Proposition 3.3.** There is a  $\mathbb{C}$ -algebra isomorphism

$$\begin{aligned} \varphi : \frac{\mathbb{C}\langle x, y \rangle}{\langle yx - xy - 1 \rangle} &\longrightarrow A_1 = \mathbb{C}\langle x, \partial \rangle \\ x + \langle yx - xy - 1 \rangle &\mapsto x \\ y + \langle yx - xy - 1 \rangle &\mapsto \partial. \end{aligned}$$

*Proof.* Certainly there is a “substitution homomorphism”  $\tilde{\varphi} : \mathbb{C}\langle x, y \rangle \rightarrow A_1$  which maps  $x \mapsto x$  and  $y \mapsto \partial$ ; and more generally  $p(x, y) \mapsto p(x, \partial)$ . By the Weyl relation,  $\ker \tilde{\varphi} \ni yx - xy - 1$  and so  $\ker \tilde{\varphi} \supseteq \langle yx - xy - 1 \rangle = I$ .

By the universal property of quotients, there is an induced ring homomorphism

$$\varphi : \frac{\mathbb{C}\langle x, y \rangle}{I} \rightarrow A_1.$$

This is clearly surjective. We now check that  $\ker \varphi = 0$ . By the proof of the previous proposition, any nonzero element in  $A_1$  can be written in the form

$$D = \sum_{j=n}^N p_j(x) y^j$$

with say  $p_n(x) \neq 0$ . Then

$$\begin{aligned} [\varphi(D)](x^n) &= \left( \sum_{j=n}^N p_j(x) \partial^j \right) (x^n) \\ &= p_n(x) \frac{d^n x^n}{dx^n} + \dots \\ &= n! p_n(x) \neq 0. \end{aligned}$$

So  $\varphi(D) \neq 0$  and therefore  $\ker \varphi = 0$ . □



**Exercise.** The quaternions can be represented as

$$\mathbb{H} := \frac{\mathbb{R}\langle i, j \rangle}{\langle i^2 - 1, j^2 - 1, ij + ji \rangle}.$$

Show that there is an  $\mathbb{R}$ -algebra homomorphism  $\mathbb{H} \rightarrow M_2(\mathbb{C})$  such that

$$\begin{aligned} i &\mapsto \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \\ j &\mapsto \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}. \end{aligned}$$

Hence or otherwise show that  $\mathbb{H}$  is a division ring.

## 4 Module Basics

Fix a ring  $R$ .

**Definition 4.1.** A (**right**)  $R$ -**module** is an additive group  $M$  equipped with a scalar multiplication map  $M \times R \rightarrow M : (m, r) \mapsto mr$  such that the following axioms hold (for all  $m, m' \in M, r, r' \in R$ )

- (1)  $m1 = m$
- (2) (associativity)  $(mr)r' = m(rr')$
- (3) (distributivity)  $(m + m')r = mr + m'r$  and  $m(r + r') = mr + mr'$

**Remark.** We can similarly define **left**  $R$ -modules.

**Example 4.1.** For a field  $k$ , a right  $k$ -module is just a vector space over  $k$  with scalars on the right. Here module axioms are equivalent to vector space axioms.

**Example 4.2.**  $R$  itself is a left and right  $R$ -module with module addition and scalar multiplication equal to ring addition and multiplication respectively. Note that the module axioms are a consequence of the ring axioms in this case.

**Exercise.** For any  $R$ -module  $M$  and  $m \in M$  we have

- (1)  $m0 = 0$
- (2)  $m(-1) = -m$ .

The following tells us that  $\mathbb{Z}$ -modules are “just” abelian groups.

**Proposition 4.1.** Let  $M$  be an abelian group. There is a unique  $\mathbb{Z}$ -module structure on it extending the additive group structure.

*Proof.* (Sketch) We show the  $\mathbb{Z}$ -module structure is unique by showing how the module axioms completely determine scalar multiplication by  $n \in \mathbb{Z}$ . If  $n > 0$  then axioms (1) and (3) imply that for  $m \in M$ ,

$$\begin{aligned} mn &= m(1 + 1 + \cdots + 1) \\ &= m1 + m1 + \cdots + m1 \\ &= m + m + \cdots + m. \end{aligned}$$

For  $n = 0$ , we have  $m0 = 0$ . Also, if  $n < 0$  then

$$\begin{aligned} mn &= m((-n)(-1)) \\ &= (m(-n))(-1) \\ &= -(m + \cdots + m) \\ &= -m - m \cdots - m. \end{aligned}$$

□

**Exercise.** Check that this actually gives a  $\mathbb{Z}$ -module structure.

**Exercise.** Explain and prove the following statement: “A right  $R$ -module is just a left  $R^{\text{op}}$ -module”. In particular, if  $R$  is commutative, so there is a natural isomorphism  $R \leftrightarrow R^{\text{op}}$  then left and right modules are the same thing.

**Example 4.3.** Let  $S = M_n(R)$ , and let  $R^n$  be the set of  $n$ -tuples (i.e. row vectors) with entries in  $R$ . Then  $R^n$  is a right  $S$ -module with module addition and scalar multiplication defined by matrix operations.

**Exercise.** Check the module axioms.

**Remark.** Similarly, with  $R^n$  being column vectors, then  $R^n$  is a left  $S$ -module.

We can “change scalars” with

**Proposition 4.2.** Let  $\varphi : S \rightarrow R$  be a ring homomorphism,  $M$  a right  $R$ -module. Then  $M$  is naturally a right  $S$  module with the same additive structure but multiplication defined as

$$ms := m\varphi(s).$$

We denote the  $S$ -module by  $M_S$  to distinguish it from the original.

*Proof.* This is an exercise in checking axioms. For example, let  $m \in M$ ,  $s, s' \in S$  and see

$$m(s + s') = m\varphi(s + s') = m(\varphi(s) + \varphi(s')) = m\varphi(s) + m\varphi(s') = ms + ms'.$$

□

**Corollary 4.1.** For  $R$  commutative, any  $R$ -algebra  $A$  is also a left and right  $R$ -module.

*Proof.* Follows from proposition 4.2 and example 4.2. □

## 4.1 Submodules and Quotient Modules

**Proposition 4.3.** Let  $M$  be an  $R$ -module. An  $R$ -submodule is an additive subgroup  $N$  of  $M$  which is closed under scalar multiplication. In this case,  $N$  is an  $R$ -module and we write  $N \leq M$ . A **right ideal** of  $R$  is a submodule of the right module  $R_R$ , whereas a **left ideal** of  $R$  is a submodule of the left module  ${}_R R$ .

**Exercise.** Let  $S = M_2(R)$ . Then  $\begin{pmatrix} R & 0 \\ R & 0 \end{pmatrix}$  is a left ideal but not a right ideal.

**Remark.** An **ideal** is a subset which is both a left and right ideal.

**Exercise.** The intersection of submodules is a submodule.

**Proposition 4.4.** Let  $N$  be a submodule of  $M$ . The quotient abelian group  $M/N$  is naturally an  $R$ -module with scalar multiplication

$$(m + N)r := mr + N.$$

*Proof.* First note that multiplication is well defined for if  $n \in N$  we have

$$\begin{aligned} (m + n + N)r &= (m + n)r + N \\ &= mr + nr + N \\ &= mr + N \end{aligned}$$

since  $nr \in N$ . It remains to check the module axioms. □

**Exercise.** Let  $R$  be the Weyl algebra  $A_1 = \mathbb{C}\langle x, \partial \rangle$ .  $M = \mathbb{C}[x]$  is a left  $R$ -module with the usual addition and scalar multiplication defined as

$$\left( \sum_i p_i(x) \partial^i \right) p(x) := \sum_i p_i(x) \frac{d^i p(x)}{dx^i}.$$

## 5 Module Homomorphisms

Fix a ring  $R$ .

**Definition 5.1.** Let  $M, N$  be  $R$ -modules. A function  $\varphi : M \rightarrow N$  is an  **$R$ -module homomorphism** if it is a homomorphism of abelian groups such that (for all  $m \in M, r \in R$ )

$$\varphi(mr) = \varphi(m)r.$$

In this case we also say  $\varphi$  is  **$R$ -linear**. We denote the set of these as  $\text{Hom}_R(M, N)$ .

**Example 5.1.**  $k$ -module homomorphisms are just  $k$ -linear maps.

**Proposition 5.1.**  $\text{Hom}_R(M, N)$  is an abelian group endowed with group addition

$$(\varphi_1 + \varphi_2)(m) = \varphi_1(m) + \varphi_2(m).$$

*Proof.* Note that addition is well defined as  $\varphi_1, \varphi_2$  are  $R$ -linear. Indeed it is additive and for  $m \in M, r \in R$  we have

$$\begin{aligned} (\varphi_1 + \varphi_2)(mr) &= \varphi_1(mr) + \varphi_2(mr) \\ &= \varphi_1(m)r + \varphi_2(m)r \\ &= (\varphi_1(m) + \varphi_2(m))r \\ &= (\varphi_1 + \varphi_2)(m)r. \end{aligned}$$

**Exercise.** Check group axioms. □

**Proposition 5.2.** For any  $R$ -module  $M$ , there is the following isomorphism of abelian groups

$$\begin{aligned} \Phi : M &\longrightarrow \text{Hom}_R(R, M) \\ m &\mapsto (\lambda_m : r \mapsto mr) \end{aligned}$$

with inverse

$$\Psi : \varphi \mapsto \varphi(1).$$

*Proof.* Check  $\lambda_m$  is  $R$ -linear so  $\Phi$  is well defined. The distributive law implies that

$$\lambda_m(r + r') = m(r + r') = mr + mr' = \lambda_m(r) + \lambda_m(r').$$

Associativity gives us

$$\lambda_m(rr') = m(rr') = (mr)r' = \lambda_m(r)r'.$$

$\Phi$  is additive by the other distributive law.

It now suffices to check that  $\Psi$  is the inverse of  $\Phi$ . We observe that

$$\begin{aligned} (\Psi\Phi)(m) &= \Psi(\lambda_m) = \lambda_m(1) = m1 = m \\ [(\Phi\Psi)(\varphi)](r) &= [\Phi(\varphi(1))](r) = \varphi(1)r = \varphi(r) \end{aligned}$$

so  $\Phi\Psi = \text{id}$ . □

**Proposition 5.3.** As for vector spaces, the composition of  $R$ -linear maps is  $R$ -linear.

*Proof.* Exercise. □

**Proposition 5.4.** Let  $\varphi : M \rightarrow N$  be a homomorphism of right  $R$ -modules. Then

- (1)  $\ker \varphi \leq M$
- (2)  $\text{im}(\varphi) \leq N$

*Proof.* (1) Exercise.

- (2) Any element in  $\text{im}(\varphi)$  has the form  $\varphi(m)$  for  $m \in M$ . Then for  $r \in R$ , see

$$\varphi(m)r = \varphi(mr) \in \text{im}(\varphi),$$

so  $\text{im}(\varphi)$  is closed under scalar multiplication. We know  $\text{im}(\varphi)$  is a subgroup so it must be a submodule. □

**Proposition 5.5.** Let  $N \leq M$ . Then there are module homomorphisms

- (1) The **inclusion map**  $\iota : N \hookrightarrow M$
- (2) the **projection map**  $\pi : M \rightarrow M/N$  defined by  $m \mapsto m + N$ .

*Proof.* Exercise. □

### 5.1 Isomorphism Theorems

**Theorem 5.1** (First Isomorphism Theorem). *Let  $\varphi : M \rightarrow N$  be an  $R$ -module homomorphism. Then the following is a well-defined isomorphism of  $R$ -modules:*

$$\begin{aligned} \bar{\varphi} : \frac{M}{\ker \varphi} &\leftrightarrow \text{im}(\varphi) \\ m + \ker \varphi &\mapsto \varphi(m) \end{aligned}$$

*Proof.* Group theory implies that  $\bar{\varphi}$  is a well defined group isomorphism. We need only check  $\bar{\varphi}$  is  $R$ -linear. But observe

$$\begin{aligned} \bar{\varphi}((m + \ker \varphi)r) &= \bar{\varphi}(mr + \ker \varphi) \\ &= \varphi(mr) \\ &= \varphi(m)r \\ &= \bar{\varphi}(m + \ker \varphi)r. \end{aligned}$$

□

We can similarly conclude

**Theorem 5.2.** (1) Let  $N, N' \leq M$ . Then  $N + N' \leq M$  and

$$\frac{N + N'}{N} \cong \frac{N'}{N \cap N'}$$

(2) Given  $M'' \leq M' \leq M$  then

$$\frac{M/M''}{M'/M''} \cong \frac{M}{M'}$$

**Example 5.2.** Let  $R$  be a ring, and  $S = M_2(R)$ . Recall that  $\begin{pmatrix} R & R \end{pmatrix} \cong R^2$  is a right  $S$ -module. Let  $m : \begin{pmatrix} 1 & 0 \end{pmatrix} \in \mathbb{R}^2$  so by proposition (5.2) we get the homomorphism  $\lambda_m : s \mapsto \begin{pmatrix} 1 & 0 \end{pmatrix} s$ . It is surjective, so  $\text{im}(\lambda_m) = M$ , and

$$\ker \lambda_m = \begin{pmatrix} 0 & 0 \\ R & R \end{pmatrix}.$$

The first isomorphism theorem gives

$$\frac{M_2(R)}{\ker \lambda_m} \cong \begin{pmatrix} R & R \end{pmatrix}.$$

## 5.2 Universal property of quotients

**Theorem 5.3.** Let  $M' \leq M$  and  $N$  another  $R$ -module. We have a bijection

$$\begin{aligned} \Psi : \text{Hom}_R(M/M', N) &\longrightarrow \{ \varphi \in \text{Hom}_R(M, N) \mid \varphi(M') = 0 \} \\ \bar{\varphi} &\mapsto \bar{\varphi} \circ \pi. \end{aligned}$$

*Proof.* Note that  $\Psi$  is well defined. It is injective as  $\pi$  is surjective, so we check  $\Psi$  is surjective. Let  $\varphi \in \text{Hom}_R(M, N)$  with  $\varphi(M') = 0$ . Then we get the well-defined map

$$\begin{aligned} \bar{\varphi} : M/M' &\longrightarrow N \\ m + m' &\mapsto \varphi(m + M') = \varphi(m). \end{aligned}$$

**Exercise.** Check that  $\bar{\varphi}$  is  $R$ -linear.

We note that

$$[\Psi(\bar{\varphi})](m) = \bar{\varphi}(m + M') = \varphi(m + M') = \varphi(m)$$

so  $\Psi(\bar{\varphi}) = \varphi$  and thus  $\Psi$  is also surjective. □

**Example 5.3.** What is  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/3\mathbb{Z})$ ?

The universal property of quotients tells us that these are the homomorphisms

$$\varphi : \mathbb{Z} \rightarrow \mathbb{Z}/3\mathbb{Z}$$

such that  $\varphi(2\mathbb{Z}) = 0$ . But proposition (5.2) tells us that  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}, \mathbb{Z}/3\mathbb{Z}) = \mathbb{Z}/3\mathbb{Z}$ , so we need  $a \in \mathbb{Z}/3\mathbb{Z}$  such that  $a(2\mathbb{Z}) = 0$ . It follows that  $a = 0$  as  $2 \in (\mathbb{Z}/3\mathbb{Z})^*$ .

## 6 Direct Sums and Products

Let  $R$  be a ring, and let  $\{M_i\}_{i \in I}$  be a set of (right)  $R$ -modules. We recall

$$\prod_{i \in I} M_i = \{(m_i)_{i \in I} \mid m_i \in M_i\}.$$

We also consider the following subset

$$\bigoplus_{i \in I} M_i = \left\{ (m_i)_{i \in I} \in \prod_{i \in I} M_i \mid \text{all but finitely many } m_i \text{ are zero} \right\}.$$

**Remark.** If  $I = \{1, 2, \dots, n\}$  then  $\prod M_i = \bigoplus M_i = M_1 \times \dots \times M_n$ , and the elements will be either written as

$$\begin{pmatrix} m_1 \\ \vdots \\ m_n \end{pmatrix} \quad \text{or} \quad (m_1 \ \dots \ m_n).$$

As usual,  $M^n$  denotes  $M \times M \times \dots \times M$ .

**Proposition 6.1.**  $\prod_{i \in I} M_i$  is an  $R$ -module when endowed with coordinate wise addition and scalar multiplication. This module is called the **direct product**.

$\bigoplus_{i \in I} M_i$  is a submodule of  $\prod M_i$  and is called the **direct sum**.

*Proof.* Check axioms. □

**Exercise.**  $0r = 0$  in any module.

### 6.1 Canonical Injections and Projections

**Proposition 6.2.** Let  $\{M_i\}_{i \in I}$  be a set of (right)  $R$ -modules. Then we have the following  $R$ -module homomorphisms for each  $j \in I$

(1) **Canonical Injection:**

$$\begin{aligned} \iota_j : M_j &\longrightarrow \bigoplus_{i \in I} M_i \\ m &\mapsto (0, \dots, m, 0, \dots, 0) \end{aligned}$$

(2) **Canonical Projection:**

$$\begin{aligned} \pi_j : \prod_{i \in I} M_i &\longrightarrow M_j \\ (m_i)_{i \in I} &\mapsto m_j \end{aligned}$$

*Proof.* Check  $R$ -linearity. □

## 6.2 Universal Property

Let  $\{M_i\}_{i \in I}$  be as above, and  $N$  another  $R$ -module. We have the following inverse isomorphisms of abelian groups

(1)

$$\begin{aligned} \text{Hom}_R \left( \bigoplus M_i, N \right) &\xrightarrow[\Phi]{\Psi} \prod_{i \in I} \text{Hom}_R(M_i, N) \\ &f \mapsto (f \circ \iota_i)_{i \in I} \\ \left( \tilde{f} : (m_i) \mapsto \sum f_i m_i \right) &\leftarrow (f_i)_{i \in I}. \end{aligned}$$

(2)

$$\begin{aligned} \text{Hom}_R \left( N, \bigoplus M_i \right) &\xrightarrow[\Phi]{\Psi} \prod_{i \in I} \text{Hom}_R(N, M_i) \\ &f \mapsto (\pi \circ f)_{i \in I} \\ (\tilde{f} : n \mapsto (f_i(n))_{i \in I}) &\leftarrow (f_i)_{i \in I}. \end{aligned}$$

*Proof.* We do (1) only as (2) is similar. First note that  $\Phi$  is well defined,  $f \circ \iota_i$  is  $R$ -linear as it is the composition of  $R$ -linear maps.

**Exercise.** One sees easily that  $\Phi$  is additive.

It suffices to show that  $\Psi$  is an inverse. But observe that

$$\begin{aligned} [(\Psi\Phi)(f)]((m_i)_{i \in I}) &= [\Psi((f \circ \iota_i)_{i \in I})](m_i)_{i \in I} \\ &= \sum_{i \in I} (f \circ \iota_i)(m_i) \\ &= f \left( \sum \iota_i(m_i) \right) \\ &= f((m_i)_{i \in I}). \end{aligned}$$

So  $\Psi\Phi = \text{id}$ . Furthermore, we have

$$\begin{aligned} [(\Phi\Psi)((f_i)_{i \in I})]_j(m) &= [(\Psi((f_i)_{i \in I} \circ \iota_i))]_j(m) \\ &= \Psi((f_i)_{i \in I}) \circ \iota_j(m) \\ &= f_j(m). \end{aligned}$$

So  $\Phi\Psi = \text{id}$  and we are done. □

**Remark.** If  $I = \{1, 2, \dots, n\}$  we usually interpret the map  $\Psi$  by

$$\Psi((f_1, \dots, f_n)) : \begin{pmatrix} m_1 \\ \vdots \\ m_n \end{pmatrix} \mapsto \sum_{i=1}^n f_i(m_i).$$

That is,  $\Psi((f_1, \dots, f_n))$  is just left multiplication by  $(f_1, \dots, f_n)$  if we write the elements of  $\bigoplus M_i$  as column vectors.

**Example 6.1.** Let  $R$  be a ring,  $S = M_2(R)$ , and recall that  $R^2 = (R \ R)$  is a right  $S$ -module. We also have two  $S$ -module maps given by left multiplication by

$$\begin{aligned} & \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}; f_1 : S \rightarrow R^2 \\ & \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}; f_2 : S \rightarrow R^2. \end{aligned}$$

We know that

$$\text{Hom}_S(S, R^2 \times R^2) = \text{Hom}_S(S, R^2) \times \text{Hom}_S(S, R^2).$$

We have the  $S$ -module map

$$\begin{pmatrix} f_1 \\ f_2 \end{pmatrix} : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mapsto \begin{pmatrix} (a & b) \\ (c & d) \end{pmatrix}.$$

We see that  $S \cong R^2 \times R^2$  as right  $S$ -modules.

### 6.3 Decomposability

**Definition 6.1.** We say that a nonzero  $R$ -module is **decomposable** if it is isomorphic to the direct sum of two nonzero submodules. Otherwise it is **indecomposable**.

**Example 6.2.** Let  $R = k[x]$ . For  $n \geq 1$ ,  $\langle x^n \rangle$  is an ideal, and therefore  $M = R/\langle x^n \rangle$  is a module which is indecomposable.

Why? From the internal characterisation of direct product groups, we need only show that any two submodules intersect nontrivially. We show indeed that any nonzero  $N \leq M$  contains  $x^{n-1} + \langle x^n \rangle$ . We may suppose that

$$N \ni \alpha_j x^j + \dots + \alpha_{n-1} x^{n-1} + \langle x^n \rangle, \quad \alpha_j \neq 0 =: n.$$

Then  $n\alpha_j^{-1}x^{n-1-j} = x^{n-1} + \langle x^n \rangle$ .

## 7 Free Modules and Generators

### 7.1 Free Modules

Fix a ring  $R$ .

**Definition 7.1.** A **free module** is one which is isomorphic to a direct sum of copies of  $R$ . That is,  $M$  is a free module if

$$M \cong \bigoplus_{i \in I} R \quad (=: R^I \text{ if } I = \{1, 2, \dots, n\}.)$$

**Proposition 7.1.** Let  $R$  be a commutative ring, and  $G$  a group. Let

$$RG = \bigoplus_{g \in G} gR$$

be the free right (or left)  $R$ -module with “basis” the elements of  $G$ . The  $R$ -module structure on  $RG$  extends to an  $R$ -algebra structure with multiplication induced by group multiplication, that is,

$$\left( \sum_{g \in G} gr_g \right) \left( \sum_{h \in G} hs_h \right) := \sum_{l \in G} l \left( \sum_{gh=l} r_g s_h \right),$$

and unit map

$$\iota : R \rightarrow RG; \quad r \mapsto 1r.$$

This is called the **group algebra**.

*Proof.* Exercise. □



### 7.2 Generating Submodules

Let  $M$  be a module,  $I$  an index set. Recall there is a group isomorphism (from the universal property)

$$\text{Hom}_R \left( \bigoplus_{i \in I} R, M \right) \cong \prod_{i \in I} \text{Hom}_R (R, M) \stackrel{\text{prop 5.2}}{\cong} \prod_{i \in I} M.$$

We then ask, what is the homomorphism in  $\text{Hom}_R (R^I, M)$  corresponding to  $(m_i)_{i \in I} \in \prod_{i \in I} M$ ?  
 The answer is called the **universal property for free modules**, and is

$$(m_i)_{i \in I} : (r_i)_{i \in I} \mapsto \sum_{i \in I} m_i r_i.$$

Such an expression of element of  $M$  is called a (right)  **$R$ -linear combination** of the  $m_i$ 's.

**Example 7.1.** We see

$$\text{Hom}_R (R^m, R^n) \cong \prod_{i=1}^m R^n = (R^n)^m = M_{nm}(R).$$

Homomorphisms corresponding to  $n \times m$  matrices are given by left multiplication.

**Example 7.2.** Let  $R$  be a commutative ring, and  $G$  a group. Let  $H$  be a subgroup of  $G$ .

**Exercise.** Show  $RH$  is an  $R$ -subalgebra of  $RG$ .

Hence  $RG$  is also a (right)  $RH$ -module. In fact, it is a *free*  $RH$ -module.

Why? For each left coset  $C$  of  $H$  in  $G$ , we pick a representative  $g_C$  so  $C = g_C H$ . The universal property of free modules gives a homomorphism

$$(g_C)_{C \in G/H} : \bigoplus_{C \in G/H} (RH) \longrightarrow RG$$

$$(a_C)_{C \in G/H} \mapsto \sum_{C \in G/H} g_C a_C.$$

**Exercise.** This is clearly bijective so gives an isomorphism.

**Proposition 7.2.** Let  $M$  be a (right)  $R$ -module, and  $L$  a subset. The **submodule generated by  $L$**  is the set of all  $R$ -linear combinations of elements of  $L$ . It is a submodule of  $M$ , and is denoted  $\sum_{l \in L} lR$ .

*Proof.*  $\sum lR$  is a submodule as it is the image of the  $R$ -linear map

$$(l)_{l \in L} : \bigoplus_{l \in L} R \longrightarrow M$$

given by the universal property of free modules. □

**Definition 7.2.** Let  $M$  be an  $R$ -module. A **set of generators** for  $M$  is a subset  $L$  such that the submodule generated by  $L$  is  $M$  itself. We also say  $L$  **generates**  $M$ .

We say  $M$  is **finitely generated** if we can take  $L$  to be finite, and say  $M$  is finite if  $|L| = 1$ .

**Example 7.3.** Any module  $M$  is generated by the subset  $M$ .

**Example 7.4.** The right (or left)  $R$ -module  $R$  is cyclic, generated by  $1_R$ .

**Corollary 7.1.** Any module is a quotient of a free module by the first isomorphism theorem and the surjectivity of

$$(m)_{m \in M} : \bigoplus_{m \in M} R \longrightarrow M.$$

**Example 7.5.** Let  $R = \mathbb{C}\langle x, \partial \rangle$  and suppose  $V$  is a  $\mathbb{C}$ -vector space of functions on which polynomial differential operators act (e.g.  $V = \mathbb{C}[x]$  or meromorphic functions on  $\mathbb{C}$ ). Suppose  $D \in R$  is a differential operator and consider the differential equation  $Df = 0$  with solution  $f \in V$ .

Recall  $V$  is a left  $R$ -module and so right multiplication by  $f \in V$  gives an  $R$ -module homomorphism

$$\begin{aligned} \varphi : R &\longrightarrow V \\ D &\mapsto Df. \end{aligned}$$

Since we assumed that  $Df = 0$ , we know that  $D \in \ker \varphi$ . Hence  $RD \subseteq \ker \varphi$ . The universal property of quotients gives an  $R$ -module homomorphism

$$\bar{\varphi} : R/RD \longrightarrow V$$

$R/RD$  is a cyclic module generated by  $1 + RD$ . You can reverse this to see that the solutions to the differential equation  $Df = 0$  are given by  $R$ -linear maps  $R/RD \longrightarrow V$ .

**Example 7.6.** Let  $R = k\langle x, y \rangle$  be the ring of polynomials in noncommutative variables  $x, y$  over a field  $k$ . We know  $R$  is cyclic and therefore finitely generated, but the right ideal

$$\sum_{i=0}^{\infty} x^i y R$$

is not finitely generated (exercise).

## 8 Modules over a PID

Fix a PID  $R$ . Recall a PID is a commutative domain where every ideal is generated by a single element. Examples include  $\mathbb{Z}$ ,  $\mathbb{Z}[i]$ ,  $k[x]$  for  $k$  a field.

**Fact 8.1.** Every PID is a UFD and we can define a gcd. In fact, for  $a, b \in R$ , then  $\langle \gcd(a, b) \rangle = \langle a, b \rangle$ .

**Lemma 8.1.** Any submodule  $M$  of  $R^n$  is finitely generated.

*Proof.* By induction on  $n$ , with  $n = 0$  automatic.

Suppose that  $n > 0$  and let  $\pi : R^n \rightarrow R$  be the projection onto the first factor (which is a linear map). Let  $\iota : M \rightarrow R^n$  be inclusion. Consider the linear map  $\pi|_M = \pi \circ \iota : M \rightarrow R^n \rightarrow R$ .

$\text{im}(\pi|_M) \triangleleft R$  so is generated by  $\overline{m_0} \in R$  as  $R$  is a PID. We pick  $m_0 \in M$  such that  $\pi(m_0) = \overline{m_0}$ . Now,  $\ker \pi|_M = \ker \pi \cap M \leq \ker \pi \cong R^{n-1}$ . Induction implies that  $\ker \pi|_M$  is generated by  $m_1, \dots, m_s$ . It suffices to show that  $m_0, m_1, \dots, m_s$  generate  $M$ .

Consider  $m \in M$ . Then  $\text{im}(\pi|_M) \ni \pi m = \overline{m_0} r$  for some  $r \in R$ . Hence

$$\pi(m - m_0 r) = \pi(m) - \pi(m_0) r = \pi(m) - \pi(m) = 0,$$

so  $\ker \pi|_M = \ker \pi \cap M \ni m - m_0 r$ . But any element of  $\ker \pi \cap M$  can be written as  $\sum r_i m_i$  so  $m = m_0 r + \sum r_i m_i$  and so  $m_0, \dots, m_s$  generate  $M$ .  $\square$

### 8.1 Structure theorem for modules over a PID

**Theorem 8.1.** *Let  $R$  be a PID and  $M$  a finitely generated  $R$ -module. Then*

$$M \cong R^r \oplus \frac{R}{\langle a_1 \rangle} \oplus \frac{R}{\langle a_2 \rangle} \oplus \cdots \oplus \frac{R}{\langle a_s \rangle}$$

for some  $a_i \in R$  with  $a_1 \mid a_2 \mid \cdots \mid a_s$ .

The proof of the theorem occupies the next two sections.

Recall that given a finite set of generators  $m_1, \dots, m_n$  for  $M$  we get a surjective homomorphism

$$\pi = \begin{pmatrix} m_1 & m_2 & \dots & m_n \end{pmatrix} : R^n \rightarrow M.$$

Lemma 8.1 implies that  $\ker \pi$  is also finitely generated so picking a set of generators for it gives a surjective homomorphism  $R^m \rightarrow \ker \pi$ . The composite map

$$(R^m \rightarrow \ker \pi \hookrightarrow R^n) \in \text{Hom}_R(R^m, R^n)$$

is given by an  $n \times m$  matrix over  $R$  (by example 7.1). Call this matrix  $\Phi$ .

**Remark.** (1) Given a module isomorphism  $\Psi : R^n \rightarrow R^n$ , we get a new surjective isomorphism  $\pi\Psi : R^n \rightarrow R^n \rightarrow M$  which corresponds to a change of basis/generators for  $M$ . This has the effect of changing

$$\Phi \mapsto \Psi^{-1}\Phi.$$

(2) Similarly, changing basis in  $R^m$ , we can change  $\Phi$  to  $\Phi \circ \Psi$  for some module isomorphism  $\Psi : R^m \rightarrow R^m$ .

(3) The first isomorphism theorem implies that  $M \cong R^n / \text{im}(\Phi) = \ker \pi$ .

**Proposition 8.1.** (1) Let  $S$  be a ring,  $N$  an  $S$ -module. The set  $\text{Aut}_S(N)$  of module automorphisms  $\psi : N \rightarrow N$  is a subgroup of the permutation group on  $N$ .

(2) Let  $GL_n(R) = \{\psi \in M_n(R) \mid \det \psi \in R^*\}$ . Then  $GL_n(R)$  is a subgroup of  $\text{Aut}_R(R^n)$ .

*Proof.* (1) Easy exercise in checking axioms.

(2) Follows from Cramer's rule about the solution to differential equations.

□

This reduces theorem (8.1) to

**Theorem 8.2.** *Given any  $n \times m$  matrix  $\Phi$  with entries in  $R$ , there are  $\Psi_l \in GL_n(R)$  and  $\Psi_r \in GL_m(R)$  such that*

$$\Psi_l \Phi \Psi_r = \begin{pmatrix} a_1 & 0 & \dots & 0 \\ 0 & \ddots & & \vdots \\ \vdots & & a_s & \vdots \\ 0 & \dots & \dots & 0 \\ \vdots & & & \vdots \\ 0 & \dots & \dots & 0 \end{pmatrix}$$

*Proof that theorem (8.2) implies theorem (8.1).* Remark (3) implies that  $M \cong R^n / \text{im}(\Phi)$ . By remark (1),(3) as well as proposition 8.1 and theorem 8.2, we can assume that

$$\Phi = \text{diag} \{a_1, \dots, a_s, 0, \dots, 0\}.$$

So

$$\text{im}(\Phi) = \begin{pmatrix} a_1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} R \oplus \begin{pmatrix} 0 \\ a_2 \\ 0 \\ \vdots \\ 0 \end{pmatrix} R \oplus \dots \oplus \begin{pmatrix} 0 \\ \vdots \\ 0 \\ a_s \\ 0 \\ \vdots \\ 0 \end{pmatrix} R.$$

**Exercise.** The universal property of free modules and direct products show that we have a surjective  $R$ -module homomorphism

$$\begin{aligned} R^n &\longrightarrow R/\langle a_1 \rangle \oplus \dots \oplus R/\langle a_s \rangle \oplus R^{n-s} \\ (r_1, \dots, r_n) &\mapsto (r_1 + \langle a_1 \rangle, \dots, r_s + \langle a_s \rangle, r_{s+1}, \dots, r_n). \end{aligned}$$

Furthermore, the kernel of this map is the same as the image of  $\Phi$  above, so the first isomorphism theorem completes the proof. □

### 8.2 Elementary Row and Column Operations

One can perform elementary row and column operations (ERO,ECOs) by left (resp. right) multiplication by elements of  $GL_n(R)$

- (1) row (resp. column) swaps have determinant  $-1$
- (2) adding multiples of one row (resp. column) to another has determinant  $1$
- (3) scalar multiplication of a row (resp. column) by  $\mu$  has determinant  $\mu$ .

## 9 Proof of Structure Theorem

Fix a PID  $R$ . We need to prove theorem (8.2).

We may as well assume that  $\Phi \neq 0$ . Then we are reduced to proving

**Theorem 9.1.** *There are  $\Psi_l \in GL_n(R)$ ,  $\Psi_r \in GL_m(R)$  such that the  $(1,1)$  entry  $\tilde{\Phi}_{11}$  of  $\tilde{\Phi} = \Psi_l \Phi \Psi_r$  divides every other entry of  $\tilde{\Phi}$ .*

*Proof that theorem (9.1) implies theorem (8.2).* Note (exercise) that  $\tilde{\Phi}_{11} \neq 0$ . Then by factoring out  $\tilde{\Phi}_{11}$  and using EROs and ECOs we can assume

$$\Phi = \tilde{\Phi}_{11} \begin{pmatrix} 1 & 0 \\ 0 & \Phi_1 \end{pmatrix}.$$

Now we use induction on  $n$  (or  $m$ ) and the matrix  $\Phi_1$  to get the result. □

Let us now prove theorem (9.1). Using EROs and ECOs we can assume that  $\Phi_{11} \neq 0$ . Use induction on the number of prime factors of  $\Phi_{11}$ .

If  $\Phi_{11}$  is a unit, then theorem (9.1) holds. We need two lemmas.

**Lemma 9.1.** Let  $a \neq 0, b \in R$  and  $d = \gcd(a, b)$ . Then there is some  $\Psi \in GL_2(R)$  with

$$\Psi \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} d \\ c \end{pmatrix}$$

with  $c \in \langle a, b \rangle = \langle d \rangle$ .

*Proof.* Note that  $dR = aR + bR$  so  $\exists f, g \in R$  such that  $d = af + bg$ . Then

$$\Psi = \begin{pmatrix} f & g \\ -\frac{b}{d} & \frac{a}{d} \end{pmatrix}$$

works as  $\det \Psi = 1$ . □

**Lemma 9.2.** Let  $0 \neq a, b \in R$  and  $d = \gcd(a, b)$ . Then there are  $\Psi_l, \Psi_r \in GL_2(R)$  such that

$$\Psi_l \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \Psi_r = \begin{pmatrix} d & c_1 \\ c_2 & c_3 \end{pmatrix}$$

with  $c_i \in \langle d \rangle$ .

*Proof.* As before we have  $f, g \in R$  such that  $d = af + bg$ . Then

$$\Psi_l = \begin{pmatrix} 1 & g \\ 0 & 1 \end{pmatrix} \quad \Psi_r = \begin{pmatrix} f & -1 \\ 1 & 0 \end{pmatrix}$$

work. □

We now continue the proof of theorem (9.1). Note first that we can assume  $\Phi_{11}$  divides all entries in its column. Indeed, suppose not and without loss of generality we may assume  $\Phi_{11} \nmid \Phi_{21}$ . Then by lemma (9.1) there is a  $\Phi \in GL_2(R)$  such that

$$\begin{pmatrix} \Phi & 0 \\ 0 & I_{n-2} \end{pmatrix} \begin{pmatrix} \Phi_{11} & \cdots \\ \Phi_{21} & \\ \vdots & \end{pmatrix} = \begin{pmatrix} d & * \\ * & * \end{pmatrix}$$

where  $d = \gcd(\Phi_{11}, \Phi_{21})$ . Then  $d$  has fewer prime factors than  $\Phi_{11}$ , and so we are done by induction.

Similarly using the transpose of lemma (9.1) we can assume  $\Phi_{11}$  divides all entries in the first row. Applying EROs and ECOs we can assume

$$\Phi = \begin{pmatrix} \Phi_{11} & 0 \\ 0 & \bar{\Phi} \end{pmatrix}.$$

If  $\bar{\Phi}_{11}$  does not divide all entries of  $\bar{\Phi}$ , then we can use EROs and ECOs to ensure that  $\Phi_{11} \nmid \Phi_{22}$ . Lemma (9.2) implies that there exists  $\Psi_l, \Psi_r \in GL_2(R)$  such that

$$\begin{pmatrix} \Psi_l & 0 \\ 0 & I_{n-2} \end{pmatrix} \Phi \begin{pmatrix} \Psi_r & 0 \\ 0 & I_{n-2} \end{pmatrix} = \begin{pmatrix} d & \\ & * \end{pmatrix}$$

where  $d = \gcd(\Phi_{11}, \Phi_{22})$  has fewer prime factors than  $\Phi_{11}$ . This proves theorem (9.1) and hence theorem (8.2) and the structure theorem for finitely generated modules over a PID.

**Example 9.1.** Let  $K$  be the  $\mathbb{Z}$  submodule of  $\mathbb{Z}^3$  generated by

$$\left\{ \begin{pmatrix} 3 \\ 2 \\ -2 \end{pmatrix}, \begin{pmatrix} 5 \\ 8 \\ 6 \end{pmatrix}, \begin{pmatrix} 4 \\ 5 \end{pmatrix} \right\}$$

Write  $M = \mathbb{Z}^3/K$  as a direct sum of cyclic modules.

$M = \mathbb{Z}^3/\text{im}(\Phi)$  where

$$\Phi = \begin{pmatrix} 3 & 5 & 4 \\ 2 & 8 & 5 \\ -2 & 6 & 2 \end{pmatrix}.$$

EROs and ECOs reduce this to

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 7 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

so  $M \cong \mathbb{Z}/7\mathbb{Z} \oplus \mathbb{Z}$ .

**Example 9.2.** Let  $M = \mathbb{Z}^2/(\mathbb{Z} \begin{pmatrix} m \\ 1 \end{pmatrix} + \mathbb{Z} \begin{pmatrix} n \\ 2 \end{pmatrix})$  for some  $m, n \in \mathbb{Z}$ . Show (assuming  $M$  is finite) that  $|M| = |2m - n|$ .

We have  $M \cong \mathbb{Z}^2/\text{im}(\Phi)$  where

$$\Phi = \begin{pmatrix} m & n \\ 1 & 2 \end{pmatrix}.$$

Use theorem (8.2) to find  $\Psi_l, \Psi_r \in GL_2(\mathbb{Z})$  with

$$\Psi_l \Phi \Psi_r = \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}$$

for  $a, b \in \mathbb{Z}$ .

Then  $M \cong \mathbb{Z}/a\mathbb{Z} \oplus \mathbb{Z}/b\mathbb{Z}$  and  $|M| = |ab| = |\det(\Psi_l \Phi \Psi_r)| = |2m - n|$ .

**Proposition 9.1.**  $GL_n(R) = \text{Aut}_R(R^n)$ .

*Proof.* We say in section 8 that  $GL_n(\mathbb{R}) \leq \text{Aut}_R(R^n)$ . Suppose that  $\Phi \in \text{Hom}_R(R^n, R^n)$  and  $\det \Phi = \Delta \notin R^*$ . Then there are  $\Psi_l, \Psi_r \in GL_n(R)$  such that  $\Psi_l \Phi \Psi_r$  is diagonal and  $\Delta = ua_1a_2 \dots a_n$  for some  $u \in R^*$ .

If  $\Delta = 0$ , then  $\Phi$  is not injective. If  $\Delta \neq 0$  but is not a unit then

$$\frac{R^n}{\text{im}(\Phi)} \cong \frac{R}{a_1R} \oplus \dots \oplus \frac{R}{a_nR}.$$

At least one of the  $a_i$ 's is not a unit so  $R/a_iR \neq 0$ . So  $\text{im}(\Phi) \neq R^n$  and thus  $\Phi$  is not surjective.

Therefore  $\Phi \in \text{Aut}_R(R^n)$ . □

## 10 Applications of the Structure Theorem

**Theorem 10.1** (Alternate structure theorem). *Let  $R$  be a PID and  $M$  a finitely generated  $R$ -module. Then  $M$  is a direct sum of cyclic modules of the form  $R$  or  $R/\langle p^n \rangle$  where  $p \in R$  is a prime, and  $n \in \mathbb{N}$ .*

*Proof.* From the usual structure theorem, we know  $M$  is a direct sum of cyclic modules, so we may suppose that  $M = R/\langle a \rangle$  for some  $a \in R \setminus \{0\}$ . Prime factorise  $a = p_1^{n_1} p_2^{n_2} \dots p_r^{n_r}$  and use the Chinese Remainder theorem to get a ring isomorphism

$$\frac{R}{\langle a \rangle} \cong \frac{R}{\langle p_1^{n_1} \rangle} \times \dots \times \frac{R}{\langle p_r^{n_r} \rangle}.$$

This is clearly  $R$ -linear as well, so we are done. □

### 10.1 Endomorphism Ring

Let  $R$  be a ring, and  $M$  an  $R$ -module. Let

$$\text{End}_R(M) = \{\varphi : M \rightarrow M \mid \varphi \text{ is } R\text{-linear}\} = \text{Hom}_R(M, M).$$

**Proposition 10.1.** The abelian group  $\text{End}_R(M)$  is a ring when endowed with ring multiplication equal to composition of homomorphisms. It is called the **endomorphism ring** of  $M$  and its elements are called **endomorphisms** of  $M$ .

*Proof.* Exercise in checking axioms. □

**Definition 10.1.** Let  $R, S$  be rings. An  $(R, S)$ -**bimodule** is a left  $R$ -module  $M$  which is also a right  $S$ -module with the same additive structure such that we have the associative law

$$(rm)s = r(ms).$$

**Example 10.1.** Let  $R$  be a commutative ring, and  $M$  a right  $R$ -module. It is also a left  $R$ -module with the same addition and left multiplication defined as

$$r \cdot m := mr.$$

This makes  $M$  an  $(R, R)$ -bimodule.

**Exercise.** Check axioms.

**Example 10.2.**  $R$  itself is a left and right  $R$ -module and hence an  $(R, R)$ -bimodule.

**Proposition 10.2.** Let  $R$  be a ring, and  $M$  a right  $R$ -module. Then  $M$  is an  $(\text{End}_R(M), R)$ -bimodule with left multiplication defined by

$$\varphi \cdot m := \varphi(m).$$

*Proof.* Exercise in checking axioms. □

We now have an alternative view of bimodules:

**Proposition 10.3.** Let  $R, S$  be rings, and  $M$  a right  $R$ -module, which by proposition (10.1) is an  $(\text{End}_R(M), R)$ -bimodule.

- (1) Given a ring homomorphism

$$\varphi : S \rightarrow \text{End}_R(M),$$

the left  $\text{End}_R(M)$ -module structure on  $M$  “restricts” to a left  $S$ -module structure by proposition (4.2). This makes  $M$  an  $(S, R)$ -bimodule.

- (2) Any  $(S, R)$ -bimodule arises in this fashion.

*Proof.* (1) Exercise in checking the associative law for bimodules.

- (2) Let  $M$  be an  $(S, R)$ -bimodule. We construct a ring homomorphism  $\varphi : S \rightarrow \text{End}_R(M)$  by  $\varphi(s) =$ left multiplication by  $s$  on  $M$ . Note  $\varphi(s)$  is  $R$ -linear.

**Exercise.** Check  $\varphi$  is a ring homomorphism. □

## 10.2 Jordan Canonical Forms

Suppose  $k$  is an algebraically closed field, so the primes in  $k[x]$  have the form  $\beta(x - \alpha)$  with  $\alpha \in k, \beta \in k^*$ .

Let  $X \in M_n(k) = \text{End}_k(k^n)$ . We have the substitution homomorphism

$$\begin{aligned} \Phi : k[x] &\longrightarrow M_n(k) \\ p(x) &\mapsto p(X). \end{aligned}$$

Hence proposition (10.2)(1) implies that we get a  $(k[x], k)$ -bimodule structure on  $k^n$ .

Thus by the alternate structure theorem we have an isomorphism of left  $k[x]$ -modules (also of bimodules)

$$k^n \cong \frac{k[x]}{\langle (x - \alpha_1)^{n_1} \rangle} \oplus \cdots \oplus \frac{k[x]}{\langle (x - \alpha_r)^{n_r} \rangle}.$$

$X$  acts on  $k^n$  by left multiplication by  $x$ . Therefore the direct sum decomposition above implies that  $X$  is similar to a block diagonal matrix, with block sizes  $n_1 \times n_1, \dots, n_r \times n_r$ .

The  $i$ th block with respect to the basis  $1, x - \alpha_i, (x - \alpha_i)^2, \dots, (x - \alpha_i)^{n_i-1}$  is in Jordan canonical form.

## 11 Exact Sequences and Splitting

### 11.1 Exact Sequences

Fix a ring  $R$ .

**Definition 11.1.** A **complex** of  $R$ -modules is a sequence of  $R$ -modules and  $R$ -module homomorphisms of the form

$$M_\bullet : \cdots \rightarrow M_{i-1} \xrightarrow{d_{i-1}} M_i \xrightarrow{d_i} M_{i+1} \rightarrow \cdots$$

such that  $d^2 := d_i d_{i-1} = 0$  for all  $i$ .

**Remark.**  $d^2 = 0$  if and only if  $d_i(d_{i-1}(M_{i-1})) = 0$  if and only if  $\ker d_i \subseteq \text{im}(d_{i-1})$ .

**Definition 11.2.** A complex  $M_\bullet : \cdots \rightarrow M_{i-1} \rightarrow M_i \rightarrow \cdots$  is **exact** at  $M_i$  if  $\ker d_i = \text{im}(d_{i-1})$ .

We say  $M_\bullet$  is exact if it is exact at all  $M_i$ .

**Example 11.1.** Let  $R = \mathbb{Z}$ . We have the complex of  $\mathbb{Z}$ -modules

$$\cdots \rightarrow \frac{\mathbb{Z}}{4\mathbb{Z}} \xrightarrow{\iota} \frac{\mathbb{Z}}{4\mathbb{Z}} \xrightarrow{\iota} \cdots$$

where each stage is the map  $n + 4\mathbb{Z} \mapsto 2n + 4\mathbb{Z}$ . This is exact.

**Example 11.2.** (1)  $0 \rightarrow M \xrightarrow{f} N$  is an exact sequence of  $R$ -modules if and only if  $f$  is an  $R$ -linear injection (as exactness implies  $\ker f = 0$ ).

(2)  $M \xrightarrow{g} N \rightarrow 0$  is exact if and only if  $\text{im}(g) = N$ .

(3) Given a submodule  $M \leq N$  we get an exact sequence

$$0 \rightarrow M \hookrightarrow N \xrightarrow{\pi} N/M \rightarrow 0$$



**Corollary 11.1.** Consider the sequence of  $R$ -modules and  $R$ -module homomorphisms

$$M_{\bullet} : 0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0.$$

Then  $M$  is exact if and only if

- (1)  $f$  is injective
- (2)  $g$  is surjective
- (3)  $\ker g = \operatorname{im}(f)$ .

In this case,  $M'' \cong M/\operatorname{im}(f)$ . We say  $M_{\bullet}$  is a **short exact sequence (SES)**.

## 11.2 Splitting

First some motivation. Recall that given  $R$ -modules  $M', M''$  we have the canonical injection

$$\iota : M' \rightarrow M' \oplus M''$$

and projection

$$\pi : M' \oplus M'' \rightarrow M'.$$

Notice that  $\pi\iota = \operatorname{id}$ .

**Proposition 11.1.** Consider the  $R$ -module homomorphisms  $f : M \rightarrow N$  and  $g : N \rightarrow M$  such that  $gf = \operatorname{id}_M$ .

- (1) Then  $f$  is injective,  $g$  is surjective and we say  $f$  is a **split injection** and  $g$  is a **split surjection**.
- (2) We have a direct sum decomposition

$$\left( \begin{array}{c} f \\ \operatorname{id}_{\ker g} \end{array} \right) : M \oplus \ker g \xrightarrow{\sim} N,$$

and we say  $M$  is a direct summand of  $N$ .

- (3) Given either  $f$  or  $g$ , we say the other map splits it (e.e.  $f$  splits  $g$  and  $g$  splits  $f$ ).

*Proof.* (1) Easy exercise in set theory.

- (2) We construct an inverse as follows. First note that

$$\operatorname{id}_N - fg : N \rightarrow N$$

has image in  $\ker g$ . This is because

$$g(\operatorname{id}_N - fg) = g - gfg = g - g = 0.$$

It follows that we can assume  $\operatorname{id}_N - fg : N \rightarrow \ker g$ . We claim that the inverse to  $\Phi = \left( \begin{array}{c} f \\ \operatorname{id}_{\ker g} \end{array} \right)$  is

$$\Psi = \left( \begin{array}{c} g \\ \operatorname{id}_N - fg \end{array} \right).$$

To see this, we observe that

$$\begin{aligned} \Phi\Psi &= \left( \begin{array}{c} f \\ \operatorname{id}_{\ker g} \end{array} \right) \left( \begin{array}{c} g \\ \operatorname{id}_N - fg \end{array} \right) \\ &= fg + \operatorname{id}_{\ker g}(\operatorname{id}_N - fg) \\ &= fg + \operatorname{id}_N - fg \\ &= \operatorname{id}_N. \end{aligned}$$

Similarly, we have

$$\begin{aligned} \Psi\Phi &= \begin{pmatrix} g \\ \text{id}_N - fg \end{pmatrix} (f \quad \text{id}_{\ker g}) \\ &= \begin{pmatrix} gf & g \text{id}_{\ker g} \\ \text{id}_N f - fgf & (\text{id} - fg)|_{\ker g} \end{pmatrix} \\ &= \text{id}_{M \oplus \ker g}. \end{aligned}$$

□

**Corollary 11.2.** Consider a short exact sequence of  $R$ -modules

$$0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0.$$

(1)  $f$  is a split injection if and only if  $g$  is a split surjection

(2) in this case,  $M \cong M' \oplus M''$  and we say the short exact sequence is **split**.

*Proof.* Exercise.

□

**Example 11.3.**

$$0 \rightarrow \frac{\mathbb{Z}}{3\mathbb{Z}} \xrightarrow{2} \frac{\mathbb{Z}}{6\mathbb{Z}} \xrightarrow{g} \frac{\mathbb{Z}}{2\mathbb{Z}} \rightarrow 0$$

with  $2 : n + 3\mathbb{Z} \mapsto 2n + 6\mathbb{Z}$ , and  $g : n + 6\mathbb{Z} \mapsto n + 2\mathbb{Z}$ . This is a split short exact sequence, where  $g$  is split by the “multiplication by 3” map, that is,  $h : n + 2\mathbb{Z} \mapsto 3n + 2\mathbb{Z}$ .

**Exercise.** Show that  $gh = \text{multiplication by 3}$  which is the identity on  $\mathbb{Z}/2\mathbb{Z}$ .

**Example 11.4.**

$$0 \rightarrow \frac{\mathbb{Z}}{2\mathbb{Z}} \xrightarrow{2} \frac{\mathbb{Z}}{4\mathbb{Z}} \xrightarrow{\pi} \frac{\mathbb{Z}}{2\mathbb{Z}} \rightarrow 0$$

is exact but not split as  $\mathbb{Z}/4\mathbb{Z} \not\cong \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ .

### 11.3 Idempotents

**Definition 11.3.** Let  $R$  be a ring, and  $e \in R$ .  $e$  is an **idempotent** if  $e^2 = e$ .

**Exercise.** Let  $M$  be an  $(R, S)$ -bimodule. Show that left multiplication by  $e$

$$\lambda_e : M \rightarrow eM$$

is a split surjection of right  $S$ -modules. It is split by the inclusion map  $eM \hookrightarrow M$ .

Show that  $M \cong eM \oplus (1 - e)M$ .

**Exercise.** Consider a finite set of idempotents  $\{e_1, \dots, e_n\} \subseteq R$ . We say they are **complete** and **orthogonal** if  $\sum_i e_i = 1$  and  $e_i e_j = 0$  if  $i \neq j$ .

Show that

$$M \cong e_1 M \oplus \dots \oplus e_n M.$$

## 12 Chain Conditions

Let  $R$  be a ring, and  $M$  a (right)  $R$ -module.

**Definition 12.1.**  $M$  is

- (1) **noetherian** if it satisfies the **ascending chain condition** (ACC): for any chain of submodules

$$M_1 \leq M_2 \leq \dots \leq M$$

stabilises in the sense that  $M_n = M_{n+1} = \dots$  for all  $n > N$ .

- (2) **artinian** if it satisfies the **descending chain condition** (DCC): for any chain of submodules

$$M \geq M_1 \geq M_2 \geq \dots$$

stabilises.

**Example 12.1.** Let  $k$  be a field, and  $V$  a finite dimensional vector space. Then  $V$  is both noetherian and artinian as any strictly increasing or decreasing chain of subspaces has strictly increasing/decreasing dimension.

**Example 12.2.** Let  $R$  be a PID. Then  $M = R_R$  is noetherian but not artinian.

Why? Pick a nonzero, non-unit  $r \in R$ . We obtain a strictly decreasing chain of submodules

$$R \supseteq \langle r \rangle \supseteq \langle r^2 \rangle \supseteq \dots$$

so  $R_R$  is not artinian. However, consider the strictly increasing chain of ideals

$$\langle r_1 \rangle \leq \langle r_2 \rangle \leq \langle r_3 \rangle \leq \dots$$

so  $r_2 \mid r_1, r_n \mid r_{n-1}$ . Since the number of prime factors will stabilise,  $M$  is noetherian.

### 12.1 Submodules and Quotients

**Proposition 12.1.** Let  $R$  be a ring and  $N$  a submodule of an  $R$ -module  $M$ . Then  $M$  is noetherian (resp. artinian) if and only if  $N$  and  $M/N$  are.

*Proof.* We only prove the noetherian result. First, suppose that  $M$  satisfies the ACC, and therefore  $N$  satisfies the ACC trivially. Then let  $\pi : M \rightarrow M/N$  be the projection map, and consider the chain of submodules

$$\overline{M_1} \leq \overline{M_2} \leq \dots$$

**Exercise.** We get an ascending chain of submodules of  $M$

$$\pi^{-1}(\overline{M_1}) \leq \pi^{-1}(\overline{M_2}) \leq \dots$$

ACC on  $M$  implies that for  $n$  large enough, this chain stabilises. Now  $\pi$  is surjective so  $\pi\pi^{-1}(\overline{M_i}) = \overline{M_i}$ , and so the first chain stabilises in  $M/N$  and thus the ACC holds for  $M/N$  as well.

Conversely, suppose that the ACC holds for  $N$  and  $M/N$ . Consider a chain of submodules of  $M$

$$M_1 \leq M_2 \leq \dots$$

We then get induced chains on  $N$  and  $M/N$ :

$$M_1 \cap N \leq M_2 \cap N \leq \dots$$

$$\pi(M_1) \leq \pi(M_2) \leq \dots$$

ACC on  $N$  and  $M/N$  means that these chains stabilise and we may assume that  $n$  is large enough that  $M_n \cap N = M_{n+1} \cap N = \dots$  and  $\pi(M_n) = \pi(M_{n+1}) = \dots$ . It now suffices to prove

**Claim 12.1.** *Suppose given  $L_1 \leq L_2 \leq \dots \leq M$  with  $L_1 \cap N = L_2 \cap N$  and  $\pi(L_1) = \pi(L_2)$ . Then  $L_1 = L_2$ .*

*Proof.* It suffices to show that  $L_2 \leq L_1$ . Pick  $m_2 \in L_2$  and we know

$$\pi(m_2) = m_2 + N \in \pi(L_2) = \pi(L_1)$$

so  $m_2 + N = m_1 + N$  for some  $m_1 \in L_1$ . Therefore  $m_2 - m_1 \in N \cap L_1 \subseteq L_1$ .

It follows that  $m_2 = m_1 + (m_2 - m_1) \in L_1$ . □

□

□

**Remark.** Proposition (12.1) is equivalent to the following statement.

For any short exact sequence of  $R$ -modules

$$0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

then  $M$  is noetherian (resp. artinian) if and only if  $M'$  and  $M''$  are.

**Corollary 12.1.** (1) *If  $M_1, M_2$  are noetherian (resp. artinian) then so is  $M_1 \oplus M_2$ .*

(2) *If  $M_1, M_2$  are noetherian (resp. artinian) submodules of  $M$ , then  $M_1 + M_2$  is noetherian (resp. artinian).*

*Proof.* (1) We have a split exact sequence

$$0 \rightarrow M_1 \xrightarrow{\iota_1} M_1 \oplus M_2 \xrightarrow{\pi_2} M_2 \rightarrow 0.$$

(2) We have a surjective homomorphism

$$\begin{aligned} (\iota \quad \iota) : M_1 \oplus M_2 &\rightarrow M_1 + M_2 \\ (m_1, m_2) &\mapsto m_1 + m_2, \end{aligned}$$

so (1) and proposition (12.1) give the result. □

□

## 12.2 Finite Generation

**Proposition 12.2.** An  $R$ -module  $M$  is noetherian if and only if every submodule is finitely generated.

*Proof.* Suppose  $M$  is infinitely generated. It suffices to construct a strictly ascending chain of finitely generated submodules inductively: set  $M_0 = 0$  and

$$M_n = \sum_{i=1}^n f_i R$$

where  $f_{n+1}$  is any element of  $M \setminus M_n$  (this is possible because  $M$  is infinitely generated but  $M_n$  is finitely generated). Putting

$$M_{n+1} = M_n + f_{n+1}R.$$

Conversely, consider an ascending chain of submodules

$$M_1 \leq M_2 \leq \dots$$

**Exercise.**

$$M' := \bigcup_{i \geq 1} M_i$$

is a submodule.

Therefore it is finitely generated by say  $m_1, \dots, m_r$ . Hence we can pick  $n$  large enough so  $M_n \ni m_1, \dots, m_r$ . Thus

$$M' = \sum_{i=1}^r m_i R = M_n = M_{n+1} = \dots$$

so the ACC holds. □

### 13 Noetherian Rings

**Definition 13.1.** A ring  $R$  is (right) noetherian (resp. artinian) if the module  $R_R$  is noetherian (resp. artinian).

**Example 13.1.** Let  $k$  be a field. Then any finite dimensional  $k$ -algebra  $A$  is right and left noetherian and artinian.

Furthermore, any PID is noetherian, but  $k\langle x, y \rangle$  is not noetherian or artinian.

**Proposition 13.1.** Let  $R$  be a right noetherian ring.

- (1) An  $M$ -module  $M$  is noetherian if and only if  $M$  is finitely generated.
- (2) Every submodule of a finitely generated  $R$ -module is itself finitely generated.

*Proof.* (1)  $\Rightarrow$  (2) by proposition (12.1), so it remains to prove (1). The forward direction follows from proposition (12.2). Conversely, if  $M$  is finitely generated, there exists elements  $m_1, \dots, m_n \in M$  with  $M = m_1 R + \dots + m_n R$ . Each  $m_i R$  is noetherian as it is a quotient of  $R_R$ , and hence  $M$  is noetherian by corollary (12.1). □

**Exercise.** If  $R$  is right noetherian, so is  $R/I$  for every  $I \triangleleft R$ .

#### 13.1 Almost Normal Extensions

**Definition 13.2.** Let  $S$  and  $R$  be rings such that  $R$  is a subring of  $S$ . We say  $S$  is an **almost normal extension** of  $R$  with **almost normal generator**  $a$  if

- (1)  $R + aR = R + Ra$ , so (exercise: induction)

$$R + aR + a^2 R + \dots + a^d R = R + Ra + \dots + Ra^d$$

that is, any “right” polynomial in  $a$  (with coefficients in  $R$ ) of degree  $\leq d$  is also a left polynomial in  $a$  with degree  $\leq d$ .

- (2)  $S = \bigcup_{d \geq 0} R + Ra + \dots + Ra^d$

We then write  $S = R\langle a \rangle$ .

**Example 13.2.** Let  $R$  be a ring. Then  $R[x_1, \dots, x_n] = (R[x_1, \dots, x_{n-1}])[x_n]$  is an almost normal extension of  $R[x_1, \dots, x_{n-1}]$  with almost normal generator  $x_n$ .

**Example 13.3.** Consider the Weyl algebra  $\mathbb{C}\langle x, \partial \rangle = \mathbb{C}[x]\langle \partial \rangle$  – this is an almost normal extension of  $\mathbb{C}[x]$  with almost normal generator  $\partial$ .

Why? We know (by the Weyl relation)  $\partial x = x\partial + 1$  and so  $\mathbb{C}[x] + \partial\mathbb{C}[x] = \mathbb{C}[x]\partial + \mathbb{C}[x]$ .

### 13.2 Hilbert's Basis Theorem

**Theorem 13.1** (Hilbert). *Let  $R$  be a right noetherian ring and let  $S = R\langle a \rangle$  be an almost normal extension with almost normal generator  $a$ . The  $S$  is right noetherian.*

*Proof.* By proposition (12.2), it suffices to show that any right ideal  $I \triangleleft S$  is finitely generated. We consider the set of “degree  $j$  leading coefficients”

$$I_j := \left\{ r_j \in R \mid \sum_{i=0}^j r_i a^i \in I \right\} \subseteq R$$

for each  $j \geq 0$ .

Note that  $I_j \subseteq I_{j+1}$  since  $I$  is closed under right multiplication by  $a$ .

**Claim 13.1.**  *$I_j$  is also a right ideal of  $R$ .*

*Proof.* Let  $r_j \in I_j$ , so we have some  $\sum_{i=0}^j r_i a_i \in I$ . Note that  $I_j$  is an additive subgroup because  $I$  is. Now, let  $r \in R$ . Definition 13.2(1) implies that  $ra^j = a^j r' +$  lower order terms in  $a$ . Then

$$\begin{aligned} I \ni \sum_{i=0}^j r_i r_i a^i r' &= r_j a^j r' + \text{lower order terms} \\ &= r_j r a^j + O(a^{j-1}) \end{aligned}$$

and so  $r_j r \in I_j$ . □

ACC on  $R_R$  implies that for  $d$  large enough, we have  $I_d = I_{d+1} = \dots$ . Note

$$I^{\leq d} = (R + Ra + \dots + Ra^d) \cap I = (R + aR + \dots + a^d R) \cap I$$

is a finitely generated  $R$ -module since it is a submodule of the noetherian module  $R + \dots + Ra^d$ . Thus we can find  $f_1, \dots, f_s \in I^{\leq d}$  with  $f_1 R + \dots + f_s R = I^{\leq d}$ . It suffices to show that  $I' := f_1 S + \dots + f_s S \supseteq I$ , since  $I \supset I'$ .

Define

$$I'_j := \left\{ r_j \in R \mid \sum_{i=0}^j r_i a^i \in I' \right\} \subset I_j.$$

But

$$I' \cap (R + \dots + a^d R) \geq (f_1 R + \dots + f_s R) \cap (R + aR + \dots + a^d R) = I \cap (R + aR + \dots + a^d R) \quad (13.1)$$

and so  $I'_d = I_d = I_{d+1} = I'_{d+1}$  so  $I'_t = I_t$  for  $t \geq d$ . We now show

$$r = r_t a^t + r_{t-1} a^{t-1} + \dots + r_0 \in I$$

is in  $I'$  by induction on  $t$ .

If  $t \leq d$ , then this holds by (13.1). Otherwise, we know  $I'_t = I_t$  so there exists  $\sum_{i=0}^t r'_i a^i \in I'$  with  $r'_t = r_t$ . Then  $r - r' \in I \cap (R + \dots + Ra^{t-1})$  so we are done by induction. □

**Corollary 13.1.** *If  $R$  a noetherian ring, so is  $R[x_1, \dots, x_n]$ . Furthermore, the Weyl algebra is noetherian.*

## 14 Composition Series

### 14.1 Simple Modules

Let  $R$  be a ring.

**Definition 14.1.** An  $R$ -module  $M \neq 0$  is called **simple** or **irreducible** if the only submodules of  $M$  are  $0$  and  $M$  itself.

A right ideal  $I \triangleleft R$  is **maximal** if the only ideal strictly containing  $I$  is  $R$ . We say  $I$  is **minimal** if the only ideals contained in  $I$  are  $0$  and  $I$ .

**Proposition 14.1.** A right module  $M$  is simple if and only if  $M \cong R/I$  for some maximal  $I \triangleleft R$ .

*Proof.* Suppose  $M$  is simple, and pick  $m \in M \setminus \{0\}$ . Consider the homomorphism  $\lambda_m : R \rightarrow M$  which maps  $r \mapsto mr$ . Note that  $\lambda_m$  is surjective as  $\text{im}(\lambda_m)$  is a nonzero submodule of the simple module  $M$ . The first isomorphism theorem implies that  $M \cong R/I$  for some  $I = \ker \lambda_m$ . Now,  $I$  is maximal, for if not we can find an ideal  $I'$  with  $I \subsetneq I' \subsetneq R$ . This gives a nontrivial submodule of  $M$  corresponding to  $I'/I$ .

The converse is clear by reversing the above argument. □

**Example 14.1.** Let  $R$  be a PID. Simple modules correspond to  $R/\langle p \rangle$  for some  $p \in R$  prime.

**Example 14.2.** Let  $R$  be the Weyl algebra  $\mathbb{C}\langle x, \partial \rangle$ , and  $M$  the left  $R$ -module  $\mathbb{C}[x]$ . Then  $M$  is simple for given a submodule  $N$  containing some nonzero element, say  $p(x) = p_n x^n + \dots + p_0 \neq 0$ , then  $N \ni \frac{1}{p_n n!} \frac{d}{dx^n} p(x) = 1$ , so  $N = M$ .

### 14.2 Composition series

**Definition 14.2.** Let  $M$  be an  $R$ -module. A **composition series** is a chain of submodules

$$0 < M_1 < M_2 < \dots < M_n = M$$

such that  $M_{i+1}/M_i$  is simple for all  $i$ .

In this case, we call  $M_{i+1}/M_i$  the **composition factors** of  $M$  and say  $M$  has **finite length**.

**Example 14.3.** Let  $R = k[x]$ , and  $M = k[x]/\langle x^2 \rangle$ . Then  $M$  has composition series

$$0 < \frac{\langle x \rangle}{\langle x^2 \rangle} < M.$$

Thus

$$\frac{k[x]/\langle x^2 \rangle}{\langle x \rangle/\langle x^2 \rangle} \cong \frac{k[x]}{\langle x \rangle}$$

is simple. Also, we have the isomorphism

$$\frac{k[x]}{\langle x \rangle} \cong \frac{\langle x \rangle}{\langle x^2 \rangle}$$

that maps  $1 \mapsto x + \langle x^2 \rangle$ . Therefore the composition series has a repeated composition factor,  $k[x]/\langle x \rangle$ .

### 14.3 Jordan Hölder Theorem

**Theorem 14.1.** *Let  $M$  be an  $R$ -module with two composition series*

$$0 < M_1 < M_2 < \dots < M_n = M \tag{14.1}$$

and

$$0 < M'_1 < M'_2 < \dots < M'_m = M. \tag{14.2}$$

Then  $n = m$  (called the **length** of  $M$ ). Also, there exists a permutation  $\sigma$  of  $\{1, \dots, n\}$  such that

$$\frac{M_i}{M_{i-1}} \cong \frac{M'_{\sigma(i)}}{M'_{\sigma(i-1)}},$$

that is, the composition factors are equal up to isomorphism.

*Proof.* By induction on  $n$  with the case  $n = 0$  clear.

Suppose that  $n \geq 1$ . Note that we have the following composition series for  $M/M_1$ :

$$0 = \frac{M_1}{M_1} < \frac{M_2}{M_1} < \dots < \frac{M}{M_1} \tag{14.3}$$

which has the same composition factors as (14.1) except one copy of  $M_1$  is removed.

We construct another composition series for  $M/M_1$  as follows. Note that  $M_1$  is simple, so for any  $i$ , we have  $M'_i \cap M_1$  is either 0 or all of  $M_1$ , in which case  $M'_i \supseteq M_1$ . Hence we can find a  $j$  such that  $M'_j \cap M_1 = 0$  but  $M'_{j+1} \cap M_1 = M_1$ . Note  $(M_1 + M'_j)/M'_j$  is a nonzero submodule of  $M'_{j+1}/M'_j$  and so must equal it.

Hence

$$\begin{aligned} M_1 &\cong \frac{M_1}{M_1 \cap M'_j} \\ &\cong \frac{M_1 + M'_j}{M'_j} \\ &\cong \frac{M'_{j+1}}{M'_j}. \end{aligned}$$

It suffices by induction to now prove

**Claim 14.1.** *We have the following composition series for  $M/M_1$*

$$0 < \frac{M'_1 + M_1}{M_1} < \frac{M'_2 + M_1}{M_1} < \dots < \frac{M'_j + M_1}{M_1} = \frac{M'_{j+1}}{M_1} < \dots < \frac{M}{M_1} \tag{14.4}$$

and this has the same composition factors as (14.2) except one copy of  $M'_{j+1}/M'_j \cong M_1$  is removed.

*Proof.* For  $i > j$ , we have

$$\frac{M'_{i+1}/M_1}{M'_i/M_1} \cong \frac{M'_{i+1}}{M'_i} \text{ simple.}$$



For  $i \leq j$ , we have composition factors

$$\begin{aligned} \frac{(M'_{i+1} + M_1)/M_1}{(M'_i + M_1)/M_1} &\cong \frac{M'_{i+1} + M_1}{M'_i + M_1} \\ &\cong \frac{M'_{i+1} + (M'_i + M_1)}{M'_i + M_1} \\ &\cong \frac{M'_{i+1}}{M'_i \cap (M'_i + M_1)} \\ &\cong \frac{M'_{i+1}}{M'_i}. \end{aligned}$$

If  $m \in M'_{i+1}$  and  $m = m_i + m_1$  with  $m_i \in M'_i$  and  $m_1 \in M_1$ , then

$$m - m_i = m_1 \in M'_{i+1} \cap M_1 = 0$$

and so  $m_1 = 0$ ,  $m \in M'_i$  and we are done. □

□

□

**Exercise.** If  $M_1, \dots, M_n$  are simple, find a composition series for  $M_1 \oplus \dots \oplus M_n$ .

**Remark.** Any simple module is noetherian and artinian.

**Exercise.** Show  $M$  has finite length if and only if  $M$  is noetherian and artinian.

## 15 Semisimple Modules

Let  $R$  be a ring.

**Proposition 15.1.** A (right)  $R$ -module  $M$  is **semisimple** if any of the following equivalent conditions hold

- (1) Any submodule  $M' \leq M$  is a direct summand
- (2) Any surjective homomorphism  $M \rightarrow M''$  is split
- (3) Any short exact sequence of the form

$$0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

splits.

*Proof.* Easy exercise. □

□

**Example 15.1.** Let  $R = k[x]$ , with  $k$  a field. Then  $k[x]/\langle x^2 \rangle$  is not semisimple because

$$0 \rightarrow \frac{\langle x \rangle}{\langle x^2 \rangle} \hookrightarrow M \rightarrow \frac{k[x]}{\langle x^2 \rangle} \rightarrow 0$$

is not split. However,

$$M = \frac{k[x]}{\langle x(x-1) \rangle} \cong \frac{k[x]}{\langle x \rangle} \oplus \frac{k[x]}{\langle x-1 \rangle}$$

is semisimple (as the direct summands are semisimple).

**Lemma 15.1.** Let  $M$  be a semisimple module, and  $N \leq M$ . Then  $N$  is semisimple.

*Proof.* Let  $N' \leq N \leq M$ . As  $M$  is semisimple, the map  $N' \hookrightarrow M$  is split by  $\pi : M \rightarrow N'$ . Restrict  $\pi$  to  $N$ , and hence  $\pi|_N : N \rightarrow N'$  also splits  $N' \hookrightarrow N$ , so by proposition (15.1)(1)  $N$  is also semisimple.  $\square$

**Lemma 15.2.** Let  $M \neq 0$  be a cyclic module. Then there exists a quotient  $M/N$  which is simple.

*Proof.*  $M$  cyclic implies that  $M = mR$  for some  $m \in M$ . We thus find a surjective homomorphism  $R \xrightarrow{m} M$ . The first isomorphism theorem then implies that we can assume  $M = R/I$  for some right ideal  $I$ . Zorn's lemma tells us that we can find a right ideal  $J$  such that

- (1)  $J \supseteq I$ ;
- (2)  $1 \notin J$ ; and
- (3)  $J$  is maximal with respect to these properties.

Call this maximal  $J$   $J_{max}$ . Indeed, let  $\mathcal{S}$  be the set of such  $J$ , then given an increasing chain of right ideals in  $\mathcal{S}$

$$I \subseteq J_1 \subseteq J_2 \subseteq \dots$$

we have

$$J_\infty = \bigcup_{i \in \mathbb{N}} J_i$$

is an upper bound in  $\mathcal{S}$  since

**Exercise.**  $J_\infty$  is a right ideal which satisfies the properties above.

The maximality of  $J_{max}$  implies that any right ideal strictly containing  $J_{max}$  contains 1 and therefore is  $R$ .

Hence

$$\frac{R/I}{J_{max}/I} \cong \frac{R}{J_{max}}$$

is the desired simple quotient.  $\square$

**Lemma 15.3.** Let  $N \leq M$ . Then there exists a submodule  $N' \leq M$  such that

- (a)  $N'$  is a direct sum of simple modules
- (b)  $N \cap N' = 0$
- (c) for any simple submodule  $N_s \leq M$  we have

$$(N + N') \cap N_s \neq 0.$$

*Proof.* Let  $\{N_s\}_{s \in S}$  be the set of simple submodules of  $M$  and  $\mathcal{S} \subset \mathcal{P}(S)$  be the set of  $J \subseteq S$  such that

- (1)  $N_J := \sum_{j \in J} N_j$  is a direct sum in the sense that the natural map

$$\bigoplus_{j \in J} N_j \longrightarrow \sum_{j \in J} N_j$$

is an isomorphism.

$$(2) N \cap N_J = 0.$$

By Zorn's lemma

**Exercise.**  $\mathcal{S}$  has a maximal element, say  $J_{max}$ .

We wish to show  $N' = N_{J_{max}}$  satisfies the lemma. Note that (1) and (2) give (a) and (b). We need only check (c) so suppose instead that there exists a simple module  $N_s$  with

$$(N + N') \cap N_s = 0. \tag{15.1}$$

We will show that  $J' = J_{max} \cup \{S\}$  contradicts the maximality of  $J_{max}$ . Note that  $N_s \cap N' = N_s \cap N_{J_{max}} = 0$ , so

$$N_{J'} = N_s \oplus N'$$

so (1) holds. We show (2) holds for  $J'$ , that is,  $N \cap N_{J'} = 0$ .

Suppose instead that  $(N_s + N') \cap N \ni n = n_s + n'$ . Since (c) is assumed to be false,  $n - n' = n_s \in (N + N') \cap N_s$  so  $n - n' = n_s = 0$ . This means that  $n = n' \in N \cap N' = 0$  by (b). This is a contradiction, so  $(N_s + N') \cap N = 0$ . □

**Theorem 15.1.** *The following are equivalent for an  $R$ -module  $M$*

- (1)  $M$  is semisimple
- (2)  $M \cong \bigoplus M_j$  with  $M_j$  simple for all  $j$ .

*Proof.* Suppose that  $M$  is semisimple. By lemma (15.3) with  $N = 0$  we get a direct sum of simples  $N' \leq M$  such that

$$N' \cap N_s = 0$$

for  $N_s$  simple. It suffices to show that  $M = N'$ . Proposition (15.1) implies that  $M = N' \oplus N''$  for some  $N'' \leq M$ . Pick  $n'' \in N'' \setminus \{0\}$ , so the submodule  $n''R$  is also semisimple by lemma (15.1). By lemma (15.2),  $n''R$  has a simple quotient  $N'''$ . The semisimplicity of  $n''R$  implies that it has a submodule  $N_S$  isomorphic to  $N'''$ . But  $N_S \cap N' \subseteq N'' \cap N' = 0$ , so (c) in lemma (15.3) is violated.

Conversely, Let  $N \leq M$ ,  $N'$  be as in lemma (15.3). It suffices to show  $M = N' + N$  for we know  $N \cap N' = 0$ .

Suppose  $M \neq N + N'$ , so there exists  $M_j \leq N + N'$ . But  $M_j$  is simple, and therefore  $(N + N') \cap M_j = 0$ . This contradicts (c) in lemma (15.3), so  $N + N' = M$ . □

**Exercise.** Let  $R = \mathbb{Z}[x]$ . Show that  $R/\langle 6x \rangle$  is not semisimple, but  $R/\langle 6, x \rangle$  is.

## 16 Semisimple rings and Group Representations

**Proposition 16.1.** A ring  $R$  is semisimple if either of the following equivalent conditions hold

- (1) Any short exact sequence of right  $R$ -modules

$$0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

splits

- (2)  $R_R$  is semisimple.

*Proof.* It is clear that (1)  $\Rightarrow$  (2) on setting  $M = R_R$ . For (2)  $\Rightarrow$  (1), (2) and theorem (15.1) imply that any free module is a direct sum of simple modules and so is semisimple. Any  $M$  is a quotient of a free module  $F$ , and the semisimplicity of  $F$  implies that  $M$  is isomorphic to a summand of  $F$  so is semisimple by lemma (15.1).  $\square$

**Example 16.1.** Let  $D$  be a division ring. Then  $D$  is right and left semisimple since  ${}_D D$  and  $D_D$  are simple and therefore semisimple.

More generally,

**Proposition 16.2.** Let  $D$  be a division ring and  $R = M_n(D)$ . Then

- (1)  $D^n$  is a simple right  $R$ -module
- (2)  $M_n(D)$  is semisimple.

*Proof.* (1) Consider  $N \leq D^n$  which is nonzero. It suffices to show  $N = D^n$ .

Pick  $(\alpha_1, \dots, \alpha_n) \in N$  with  $\alpha_i \neq 0$ . Let  $\varphi \in M_n(D)$  with  $\alpha_i^{-1}$  in the  $(1, i)$ th entry and zeroes elsewhere. Then  $N \ni (\alpha_1, \dots, \alpha_n)\varphi = (1, 0, \dots, 0)$  and so

$$N \ni (1, 0, \dots, 0) \begin{pmatrix} \beta_1 & \beta_2 & \dots & \beta_n \\ * & * & * & * \end{pmatrix} = (\beta_1, \dots, \beta_n) \in D^n.$$

So  $N = D^n$  and  $D^n$  must be simple.

- (2)  $R = M_n(D)$  is right semisimple because  $R_R$  has the following direct sum decomposition into simple modules (using (1))

$$M_n(D) = \begin{pmatrix} D & \dots & D \\ \vdots & \ddots & \vdots \\ D & \dots & D \end{pmatrix} = \begin{pmatrix} D^n \\ 0 \\ \vdots \\ 0 \end{pmatrix} \oplus \dots \oplus \begin{pmatrix} 0 \\ \vdots \\ 0 \\ D^n \end{pmatrix} \cong D^n \oplus \dots \oplus D^n.$$

Similarly  $R$  is left semisimple.  $\square$

**Proposition 16.3.** Let  $R_1, \dots, R_n$  be rings, and  $R = R_1 \times \dots \times R_n$  their product. Then

- (1) Given  $R_i$ -modules  $M_i$  for each  $i$ , we obtain an  $R$ -module structure on

$$M = M_1 \times \dots \times M_n$$

with scalar multiplication defined by

$$(m_1, \dots, m_n)(r_1, \dots, r_n) := (m_1 r_1, \dots, m_n r_n).$$

- (2) Conversely, every  $R$ -module has this form (the  $M_i$  are called the **components** of  $M$ ).

*Proof.* (1) Check module axioms.

- (2) We give a sketch proof in the case  $n = 2$ . Let  $R = R_1 \times R_2$ , and consider the nonunital subrings  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} R = R_1 \times \{0\} \cong R_1$  and  $\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} R \cong R_2$ . Let  $M$  be an  $R$ -module, and  $M_1 = M \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ ,  $M_2 = M \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ .

**Exercise.** Note  $M_1$  is a module over  $R_1 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} R$  “since”

$$\begin{aligned} M_1 R_1 &= M \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} R \\ &= M \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} R \\ &= MR \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \\ &= M \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \\ &= M_1. \end{aligned}$$

Similarly,  $M_2$  is an  $R_2$ -module.

it now suffices to show

$$\begin{aligned} \varphi : M_1 \times M_2 &\longrightarrow M \\ (m_1, m_2) &\mapsto m_1 + m_2 \end{aligned}$$

is an  $R$ -module isomorphism by showing

**Exercise.** (a)  $M_1 + M_2 = M$  since given any  $m \in M$  we have  $m = m \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} = m \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} + m \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$

(b)  $M_1 \cap M_2 = 0$  since given  $m \in M_1 \cap M_2$ ,  $m \in M_1 \Rightarrow m 1_{R_1} = m \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  and  $m \in M_2 \Rightarrow m = m 1_{R_2} = m \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ . It follows that  $m \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = m = 0$ .

(c) Show  $\varphi$  is actually  $R$ -linear.

□

**Corollary 16.1.** Any finite product  $R = R_1 \times \cdots \times R_n$  of semisimple rings is also semisimple.

*Proof.* Each  $R_i \cong \bigoplus_{j=1}^{i_r} M_{i_j}$  for simple  $R_i$ -modules  $M_{i_j}$ .

**Exercise.** Note that these sums are finite as 1 must have a nonzero component in each  $M_{i_j}$ .

So

$$R_R = \left( \bigoplus_{j=1}^{i_1} M_{1_j} \times 0 \times \cdots \times 0 \right) \oplus \cdots \oplus \left( \bigoplus_{j=1}^{i_n} 0 \times \cdots \times 0 \times M_{n_j} \right)$$

is a decomposition of  $R_R$  into a direct sum of simple modules.

□

### 16.1 Group Representations

Let  $G$  be a group, and  $R$  a commutative ring.

**Definition 16.1.** An ( $R$ -linear) **representation** of  $G$  is a group homomorphism

$$\rho : G \longrightarrow \text{Aut}_R(V)$$

for some  $R$ -module  $V$ .

**Remark.** (1)  $\text{Aut}_R(V)$  is a subgroup of the permutation group of  $V$  so the group representation above gives a  $G$ -set such that each  $g \in G$  acts linearly on  $V$ .

**Exercise.** Given any  $G$ -set such that every  $g \in G$  acts linearly on  $V$ , we obtain a group representation.

Such  $G$ -sets are called  $G$ -modules.

- (2) (a) Given any left  $RG$ -module  $M$ , we may consider it an  $(RG, R)$ -bimodule with right action of  $R$  equal to the left action. This is well defined as  $R \subseteq Z(RG)$ .
- (b) Proposition (10.2) implies that such an  $(RG, R)$ -bimodule structure is equivalent to giving the right  $R$ -module  $M$  and a ring homomorphism

$$\Phi : RG \longrightarrow \text{End}_R(M)$$

- (c) Given such an  $R$ -algebra homomorphism we obtain by restriction a group representation

$$\rho : G \rightarrow \text{Aut}_R(M).$$

Conversely, any such group representation extends linearly to an  $R$ -algebra homomorphism  $RG \rightarrow \text{End}_R(M)$ .

**Exercise.** Let  $G = \langle \sigma \rangle$  be a cyclic group of order  $n$ , and  $A = \mathbb{C}G$ ,  $M = \mathbb{C}$ . We have the group representation

$$\rho : G \rightarrow \text{Aut}_A(\mathbb{C}) = \mathbb{C}^*$$

defined by  $\sigma \mapsto \omega$ , some  $n$ th root of unity.

This gives by remark (2) a  $\mathbb{C}G$ -module with left action

$$\left( \sum_{i=0}^{n-1} \alpha_i \sigma^i \right) \alpha := \sum_{i=0}^{n-1} \alpha_i \omega^i \alpha.$$

## 17 Maschke's Theorem

### 17.1 Reynold's Operator

Let  $G$  be a finite group of order  $n$ , and  $R$  a commutative ring such that  $n \in R^*$ . For example, if  $R$  is a field then this condition just means  $\text{char } R \nmid n$ .

Let  $M$  be a left  $RG$ -module.

**Proposition 17.1.** The fixed submodule of  $M$  is

$$M^G := \{m \in M \mid gm = m \ \forall g \in G\}.$$

This is an  $R$ -submodule of  $M$ .

*Proof.* Exercise in checking axioms. □

Note that  $M$  can be viewed as an  $(RG, R)$ -bimodule with right  $R$ -action the same as the left action. Consider the element

$$e = \frac{1}{|G|} \sum_{g \in G} g \in RG$$

which is well defined since  $|G| \in R^*$ .

Now consider the right  $R$ -linear map induced by left multiplication by  $e$ ,

$$(\cdot)^{\natural} : M \longrightarrow M.$$

This is called the **Reynold's operator**.

**Lemma 17.1.** (1)  $\text{im}((\cdot)^{\natural}) \subseteq M^G$

- (2) The induced map  $(\cdot)^\natural : M \rightarrow M^G$  splits the inclusion map  $\iota : M^G \hookrightarrow M$  (that is,  $(\cdot)^\natural$  projects onto  $M^G$ ).

*Proof.* (1) Let  $m \in M, g \in G$ . Then

$$\begin{aligned} gm^\natural &= g \frac{1}{|G|} \sum_{h \in G} hm \\ &= \frac{1}{|G|} \sum_{h \in G} ghm \\ &= \frac{1}{|G|} \sum_{h' \in G} h'm \\ &= m^\natural. \end{aligned}$$

So  $m^\natural \in M^G$  and (1) holds.

- (2) We need to check  $(\cdot)^\natural \circ \iota : M^G \hookrightarrow M \rightarrow M^G$  is the identity on  $M^G$ . Let  $m \in M^G$ , then

$$\begin{aligned} (\iota m)^\natural &= m^\natural \\ &= \frac{1}{|G|} \sum_{g \in G} gm \\ &= \frac{1}{|G|} |G| m \text{ since } m \in M^G \\ &= m. \end{aligned}$$

So  $(\cdot)^\natural \circ \iota = \text{id}_{M^G}$  and  $\natural$  splits  $\iota$ . □

## 17.2 $\text{Hom}_R(M, N)$

Let  $R$  be a commutative ring,  $G$  a finite group and  $M, N$   $R$ -modules.

**Proposition 17.2.** The abelian group  $\text{Hom}_R(M, N)$  has the structure of an  $R$ -module with scalar multiplication defined by

$$(rf)(m) := rf(m) = f(rm)$$

for  $r \in R, f \in \text{Hom}_R(M, N)$  and  $m \in M$ .

*Proof.* Exercise in checking axioms. □

**Proposition 17.3.** Suppose now that  $M, N$  are  $RG$ -modules, corresponding to  $R$ -linear group representations  $\rho_M : G \rightarrow \text{Aut}_R(M)$  and  $\rho_N : G \rightarrow \text{Aut}_R(N)$ .

Then  $H := \text{Hom}_R(M_R, N_R)$  is a left  $RG$ -module with corresponding group representation

$$\begin{aligned} \rho : G &\longrightarrow \text{Aut}_R(H_R) \\ g &\mapsto ((M \rightarrow N) \ni f \mapsto \rho_N(g) \circ f \circ \rho_M(g^{-1}) \in (M \rightarrow M \rightarrow N \rightarrow N)). \end{aligned}$$

*Proof.* It suffices to show  $\rho$  is a well defined group homomorphism.

- (1) Note  $\rho_N(g) \circ f \circ \rho_M(g^{-1}) \in H$  since it is a composition of  $R$ -linear maps
- (2) Also  $\rho(g) : f \mapsto \rho_N(g) \circ f \circ \rho_M(g^{-1})$  is in  $\text{Aut}_R(H)$  since it is clearly invertible (with inverse  $\rho(g^{-1})$ ) and it is clearly (exercise)  $R$ -linear.

(3)  $\rho$  is a group homomorphism, for given  $g, h \in G$  we have for all  $f \in H$ ,

$$\begin{aligned} [\rho(gh)](f) &= \rho_N(gh) \circ f \circ \rho_M(h^{-1}g^{-1}) \\ &= \rho_N(g) \circ \rho_N(h) \circ f \circ \rho_M(h^{-1}) \circ \rho_M(g^{-1}) \\ &= \rho(g)(\rho(h)f) \\ &= (\rho(g)\rho(h))(f). \end{aligned}$$

□

**Lemma 17.2.** Let  $M, N$  be  $RG$ -modules. A function  $f : M \rightarrow N$  is  $RG$ -linear if and only if it is  $R$ -linear and for all  $g \in G, m \in M$ ,

$$f(gm) = gf(m).$$

*Proof.* The forward direction is clear. For the converse, it suffices to check

$$f \left( \left( \sum_{g \in G} r_g g \right) m \right) = \left( \sum_{g \in G} r_g g \right) f(m).$$

But

$$\begin{aligned} f \left( \left( \sum_{g \in G} r_g g \right) m \right) &= f \left( \sum_{g \in G} r_g gm \right) \\ &= \sum_{g \in G} r_g f(gm) \\ &= \left( \sum_{g \in G} r_g g \right) f(m). \end{aligned}$$

□

**Proposition 17.4.** Let  $M, N$  be  $RG$ -modules. Then

$$\text{Hom}_R(M, N)^G = \text{Hom}_{RG}(M, N).$$

*Proof.* Let  $f \in \text{Hom}_R(M, N)$  and  $g \in G$ . Then

$$\begin{aligned} gf = f &\Leftrightarrow [\rho(g)]f = f \\ &\Leftrightarrow f \circ \pi_M(g)\rho_N(g) \circ f \\ &\Leftrightarrow \forall m \in M, f(gm) = gf(m). \end{aligned}$$

Lemma (17.2) then implies that  $f \in \text{Hom}_R(M, N)^G \Leftrightarrow f \in \text{Hom}_{RG}(M, N)$ . □

**Theorem 17.1 (Maschke).** Let  $G$  be a finite group,  $k$  a field such that  $\text{char } k \nmid |G|$ . Then  $kG$  is left (and right) semisimple.



*Proof.* Consider the inclusion of  $kG$ -modules

$$\iota : N \rightarrow M.$$

It suffices to show that  $\iota$  splits.

Now  $k$  is a field and therefore is semisimple, so there is a  $k$ -module splitting  $\pi : M \rightarrow N \in \text{Hom}_k(M, N)$ . Proposition (17.3) and lemma (17.1) imply that  $\pi^{\natural} \in \text{Hom}_k(M, N)^G = \text{Hom}_{kG}(M, N)$ . It now suffices to show  $\pi^{\natural}$  splits  $\iota$ , that is,

$$\pi^{\natural} \circ \iota = \text{id}_N.$$

Let  $n \in N$ , then

$$\begin{aligned} \pi^{\natural}(\iota(n)) &= \pi^{\natural}(n) \\ &= \left[ \left( \frac{1}{|G|} \sum_{g \in G} g \right) \pi \right] (n) \\ &= \frac{1}{|G|} \sum_{g \in G} g\pi(g^{-1}n) \\ &= \frac{1}{|G|} \sum_{g \in G} gg^{-1}n \\ &= \frac{1}{|G|} \sum_{g \in G} n \\ &= n. \end{aligned}$$

So  $\pi^{\natural} \circ \iota = \text{id}_N$  and therefore  $\pi^{\natural}$  splits  $\iota$  and hence  $kG$  is left semisimple. □

**Exercise.** Let  $G = \langle \sigma \rangle \cong \mathbb{Z}/2\mathbb{Z}$  act on the vector space  $V$  of real valued functions on  $\mathbb{R}$  by

$$(\sigma f)(x) := f(-x).$$

$V$  is an  $\mathbb{R}G$ -module. Explore the Reynold's operator and the fixed submodule in this case.

## 18 Wedderburn's Theorem

Let  $R$  be a ring.

### 18.1 Schur's Lemma

**Lemma 18.1** (Schur). Let  $M, N$  be simple  $R$ -modules.

- (1) Any  $R$ -module homomorphism  $\varphi : M \rightarrow N$  is either 0 or invertible
- (2)  $\text{End}_R(M)$  is a division ring

*Proof.* It is clear that (1) implies (2) as every nonzero element of  $\text{End}_R(M)$  is invertible by (1). So it remains to prove (1).

Suppose  $\varphi : M \rightarrow N$  is not the zero map. Then  $\text{im}(\varphi) \leq N$  is nonzero, so we must have  $\text{im}(\varphi) = N$  as  $N$  is simple. Also,  $\ker \varphi \leq M$  and  $\ker \varphi \neq M$  so  $\ker \varphi = 0$  and thus  $\varphi$  is injective. So  $\varphi$  is an isomorphism. □

## 18.2 Structure Theory

**Lemma 18.2.** The isomorphism of abelian groups

$$\begin{aligned} \Phi : E &\longrightarrow \text{End}_R(R) = \text{Hom}_R(R_R, R_R) \\ r &\mapsto (\lambda_r : s \mapsto rs) \end{aligned}$$

is an isomorphism of rings.

*Proof.* Just note that  $\lambda_1 = \text{id}_R = 1_{\text{End}_R(R)}$  and for  $r, s \in R$ , we have

$$\Phi(rs) = \lambda_{rs} = \lambda_r \lambda_s = \Phi(r)\Phi(s).$$

□

**Theorem 18.1** (Wedderburn). *Let  $R$  be a right semisimple ring. Then*

$$R \cong M_{n_1}(D_1) \times \cdots \times M_{n_r}(D_r)$$

where the  $D_i$  are division rings, and  $r, n_i \in \mathbb{Z}$ .

*Proof.* Theorem (15.1) implies that

$$R_R \cong V_1^{n_1} \oplus V_2^{n_2} \oplus \cdots \oplus V_r^{n_r}$$

for some simple nonisomorphic modules  $V_i$ .

**Exercise.** The sum is finite because the image of 1 in each component must be nonzero.

Schur's lemma implies that

$$\text{Hom}_R(V_i, V_j) = \begin{cases} 0 & i \neq j \\ D_i & i = j \end{cases}$$

where  $D_i$  is a division ring.

Now, lemma (18.2) implies that we have the following ring isomorphisms

$$\begin{aligned} R &\cong \text{End}_R(R_R) \\ &= \text{End}_R(V_1^{n_1} \oplus \cdots \oplus V_r^{n_r}) \\ &\cong \bigoplus_{i,j=1}^r \text{Hom}_R(V_i^{n_i}, V_j^{n_j}) \text{ by the universal property of } \oplus \\ &= M_{n_1}(D_1) \times \cdots \times M_{n_r}(D_r). \end{aligned}$$

□

**Remark.** The  $M_{n_i}(D_i)$  are called the **simple** or **Wedderburn components** of  $R$ . They are well defined by the following addendum:

**Addendum 18.1.** *The numbers  $n_i$  and division rings  $D_i$  are uniquely determined by  $R$  up to isomorphism and permutation.*

*Proof.* Continuing the above notation, suppose also that

$$R \cong M_{n'_1}(D'_1) \times \cdots \times M_{n'_s}(D'_s)$$

for some division rings  $D'_i$ . Then (abusing notation)

$$R_R = \left(D_1^{n'_1}\right)^{n'_1} \oplus \cdots \oplus \left(D_s^{n'_s}\right)^{n'_s}.$$

The Jordan-Hölder theorem implies that (on reindexing) we may suppose  $n_i = n'_i$  and  $V_i \cong (D'_i)^{n'_i}$  (and  $r = s$ ).

To show  $D'_i \cong D_i$ , we use

**Lemma 18.3.** For  $R = M_n(D)$  with  $D$  a division ring, we have

$$\text{End}_R(D^n) = D.$$

*Proof.* Note that  $\text{End}_R(D^n)$  is a subring of  $\text{End}_D(D^n) = M_n(D)$ .

**Exercise.** Show it is the subring of scalar matrices. □

□

□

**Corollary 18.1.** *A right semisimple ring is left semisimple and conversely a left semisimple ring is right semisimple.*

*Proof.* If  $R$  is right semisimple, then  $R \cong M_{n_1}(D_1) \times \cdots \times M_{n_r}(D_r)$  where  $D_i$  are division rings. These are left semisimple.

For the converse, we can apply the left hand version of Wedderburn's theorem, or note

**Exercise.**  $R$  is left semisimple if and only if  $R^{\text{op}}$  is right semisimple.

So left semisimplicity implies right semisimplicity. □

**Scholium 1.** Any semisimple ring is noetherian and artinian.

### 18.3 Module Theory

**Corollary 18.2.** *Let  $R \cong M_{n_1}(D_1) \times \cdots \times M_{n_r}(D_r)$  where the  $D_i$  are all division rings.*

(1) *The only simple modules up to isomorphism are  $D_1^{n_1}, \dots, D_r^{n_r}$*

(2) *Any  $R$ -module is a direct sum of these simples.*

*Proof.* (1)  $\Rightarrow$  (2) since  $R$  is semisimple, so it remains to prove (1).

Let  $M$  be a simple right  $R$ -module. Proposition (14.1) implies that we know  $M \cong R/I$  for some maximal ideal  $I$ .

Now  $M$  is a composition factor for  $R$  since any composition series for  $I$  gives one for  $R$ . But  $R_R \cong \bigoplus (D_i^{n_i})^{n_i}$  so the composition factors are all of the form  $D_i^{n_i}$ . □

**Definition 18.1.** For  $R \cong M_{n_1}(D_1) \times \cdots \times M_{n_r}(D_r)$  with each  $D_i$  a division ring, the components of any  $R$ -module are called **isotypic components**.

### 18.4 Finite dimensional Semisimple Algebras

Let  $k$  be an algebraically closed field, and  $R$  a finite dimensional  $k$ -algebra.

**Lemma 18.4.** If  $R$  is a division ring, then  $R = k$ .

*Proof.* Exercise (or note for  $r \in R$ ,  $k[r] \subset R$  is a finite field extension of  $k$ ). □

**Corollary 18.3.** Let  $R$  be a semisimple  $k$ -algebra whose simple modules have dimension  $n_1, \dots, n_r$ . Then

$$\dim kR = n_1^2 + \dots + n_r^2.$$

*Proof.* By Wedderburn's theorem and lemma (18.4) we know  $R \cong M_{n_1}(k) \times \dots \times M_{n_r}(k)$  so the simples are  $k^{n_1}, \dots, k^{n_r}$ . Then

$$\dim kR = \dim \prod M_{n_i}(k) = \sum n_i^2.$$

□

**Example 18.1.** Let  $G = S_3$ , the symmetric group on three symbols. Maschke's theorem implies that  $\mathbb{C}G$  is semisimple, so  $\mathbb{C}G \cong \prod_{i=1}^r M_{n_i}(\mathbb{C})$ , where

$$n_1^2 + \dots + n_r^2 = \dim_{\mathbb{C}} \mathbb{C}G = |G| = 6.$$

The only possibilities are

$$\begin{aligned} 6 &= 1^2 + \dots + 1^2 \text{ or} \\ 6 &= 1^2 + 1^2 + 2^2. \end{aligned}$$

But  $\mathbb{C}G \not\cong \mathbb{C} \times \mathbb{C} \times \dots \times \mathbb{C}$ , as  $\mathbb{C}^6$  is commutative but  $\mathbb{C}G$  is not. So

$$\mathbb{C}G \cong \mathbb{C} \times \mathbb{C} \times M_2(\mathbb{C}).$$

It follows that there are exactly three simple  $\mathbb{C}G$ -modules up to isomorphism.

## 19 One-dimensional Representations

### 19.1 Abelianisation

Let  $G$  be a group.

**Definition 19.1.** Let  $g, h \in G$ . The **commutator** of  $g$  and  $h$  is

$$[g, h] = g^{-1}h^{-1}gh.$$

The subgroup of  $G$  generated by these is called the **commutator subgroup** and is denoted  $[G, G]$ .

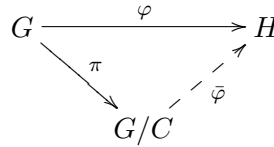
**Lemma 19.1.** (1)  $C := [G, G] \trianglelefteq G$

(2)  $G/C$  is an abelian group called the **abelianisation** of  $G$  (denoted  $G_{ab}$ )

(3) Let  $H$  be any abelian group and  $\varphi : G \rightarrow H$  any group homomorphism. Then

$$\ker \varphi \supseteq C = [G, G]$$

so there is a commutative diagram of the form



(that is,  $\varphi = \bar{\varphi} \circ \pi$ ).

*Proof.* (1) Let  $f, g, h \in G$ . Then

$$\begin{aligned} f^{-1}[g, h]f &= f^{-1}g^{-1}h^{-1}ghf \\ &= (f^{-1}g^{-1}f)(f^{-1}h^{-1}f)(f^{-1}gf)(f^{-1}hf) \\ &= [f^{-1}gf, f^{-1}hf] \\ &\in [G, G] \end{aligned}$$

so  $f^{-1}[g, h]f \in [G, G]$  and hence  $[G, G] \trianglelefteq G$ .

(2) We have

$$\begin{aligned} (gC)(hC) &= gh(h^{-1}g^{-1}hg)C \\ &= hgC \\ &= hCgC \end{aligned}$$

so  $G/C$  is abelian.

(3) It suffices to show  $\varphi([g, h]) = 1_H$ . But

$$\varphi(g^{-1}h^{-1}gh) = \varphi(g)^{-1}\varphi(h)^{-1}\varphi(g)\varphi(h) = 1$$

since  $H$  is abelian. □

## 19.2 One-dimensional representations

Let  $k$  be a field.

**Lemma 19.2.** The isomorphism classes of one-dimensional  $kG$ -modules are in one-to-one correspondence with group representations of the form

$$\rho : G \rightarrow \text{Aut}_k(k) = k^* = GL_1(k)$$

that is, one-dimensional representations.

*Proof.* Suppose we have a one-dimensional  $kG$ -module  $V$ . It corresponds to a group representation of the form

$$\rho_V : G \rightarrow \text{Aut}_k(V) \cong k^*$$

with the isomorphism given by the map  $\alpha \in k^* \mapsto \lambda_\alpha$ , the multiplication by  $\alpha$  map. This one-dimensional representation depends only on the isomorphism class of  $V$ , for if  $\varphi : V \rightarrow W$  is a  $kG$ -module isomorphism then for any  $v \in V, g \in G$  we have

$$\varphi(gv) = g\varphi(v).$$

Therefore

$$\varphi(\rho_V(g)v) = \rho_W(g)\varphi(v) \tag{19.1}$$

and hence  $V \cong W \Rightarrow \rho_V = \rho_W$ .

Conversely, if  $\rho_V = \rho_W$  then by (19.1) any vector space isomorphism is an isomorphism of  $kG$ -modules. We also know any one-dimensional representation arises from the above since there is a  $kG$ -module structure on  $k$  associated to any one-dimensional representation

$$\rho : G \rightarrow k^* = \text{Aut}_k(k).$$

□

**Exercise.** Extend this to  $n$ -dimensional  $kG$ -modules as follows.

An  $n$ -dimensional representation is a group representation of the form

$$\rho : G \rightarrow \text{Aut}_k(k^n) \cong GL_n(k).$$

We put an equivalence relation on the representations by  $\rho_1 \sim \rho_2$  if  $\rho_1 = c_A \circ \rho_2 : G \xrightarrow{\rho_2} GL_n(k) \rightarrow GL_n(k)$  where  $c_A$  is conjugation by some matrix  $A \in GL_n(k)$ .

Equivalence classes of  $n$ -dimensional representations correspond to the isomorphism classes of  $n$ -dimensional  $kG$ -modules.

**Corollary 19.1.** *The isomorphism classes of one-dimensional modules correspond to the elements of the abelian group  $\text{Hom}_{\mathbb{Z}}(G_{ab}, k^*)$ .*

*Proof.* Use lemmas (19.1)(3) and (19.2). □

**Remark.** (1) If  $G$  is finite and you know to write

$$G \cong \mathbb{Z}/a_1\mathbb{Z} \oplus \cdots \oplus \mathbb{Z}/a_r\mathbb{Z}$$

then we know

$$\begin{aligned} \text{Hom}_{\mathbb{Z}}(G, k^*) &= \text{Hom}_{\mathbb{Z}}\left(\bigoplus_{i=1}^r \mathbb{Z}/a_i\mathbb{Z}, k^*\right) \\ &= \prod_{i=1}^r \text{Hom}_{\mathbb{Z}}(\mathbb{Z}/a_i\mathbb{Z}, k^*) \\ &= \prod_{i=1}^r \mu_{a_i, k} \end{aligned}$$

where  $\mu_{a_i, k}$  is the subgroup of  $a_i$ th roots of unity in  $k$ . The last equality follows by the universal property of quotients and the fact

$$\text{Hom}_{\mathbb{Z}}(\mathbb{Z}, k^*) = k^*$$

so then  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}/a_i\mathbb{Z}, k^*)$  are those  $\alpha \in k^*$  with  $\alpha^{a_i} = 1$ .

(2) The zero in  $\text{Hom}_{\mathbb{Z}}(G_{ab}, k^*)$  is the trivial representation  $\rho : G \mapsto 1_k$ .

**Corollary 19.2.** *Let  $k$  be an algebraically closed field with  $\text{char } k \nmid |G|$ , and  $G$  a finite group. Then*

$$kG \cong \prod_{i=1}^{|G_{ab}|} k \times \prod_{j=1}^s M_{n_j}(k)$$

where all  $n_j \geq 2$ .

*Proof.* Follows from section 18 and the fact that the number of one-dimensional representations is

$$|\text{Hom}_{\mathbb{Z}}(G_{ab}, k^*)| = |G_{ab}|$$

□

**Example 19.1.** Let  $G = A_4$ , the alternating group on four symbols. Then  $|A_4| = 12$ . Let

$$H := \{(12)(34), (13)(24), (14)(23)\} \subset A_4$$

**Exercise.** This is a normal subgroup of  $A_4$  by computation or Sylow's theorem.

In fact

**Exercise.** Show  $H = [G, G]$

and so  $G_{ab} = G/H \cong \mathbb{Z}/3\mathbb{Z}$ . It follows that  $|G/H| = 3$ . Hence the one-dimensional representations over  $\mathbb{C}$  are the elements of  $\text{Hom}_{\mathbb{Z}}(G_{ab}, \mathbb{C}^*)$ , and there are  $|G_{ab}| = 3$  of these. They are

$\rho_0 : G \longrightarrow 1$	$\rho_1 : G \longrightarrow \mathbb{C}^*$	$\rho_2 : G \longrightarrow \mathbb{C}^*$
	$H \mapsto 1$	$H \mapsto 1$
	$(123) \mapsto e^{2\pi i/3}$	$(123) \mapsto e^{4\pi i/3}$
	$(123)^2 \mapsto e^{4\pi i/3}$	$(123)^2 \mapsto e^{2\pi i/3}$

Since  $\dim_{\mathbb{C}} \mathbb{C}G = |G| = 12$ , corollary (19.2) implies that

$$\mathbb{C}G \cong \mathbb{C} \times \mathbb{C} \times \mathbb{C} \times M_3(\mathbb{C}).$$

Therefore there are 4 simple  $\mathbb{C}G$ -modules up to isomorphism – three with dimension 1 and one with dimension 3.

**Exercise.** Figure out the three-dimensional representation.

## 20 Centres of Group Algebras

### 20.1 Centres of Semisimple Rings

**Proposition 20.1.** Let  $R = R_1 \times \cdots \times R_r$ . Then

$$Z(R) = Z(R_1) \times \cdots \times Z(R_r).$$

*Proof.*  $z = (z_1, \dots, z_r) \in Z(R)$  if and only if for any  $(r_1, \dots, r_r) \in R$  we have  $(z_1, \dots, z_r)(r_1, \dots, r_r) = (r_1, \dots, r_r)(z_1, \dots, z_r)$ . Therefore we must have  $z_i r_i = r_i z_i$  for all  $i$ , and so  $z_i \in Z(R_i)$ . □

**Proposition 20.2.** Let  $R$  be a ring. Then  $Z(M_n(R)) = Z(R)$ .

[Note: the right hand side of the equality is technically  $Z(R)1_{M_n(R)}$ .]

*Proof.* Easy exercise – check commutativity with row and column swaps. □

**Corollary 20.1.** Let  $k$  be an algebraically closed field and  $A$  a finite dimensional semisimple  $k$ -algebra. Then

$$\dim_k Z(A) = \#(\text{Wedderburn components of } A) = \#(\text{isomorphism classes of simple } kG\text{-modules}).$$

*Proof.* Wedderburn’s theorem implies that  $A \cong \prod_{i=1}^r M_{n_i}(k)$ . Then propositions (20.1) and (20.2) imply that

$$\begin{aligned} Z(A) &= \prod_{i=1}^r Z(k) \\ &= \prod_{i=1}^r k \end{aligned}$$

so  $\dim_k Z(A) = \#(\text{Wedderburn components of } A)$ . □

## 20.2 Centres of group algebras

Let  $R$  be a commutative ring, and  $G$  a group.

**Lemma 20.1.**

$$Z(RG) = \{z \in RG \mid zg = gz \ \forall g \in G\}.$$

*Proof.* We see  $\subseteq$  so we check the other inclusion, so assume  $z \in RG$  commutes with every  $g \in G$ . Consider an arbitrary element of  $RG$ ,  $\sum r_g g$ . Then

$$\begin{aligned} z \sum_{g \in G} r_g g &= \sum_{g \in G} z r_g g \\ &= \sum_{g \in G} r_g z g \\ &= \sum_{g \in G} r_g g z \\ &= \left( \sum_{g \in G} r_g g \right) z. \end{aligned}$$

□

Let  $C \subset G$  be a conjugacy class and  $\Sigma_C = \sum_{g \in C} g$ .

**Proposition 20.3.**  $Z(RG) = \bigoplus_C R\Sigma_C$  where  $C$  ranges over all conjugacy classes of  $G$ .



*Proof.* By lemma (20.1),

$$\begin{aligned}
 z = \sum r_g g \in Z(RG) &\Leftrightarrow zh = hz \ \forall h \in G \\
 &\Leftrightarrow \sum_{g \in G} r_g g = \sum_{g \in G} r_g h^{-1} g h \ \forall h \in G \\
 &\Leftrightarrow \sum_{g \in G} r_g g = \sum_{l \in G} r_l l \text{ where } l = h^{-1} g h \\
 &\Leftrightarrow \forall g, h \in G \text{ we have } r_g = r_{hgh^{-1}} \\
 &\Leftrightarrow \text{for each conjugacy class, there is a scalar } r_C \in R \\
 &\quad \text{such that } r_g = r_C \text{ for all } g \in C \\
 &\Leftrightarrow z = \sum_C r_c \Sigma_C.
 \end{aligned}$$

□

**Corollary 20.2.** *Let  $k$  be an algebraically closed field and  $G$  a finite group with  $\text{char } k \nmid |G|$ . Then*

$$\#(\text{simple } kG\text{-modules up to isomorphism}) = \#(\text{conjugacy classes of } G).$$

*Proof.* Maschke’s theorem implies that  $kG$  is semisimple, so corollary (20.1) implies that  $\dim_k Z(kG)$  is equal to the number of simple  $kG$ -modules. But proposition (20.3) implies that  $\dim_k Z(kG)$  is equal to the number of conjugacy classes of  $G$ , so we are done. □

### 20.3 Irreducible representations of dihedral groups

Let

$$G = D_n = \langle \sigma, \tau \mid \sigma^n = 1 = \tau^2, \tau^{-1} \sigma \tau = \sigma^{-1} \rangle$$

be the dihedral group of order  $2n$  with  $n \geq 3$  odd. We will work over  $k = \mathbb{C}$ .

First step is to find  $G_{ab}$ . See

$$[\sigma, \tau] = \sigma^{-1} \tau^{-1} \sigma \tau = \sigma^{-2}$$

which generates  $\langle \sigma \rangle$  since  $n$  is odd. Hence  $\langle \sigma \rangle \subseteq [G, G]$ , but  $G/\langle \sigma \rangle \cong \mathbb{Z}/2\mathbb{Z}$  is abelian, so  $\langle \sigma \rangle = [G, G]$  and  $G_{ab} = \mathbb{Z}/2\mathbb{Z}$ .

The one-dimensional complex representations correspond to elements of

$$\text{Hom}_{\mathbb{Z}}(G_{ab}, \mathbb{C}^*) \cong \{\pm 1\}.$$

Thus the representations are

$$\begin{array}{ll}
 \rho_0 : G \longrightarrow 1 & \rho_{-1} : G \longrightarrow \mathbb{C}^* \\
 \langle \sigma \rangle \mapsto 1 & \langle \sigma \rangle \mapsto 1 \\
 \langle \tau \rangle \mapsto 1 & \langle \tau \rangle \mapsto -1.
 \end{array}$$

We now find the conjugacy classes.  $\sigma \tau \sigma^{-1} = \tau \sigma^{-2}$  shows (exercise) that all reflections  $\tau, \tau \sigma, \dots, \tau \sigma^{n-1}$  are conjugate.

The other conjugacy classes are

$$\{\sigma, \sigma^{-1}\}, \{\sigma^2, \sigma^{-2}\}, \dots, \{\sigma^{(n-1)/2}, \sigma^{(n+1)/2}\}.$$

There are  $(n - 1)/2 + 2 = (n + 3)/2$  conjugacy classes. It then follows that there are  $(n + 3)/2$  simple  $\mathbb{C}G$ -modules. Furthermore, we have

$$\dim_{\mathbb{C}} \mathbb{C}D_n = 2n = 2 + \left(\frac{n - 1}{2}\right) \cdot 4 = 1^2 + 1^2 + \underbrace{2^2 + 2^2 + \dots + 2^2}_{(n-1)/2 \text{ terms}}.$$

Thus corollary (20.2) implies that

$$\mathbb{C}D_n \cong \mathbb{C} \times \mathbb{C} \times \prod_{i=1}^{\frac{n-1}{2}} M_2(\mathbb{C}).$$

There are two one-dimensional simple  $\mathbb{C}D_n$ -modules, all of the others are dimension 2. These two-dimensional simples are given by representations as follows for  $j = 1, \dots, \frac{n-1}{2}$

$$\begin{aligned} \pi_j : G &\longrightarrow GL_2(\mathbb{C}) \\ \sigma &\mapsto \begin{pmatrix} e^{2\pi i j/n} & 0 \\ 0 & e^{-2\pi i j/n} \end{pmatrix} \\ \tau &\mapsto \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}. \end{aligned}$$

Note that these are simple because they are not direct sums of one-dimensionals. Also, they are nonisomorphic because the eigenvalues of  $\rho_j(\sigma)$  differ (see exercise in section 19).

**Exercise.** Do this for  $n$  even.

## 21 Categories and Functors

**Definition 21.1.** A category  $\mathcal{C}$  consists of the following data:

- (1) A class  $\text{Obj } \mathcal{C}$  of **objects**
- (2) A collection of sets  $\text{Hom}_{\mathcal{C}}(X, Y)$ , one for each ordered pair  $X, Y \in \text{Obj } \mathcal{C}$  whose elements are called **morphisms** (from  $X \rightarrow Y$ ), denoted by  $\varphi : X \rightarrow Y$ .
- (3) A collection of mappings, one for each ordered triple  $X, Y, Z \in \text{Obj } \mathcal{C}$ , with

$$\begin{aligned} \text{Hom}_{\mathcal{C}}(X, Y) \times \text{Hom}_{\mathcal{C}}(Y, Z) &\longrightarrow \text{Hom}_{\mathcal{C}}(X, Z) \\ (\varphi, \psi) &\mapsto \psi\varphi = \psi \circ \varphi \end{aligned}$$

called the **composition** of  $\varphi, \psi$ .

These data also satisfy the following conditions:

- (a) The sets  $\text{Hom}_{\mathcal{C}}(X, Y)$  are pairwise distinct
- (b) The composition of morphisms is associative:  $(\xi\psi)\varphi = \xi(\psi\varphi)$  for any  $\varphi : X \rightarrow Y, \psi : Y \rightarrow Z, \xi : Z \rightarrow W$ .
- (c) For any  $X \in \text{Obj } \mathcal{C}, \exists$  an identity morphism  $\text{id}_X : X \rightarrow X$  such that  $(\text{id}_X)\varphi = \varphi$  and  $\psi(\text{id}_X) = \psi$  whenever these compositions are defined.

**Example 21.1.** Grp is the category of groups with

- $\text{Obj } \underline{\mathbf{Grp}}$ : the class of all groups
- $\text{Hom}_{\underline{\mathbf{Grp}}}(G, H)$ : group homomorphisms from  $G$  to  $H$
- composition: composition of group homomorphisms.

**Example 21.2.**  $\underline{\mathbf{Ring}}$ , the category of rings

**Example 21.3.** Let  $R$  be a ring. We then have two induced categories

- $R\text{-mod}$ : the category of left  $R$ -modules
- $\text{mod-}R$ : the category of right  $R$ -modules

**Example 21.4.** Let  $R$  be a commutative ring. We then have the category  $R\text{-alg}$  of  $R$ -algebras.

**Example 21.5.**  $\underline{\mathbf{Top}}$ , the category of topological spaces.

## 21.1 Functors

**Definition 21.2.** A **covariant functor**  $F$  from category  $\mathcal{C}$  to category  $\mathcal{D}$  (notated  $F : \mathcal{C} \rightarrow \mathcal{D}$ ) consists of the following data:

- (1) A mapping from  $\text{Obj } \mathcal{C}$  to  $\text{Obj } \mathcal{D}$  denoted by  $X \mapsto FX$
- (2) For each  $X, Y \in \text{Obj } \mathcal{C}$ , a function

$$F : \text{Hom}_{\mathcal{C}}(X, Y) \longrightarrow \text{Hom}_{\mathcal{D}}(FX, FY).$$

These data also satisfy the following conditions:

- (a)  $F(\psi\varphi) = F\psi F\varphi$  for any  $\varphi, \psi \in \text{Mor}(\mathcal{C})$  defined
- (b)  $F(\text{id}_X) = \text{id}_{FX}$  for all  $X \in \text{Obj } \mathcal{C}$

If  $F$  is a functor, we also say  $F$  is **functorial**.

**Example 21.6** (Group algebra functor). Fix  $R$  a commutative ring. We have the following covariant functor

$$F : \underline{\mathbf{Grp}} \longrightarrow R\text{-}\underline{\mathbf{alg}}$$

defined by the mappings

- Objects: for  $G \in \underline{\mathbf{Grp}}$ , have  $FG = RG$ , the group algebra over  $R$
- Morphisms: for  $\varphi : G \rightarrow H$  we have

$$\begin{aligned} F\varphi : RG &\longrightarrow RH \\ \sum r_g g &\mapsto \sum r_g \varphi(g). \end{aligned}$$

We need  $F\varphi$  to be an  $R$ -algebra map, that is

$$F : \text{Hom}_{\underline{\mathbf{Grp}}}(G, H) \longrightarrow \text{Hom}_{R\text{-}\underline{\mathbf{alg}}}(RG, RH)$$

It is clear that  $\varphi$  is  $R$ -linear so we check that products are preserved:

$$\begin{aligned} F\varphi \left( \left( \sum_g r_g g \right) \left( \sum_h s_h h \right) \right) &= F\varphi \left( \sum_{k \in G} \sum_{gh=k} (r_g s_h) k \right) \\ &= \sum_{k \in G} \left( \sum_{gh=k} r_g s_h \right) \varphi(k) \\ &= \sum_{k \in G} \left( \sum_{gh=k} r_g s_h \right) \varphi(g) \varphi(h) \\ &= \left( \sum_g r_g \varphi(g) \right) \left( \sum_h s_h \varphi(h) \right) \\ &= F\varphi \left( \sum_g r_g g \right) F\varphi \left( \sum_h s_h h \right). \end{aligned}$$

**Exercise.** Check  $F$  is a functor.

**Definition 21.3.** A **contravariant functor**  $F : \mathcal{C} \rightarrow \mathcal{D}$  is defined similarly to definition (21.2) except (2) is replaced with

(2') For each  $X, Y \in \text{Obj } \mathcal{C}$ , a function

$$F : \text{Hom}_{\mathcal{C}}(X, Y) \longrightarrow \text{Hom}_{\mathcal{D}}(FY, FX)$$

and (a) is replaced with

(a')  $F(\varphi\psi) = F(\psi)F(\varphi)$  for any  $\varphi, \psi \in \text{Mor}(\mathcal{C})$  defined.

**Remark.** Let  $R, S$  be rings. Note that  $R\text{-mod}$  (and  $\text{mod-}R$ ) have the additional property that for  $M, N \in \text{Obj } R\text{-mod}$ ,

$$\text{Hom}_{R\text{-mod}}(M, N) = \text{Hom}_R(M, N)$$

are abelian groups, so a functor  $F : R\text{-mod} \rightarrow S\text{-mod}$  is **additive** if all of the morphism maps are group homomorphisms.

## 21.2 Hom functor

Let  $R$  be a ring, and  $M, M', N \in \text{mod-}R$ . We define a contravariant additive functor

$$F_n = \text{Hom}_R(-, N) : \text{mod-}R \rightarrow \text{mod-}\mathbb{Z}$$

by

- $F_n(M) = \text{Hom}_R(M, N)$ , and
- 

$$\begin{aligned} F_n \text{Hom}_R(M, M') &\longrightarrow \text{Hom}_{\mathbb{Z}}(\text{Hom}_R(M', N), \text{Hom}_R(M, N)) \\ \varphi &\mapsto \left( (M' \xrightarrow{f} N) \mapsto (M \xrightarrow{\varphi} M' \xrightarrow{f} N) \right). \end{aligned}$$

**Proposition 21.1.**  $F_n = \text{Hom}_R(-, N)$  is indeed a contravariant additive functor. We also say  $\text{Hom}_R(M, N)$  is functorial in  $M$ .

Similarly,  $\text{Hom}_R(M, N)$  is covariantly functorial in  $N$ .

*Proof.* Mainly exercise. □

## 22 Tensor Products I

### 22.1 Construction of Tensor Products

Let  $R$  be a ring,  $M \in \mathbf{mod}\text{-}R$  and  $N \in R\text{-}\mathbf{mod}$ . We wish to construct an abelian group  $M \otimes_R N$ .

We start by considering the free  $\mathbb{Z}$ -module  $\mathbb{Z}(M \times N)$  with free generators  $(m, n)$  as  $m, n$  vary over  $M, N$ . Consider the subgroup  $K$  generated by elements of the form below, where  $m, m' \in M, n, n' \in N$  and  $r \in R$

- (a)  $(m + m', n) - (m, n) - (m', n)$
- (b)  $(m, n + n') - (m, n) - (m, n')$
- (c)  $(mr, n) - (m, rn)$

**Definition 22.1.** The **tensor product** of  $M$  and  $N$  is the abelian group  $M \otimes_R N = \mathbb{Z}(M \times N)/K$ .

We denote the image of  $(m, n)$  in  $M \otimes_R N$  by  $m \otimes n$  and call it an **elementary tensor**. (a),(b),(c) above give the following relations

- (1)  $(m + m') \otimes n = m \otimes n + m' \otimes n$
- (2)  $m \otimes (n + n') = m \otimes n + m \otimes n'$
- (3)  $mr \otimes n = m \otimes rn$ .

**Remark.**

$$0_M \otimes n = 0 \otimes n + 0 \otimes n \implies 0 \otimes n = 0.$$

**Example 22.1.**  $\mathbb{Z}/2\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/3\mathbb{Z} = 0$ .

We see this as  $\mathbb{Z}/2\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/3\mathbb{Z}$  is generated by elementary tensors

$$a \otimes b = a \otimes 4b = 2a \otimes 2b = 0 \otimes 2b = 0.$$

### 22.2 Universal property of tensor products

**Definition 22.2.** Let  $M \in \mathbf{mod}\text{-}R, N \in R\text{-}\mathbf{mod}$  and  $A$  an abelian group. A function  $\varphi : M \times N \rightarrow A$  is **mid-linear** if for  $m, m' \in M, n, n' \in N$  and  $r \in R$  we have

- (1)  $\varphi(m + m', n) = \varphi(m, n) + \varphi(m', n)$
- (2)  $\varphi(m, n + n') = \varphi(m, n) + \varphi(m, n')$
- (3)  $\varphi(mr, n) = \varphi(m, rn)$ .

**Example 22.2.**

$$\begin{aligned} \iota_{\otimes} : M \times N &\longrightarrow M \otimes_R N \\ (m, n) &\mapsto m \otimes n \end{aligned}$$

is mid-linear by definition (22.1).

**Proposition 22.1** (Universal property). With the above notation, there are inverse bijections

$$\begin{aligned} \varphi &\longmapsto (\tilde{\varphi} : m \otimes n \mapsto \varphi(m, n)) \\ \{\text{mid-linear } \varphi : M \times N \rightarrow A\} &\xleftrightarrow[\Psi]{\Phi} \{\text{abelian group homs } \tilde{\varphi} : M \otimes_R N \rightarrow A\} \\ \varphi &= \tilde{\varphi} \circ \iota_{\otimes} \longleftarrow \tilde{\varphi}. \end{aligned}$$

*Proof.* Note first that by the universal property of free modules we have a group homomorphism  $\tilde{\varphi} : \mathbb{Z}(M \times N) \rightarrow A$  such that  $(m, n) \mapsto \varphi(m, n)$ . By the universal property of quotients, we need  $\tilde{\varphi}(K) = 0$  where  $K$  is as in definition (22.1). But this follows precisely because  $\varphi$  is mid-linear. So  $\Phi$  is well defined.

**Exercise.** Check  $\Psi$  is well defined, that is,  $\tilde{\varphi} \circ \iota_{\otimes}$  is indeed mid-linear.

We now check  $\Psi\Phi = \text{id}$ .

$$\begin{aligned} [(\Psi\Phi)\varphi](m, n) &= [(\Phi\varphi) \circ \iota_{\otimes}](m, n) \\ &= (\Phi\varphi)(m \otimes n) \\ &= \varphi(m, n) \end{aligned}$$

so  $\Psi\Phi(\varphi) = \varphi$  and  $\Psi\Phi = \text{id}$ .

**Exercise.** Check  $\Phi\Psi = \text{id}$ .

□

### 22.3 Functoriality

Let  $R$  be a ring,  $M, M' \in \mathbf{mod}\text{-}R$ ,  $N \in R\text{-}\mathbf{mod}$ . Consider an  $R$ -linear map  $\varphi : M \rightarrow M'$  and the induced homomorphism

$$\begin{aligned} \varphi \otimes_R N : M \otimes_R N &\longrightarrow M' \otimes_R N \\ m \otimes n &\longmapsto \varphi(m) \otimes n \end{aligned}$$

Note that this is a homomorphism by the universal property as we can check the mid-linearity of

$$\begin{aligned} \tilde{\varphi} : M \times N &\longrightarrow M' \otimes_R N \\ (m, n) &\longmapsto \varphi(m) \otimes n. \end{aligned}$$

**Proposition 22.2.**  $M \otimes_R N$  is covariantly functorial and additive in both  $M$  and  $N$ .

*Proof.* We have  $1_M \otimes_R N = 1_{M \otimes_R N}$ . Furthermore, given  $M \xrightarrow{\varphi} M' \xrightarrow{\varphi'} M''$ , we have

$$(\varphi'\varphi) \otimes_R N : M \otimes_R N \rightarrow M'' \otimes_R N = (\varphi' \otimes_R N)(\varphi \otimes_R N) : M \otimes_R N \rightarrow M' \otimes_R N \rightarrow M'' \otimes_R N.$$

**Exercise.** Check additivity, and the case for  $N$ .

□

### 22.4 Bimodules

**Proposition 22.3.** Let  $R, S, T \in \mathbf{Ring}$ ,  $M \in \mathbf{mod}\text{-}R$ ,  $N \in R\text{-}\mathbf{mod}$ .

- (1) If  $M$  is an  $(S, R)$ -bimodule, then  $M \otimes_R N$  is a left  $S$ -module with multiplication  $s(m \otimes n) = sm \otimes n$
- (2) If  $M$  is an  $(R, T)$ -bimodule, then  $M \otimes_R N$  is a right  $T$ -module, with multiplication  $(m \otimes n)t = m \otimes nt$ .

If both (1) and (2) occur, then  $M \otimes_R N$  is an  $(S, T)$ -bimodule.

*Proof.* Mainly exercise in checking module axioms. Alternatively, (1) and (2) can be proven along the following lines.

Note  $\lambda_s : M \rightarrow M$  given by left multiplication by  $s$  is a homomorphism  $M_R \rightarrow M_R$ . By functoriality, we have the induced homomorphism of abelian groups

$$\lambda_s \otimes N : M \otimes_R N \longrightarrow M \otimes_R N$$

giving left multiplication by  $s$  on  $M \otimes_R N$ . Functoriality and additivity imply the module axioms, and we are done.  $\square$

## 23 Tensor Products II

### 23.1 Identity

Let  $R$  be a ring, and recall  $R$  is an  $(R, R)$ -bimodule.

**Proposition 23.1.** Let  $M \in R\text{-mod}$ .

(1) Then there are inverse left  $R\text{-mod}$  isomorphisms

$$\begin{aligned} m &\longmapsto 1 \otimes m \\ M &\overset{\Phi}{\longleftarrow} \underset{\Psi}{\longrightarrow} R \otimes_R M \\ rm &\longleftarrow r \otimes m \end{aligned}$$

(2) If  $M$  is an  $(R, S)$ -bimodule for some ring  $S$  then  $\Phi, \Psi$  are right  $S$ -linear.

*Proof.* We check  $\Psi$  is well defined by the universal property for tensor products, that is, check

$$\begin{aligned} \tilde{\Psi} : R \times M &\longrightarrow M \\ (r, m) &\longmapsto rm \end{aligned}$$

is mid-linear.  $\tilde{\Psi}$  is clearly additive in  $r$  and  $m$  by the distributive law, and for  $r' \in R$  we have

$$(rr', m) \xrightarrow{\tilde{\Psi}} (rr')m \xleftarrow{\tilde{\Psi}} (r, r'm).$$

Now we check  $\Psi, \Phi$  are inverses: for  $r \in R, m \in M$

$$\Phi\Psi(r \otimes m) = \Phi(rm) = 1 \otimes rm = r \otimes m$$

so  $\Phi\Psi = \text{id}$ . Similarly  $\Psi\Phi = \text{id}$ .

**Exercise.** Check  $R$ -linearity and  $S$ -linearity in case (2).  $\square$

**Remark.** Similarly,  $N \otimes_R R \cong N$  for  $N \in \text{mod-}R$ .

### 23.2 Distributive Law

Let  $\{M_i\}_{i \in I}$  be a set of right  $R$ -modules, and  $N \in R\text{-mod}$ . Recall that we have the canonical injection

$$\begin{aligned} \iota_j : M_j &\longrightarrow \bigoplus_{i \in I} M_i \\ m &\longmapsto (0, \dots, 0, m, 0, \dots). \end{aligned}$$

Functoriality implies that we have the  $R$ -linear map

$$\iota_j \otimes N : M_j \otimes N \longrightarrow \left( \bigoplus_{i \in I} M_i \right) \otimes N$$

for each  $j \in I$ . Furthermore, the universal property of  $\oplus$  gives the map

$$\Phi : \bigoplus_{i \in I} (M_i \otimes N) \longrightarrow \left( \bigoplus_{i \in I} M_i \right) \otimes N.$$

**Proposition 23.2.** (1)  $\Phi$  above is an isomorphism.

(2) If all  $M_i$  are  $(S, R)$ -bimodules so is  $\bigoplus M_i$  and  $\Phi$  is left  $S$ -linear

(3) If  $N$  is an  $(R, T)$ -bimodule then  $\Phi$  is right  $T$ -linear.

*Proof.* Mainly exercise.

Recall we have the canonical projection

$$\begin{aligned} \pi_j : \prod_{i \in I} M_i &\longrightarrow M_j \\ (m_i)_{i \in I} &\longmapsto m_j. \end{aligned}$$

The universal property of the direct product gives map and functoriality of  $- \otimes N$  give

$$\left( \prod_{i \in I} M_i \right) \otimes N \longrightarrow \prod_{i \in I} (M_i \otimes N).$$

**Exercise.** Show that this restricts to a map

$$\left( \bigoplus_{i \in I} M_i \right) \otimes_R N \longrightarrow \bigoplus_{i \in I} (M_i \otimes_R N)$$

which is inverse to  $\Phi$ .

**Exercise.** Check  $S, T$ -lienarity in cases (2) and (3). □

**Remark.** For  $M \in \text{mod-}R$ ,  $\{N_i\}_{i \in I} \subseteq R\text{-mod}$ , we have similarly that

$$M \otimes_R \left( \bigoplus_{i \in I} N_i \right) \xrightarrow{\sim} \bigoplus_{i \in I} (M \otimes_R N_i).$$



**Example 23.1.** Complexification of a real vector space  $V = \bigoplus_{i=1}^n \mathbb{R}e_i$ . Its complexification is the  $\mathbb{C}$ -module  $\mathbb{C} \otimes_{\mathbb{R}} V$ .

Note that  $\mathbb{C}$  is a  $(\mathbb{C}, \mathbb{R})$ -bimodule. Now

$$\begin{aligned} \mathbb{C} \otimes_{\mathbb{R}} V &= \mathbb{C} \otimes_{\mathbb{R}} \left( \bigoplus_{i=1}^n \mathbb{R}e_i \right) \\ &= \bigoplus_{i=1}^n (\mathbb{C} \otimes_{\mathbb{R}} \mathbb{R}e_i) \\ &= \bigoplus_{i=1}^n \mathbb{C}(1 \otimes e_i) \end{aligned}$$

as  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{R}e_i \cong \mathbb{C}$  by proposition (23.1).

### 23.3 Case when $R$ is commutative

Now let  $R$  be a commutative ring, and  $M, N$  left  $R$ -modules.  $R$  commutative implies that we can think of  $M, N$  as  $(R, R)$ -bimodules with left multiplication equal to right multiplication. Hence we can define the  $(R, R)$ -bimodule  $M \otimes_R N$ .

However, for  $r \in R$ ,  $m \in M$ ,  $n \in N$  we have

$$r(m \otimes n) = (rm) \otimes n = (mr) \otimes n = m \otimes (rn) = m \otimes (nr) = (m \otimes n)r$$

so we always have that left multiplication by  $r$  is equal to right multiplication. Thus we get no more information than a left module.

**Remark.** An UPSHOT of the discussion above is that tensor products of left/right  $R$ -modules are left/right  $R$ -modules.

**Corollary 23.1.**  $R^n \otimes_R R^m \cong R^{nm}$ .

More precisely,

$$\left( \bigoplus_{i=1}^n R e_i \right) \otimes_R \left( \bigoplus_{j=1}^m R f_j \right) \cong \bigoplus_{i=1}^n \bigoplus_{j=1}^m R(e_i \otimes f_j)$$

for  $e_i, f_j$  free generators.

Now, consider  $R$ -linear endomorphisms  $\varphi : R^n \rightarrow R^n$  and  $\psi : R^m \rightarrow R^m$  given by matrices  $(\varphi_{ij}) \in M_n(R)$  and  $(\psi_{ij}) \in M_m(R)$ . Functoriality of  $\otimes$  gives a new  $R$ -linear endomorphism

$$\begin{aligned} \varphi \otimes \psi : R^n \otimes_R R^m &\longrightarrow R^n \otimes_R R^m \\ a \otimes b &\longmapsto \varphi(a) \otimes \psi(b). \end{aligned}$$

We can represent this with some matrix  $((\varphi \otimes \psi)_{ij}) \in M_{nm}(R)$ .

**Proposition 23.3.**

$$\text{tr}((\varphi \otimes \psi)_{ij}) = \text{tr}(\varphi_{ij}) \text{tr}(\psi_{ij}).$$

*Proof.* Use the free basis from corollary (23.1), that is,  $\{e_i\}_{i=1}^n$  and  $\{f_j\}_{j=1}^m$ . Then observe

$$\begin{aligned} (\varphi \otimes \psi)(e_i \otimes f_j) &= \varphi(e_i) \otimes \psi(f_j) \\ &= \left( \sum_{u=1}^n \varphi_{ui} e_u \right) \otimes \left( \sum_{v=1}^m \psi_{vj} f_v \right). \end{aligned}$$

The coefficient of  $e_i \otimes f_j$  here is  $\varphi_{ii}\psi_{jj}$ . Therefore

$$\begin{aligned} \operatorname{tr}((\varphi \otimes \psi)_{ij}) &= \sum_{i=1}^n \sum_{j=1}^m \varphi_{ii}\psi_{jj} \\ &= \sum_{i=1}^n \varphi_{ii} \sum_{j=1}^m \psi_{jj} \\ &= \operatorname{tr}(\varphi_{ij}) \operatorname{tr}(\psi_{ij}). \end{aligned}$$

□

## 23.4 Tensor Products of Group Representations

Let  $R$  be a commutative ring, and  $G$  a group.

**Proposition 23.4.** Given two group representations  $\rho_V : G \rightarrow \operatorname{Aut}_R(V)$  and  $\rho_W : G \rightarrow \operatorname{Aut}_R(W)$ , we get a new group representation

$$\rho_{V \otimes_R W} : G \longrightarrow \operatorname{Aut}_R(V \otimes_R W)$$

defined by

$$\rho_{V \otimes_R W}(g) = \rho_V(g) \otimes_R \rho_W(g).$$

This is called the **tensor product representation** and the  $RG$ -module  $V \otimes_R W$  is called the **tensor product**.

*Proof.* For  $g, h \in G$  we check  $\rho_{V \otimes_R W}$  is multiplicative.

$$\begin{aligned} \rho_{V \otimes_R W}(gh) &= \rho_V(gh) \otimes \rho_W(gh) \\ &= \rho_V(g)\rho_V(h) \otimes \rho_W(g)\rho_W(h) \\ &\stackrel{\text{ex}}{=} (\rho_V(g) \otimes \rho_W(g)) \circ (\rho_V(h) \otimes \rho_W(h)) \\ &= \rho_{V \otimes_R W}(g) \circ \rho_{V \otimes_R W}(h). \end{aligned}$$

[Note: the exercise follows from functoriality].

□

**Exercise.** Show that the set of one-dimensional representations of  $G$  over  $k$  form a group under  $\otimes$  which is essentially equal to the group

$$\hat{G} = \operatorname{Hom}_{\mathbb{Z}}(G_{ab}, k^*).$$

## 24 Characters

### 24.1 Linear Algebra Recap

Fix a field  $k$ .

**Lemma 24.1.** Let  $T \in M_n(k)$  and  $U \in GL_n(k)$ . Then

$$\operatorname{tr}(T) = \operatorname{tr}(U^{-1}TU).$$

*Proof.*

$$\det(U^{-1}TU - \lambda I) = \det(U^{-1}(T - \lambda I)U) = \det(T - \lambda I).$$

□

**Corollary 24.1.** *Let  $V$  be a finite dimensional  $k$ -space, and  $\varphi : V \rightarrow V$  a linear map. Then the **trace** of  $\varphi$ ,  $\text{tr}(\varphi) := \text{tr}(T)$  is independent of the matrix  $T$  representing it (with respect to any basis for  $V$  in both the domain and codomain).*

**Addendum 24.1.** *Given a linear isomorphism  $\psi : V \rightarrow V$  then*

$$\text{tr}(\psi^{-1}\varphi\psi) = \text{tr}(\varphi)$$

*because both  $\psi^{-1}\varphi\psi$  and  $\varphi$  can be represented by the same matrix.*

**Lemma 24.2.** *Let  $V$  be a finite dimensional  $k$ -space, and suppose  $V = V_1 \oplus V_2$ . The linear projection onto  $V_1$ , that is,  $\varphi : V_1 \oplus V_2 \mapsto V_1 \hookrightarrow V_1 \oplus V_2$  has trace  $\text{tr} \varphi = \dim V_1$ .*

*Proof.* Exercise – show that you can find a matrix representing it of the form

$$\begin{pmatrix} I_{\dim V_1} & 0 \\ 0 & 0 \end{pmatrix}$$

□

## 24.2 Characters

Let  $G$  be a group and  $V$  a finite dimensional  $kG$ -module with corresponding group representation  $\rho_V : G \rightarrow \text{Aut}_k(V)$ . Note we have  $\rho_V(g) : V \rightarrow V$  is linear for all  $g \in G$ .

**Definition 24.1.** The **character** of  $V$  (or  $\rho_V$ ) is the function

$$\begin{aligned} \chi_V : G &\longrightarrow k \\ g &\longmapsto \text{tr}(\rho_V(g)). \end{aligned}$$

We say  $\chi_V$  is **irreducible** if  $V$  is an irreducible (that is, simple)  $kG$ -module.

**Fact 24.1.**

$$\chi_V(1) = \text{tr}(\rho_V(1)) = \text{tr}(\text{id}_V) = \dim V.$$

Characters are invariants of  $kG$ -modules in the following sense:

**Proposition 24.1.** Let  $\varphi : V \xrightarrow{\sim} W$  be an isomorphism of finite dimensional  $kG$ -modules. Then  $\chi_V = \chi_W$ .

*Proof.* The  $kG$ -linearity of  $\varphi$  implies that for all  $g \in G, v \in V$  we have  $\varphi(gv) = g(\varphi(v))$ . So  $\varphi(\rho_V(g)) = \rho_W(g)\varphi$  and therefore  $\rho_V(g) = \varphi^{-1}\rho_W(g)\varphi$ . It follows by the addendum that  $\chi_V = \chi_W$ . □

**Definition 24.2.** A function  $\chi : G \rightarrow k$  is a **class function** if it is constant on conjugacy classes. The set of these will be denoted  $F_k(g)$ . Hence given a conjugacy class  $C \subseteq G$  we can define

$$\chi(C) = \chi(g) \text{ for } g \in C.$$

**Corollary 24.2.** *Characters are class functions.*

*Proof.* Given a character  $\chi_V$ , for  $g, h \in G$  we have

$$\rho_V(h^{-1}gh) = \rho_V(h^{-1})\rho_V(g)\rho_V(h).$$

The addendum implies that  $\chi_V(h^{-1}gh) = \chi_V(g)$ . □

**Example 24.1.** Let

$$G = S_3 = D_3 = \langle \sigma, \tau \mid \sigma^3 = \tau^2 = 1, \tau\sigma = \sigma^{-1}\tau \rangle.$$

Consider the irreducible  $kG$ -module  $V$  given by the representation

$$\begin{aligned} \rho_V : G &\longrightarrow GL_2(k) \\ \sigma &\longmapsto \begin{pmatrix} e^{2\pi i/3} & 0 \\ 0 & e^{-2\pi i/3} \end{pmatrix} \\ \tau &\longmapsto \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \end{aligned}$$

See

$$\begin{aligned} \chi_V(1) &= \dim V = 2 \\ \chi_V(\sigma) &= \chi_V(\sigma^2) = 2 \cos \frac{2\pi}{3} = 1 \\ \chi_V(\tau) &= \chi_V(\sigma\tau) = \chi_V(\sigma^2\tau) = 0. \end{aligned}$$

### 24.3 Character Tables

**Definition 24.3.** Suppose now  $G$  is finite, and  $k$  is algebraically closed with  $\text{char } k \nmid |G|$ . Let  $C_1, \dots, C_r$  be the conjugacy classes of  $G$ , and  $\chi_1, \dots, \chi_r$  the irreducible characters.

The **character table** for  $G$  is the  $r \times r$ -matrix

$$X = (\chi_i(C_j))_{i,j=1}^r$$

**Example 24.2.** Let  $G = S_3 = D_3$ .

Irreducible characters	Conjugacy Classes		
	1	$\{\sigma, \sigma^2\}$	$\{\tau, \sigma\tau, \sigma^2\tau\}$
trivial = $\chi_1$	1	1	1
det = $\chi_2$	1	1	-1
$\chi_V$	2	1	0

Table 1: Character table for  $D_3 = S_3$

### 24.4 Dual Modules and Contragredient Representation

Recall we have the trivial representation

$$\rho_0 : G \longrightarrow 1 \hookrightarrow k^*$$

which makes  $k$  a left  $kG$ -module.

**Definition 24.4.** Given a  $kG$ -module  $V$ , the **dual module** is the  $kG$ -module  $V^* = \text{Hom}_k(V, k)$ .

**Exercise.** Let  $V = k^n$ , and consider the inner product  $\langle \mathbf{v}, \mathbf{v}' \rangle := \mathbf{v}^T \mathbf{v}' \in k$ .

- (1) Show that the map  $\Phi : V \rightarrow V$  defined by  $\mathbf{v} \mapsto \langle \mathbf{v}, - \rangle$  is a linear isomorphism
- (2) Let  $\Psi : V \rightarrow V$  be a linear map (so it is a matrix). Then show that

$$\langle \mathbf{v}, \Psi \mathbf{v}' \rangle = \langle \Psi^T \mathbf{v}, \mathbf{v}' \rangle.$$

Hence the natural induced map

$$\begin{aligned} \Psi^* : V^* &\longrightarrow V^* \\ (f : V \rightarrow k) &\longmapsto (f \circ \Psi : V \rightarrow V \rightarrow k) \end{aligned}$$

is represented by the matrix  $\Psi^T$ .

**Proposition 24.2.** Let  $V$  be a finite dimensional left  $kG$ -module, and let  $g \in G$ . Then

$$\chi_{V^*}(g) = \chi_V(g^{-1}).$$

*Proof.* By the definition of  $V^*$ , we have  $\rho_{V^*}(g) = \rho_V(g^{-1})^* = \rho_V(g^{-1})^T$ . Taking traces gives

$$\chi_{V^*}(g) = \chi_V(g^{-1}).$$

□

### 24.5 Hom as tensor product

**Proposition 24.3.** Let  $V, W$  be finite dimensional left  $kG$ -modules. Then there is an isomorphism of  $kG$ -modules

$$\begin{aligned} \Phi : W \otimes_k V^* &\longrightarrow \text{Hom}_k(V, W) \\ w \otimes f &\longmapsto (v \mapsto f(v)w). \end{aligned}$$

*Proof.* Exercise. Check  $\Phi$  is an isomorphism of vector spaces and now note that with the above notation, for  $g \in G$

$$\begin{aligned} [\Phi(g(w \otimes f))](v) &= \Phi(gw \otimes (f \circ \rho_V(g^{-1})))v \\ &= f(g^{-1}v)gw \\ &= g(f(g^{-1}v)w) \\ &= [g\Phi(w \otimes f)](v). \end{aligned}$$

□

## 25 Orthogonality

### 25.1 Characters of $\oplus, \otimes$

Let  $k$  be a field,  $G$  a group and  $V, W$  finite dimensional left  $kG$ -modules.

**Lemma 25.1.** For any  $g \in G$ ,

- (1)  $\chi_{V \oplus W}(g) = \chi_V(g) + \chi_W(g)$
- (2)  $\chi_{V \otimes W}(g) = \chi_V(g)\chi_W(g)$

*Proof.* (1) Just take the trace of

$$\rho_{V \oplus W}(g) = \begin{pmatrix} \rho_V(g) & 0 \\ 0 & \rho_W(g) \end{pmatrix}$$

and the result follows.

- (2) Follows from proposition (23.2) [since  $\rho_{V \otimes W} = \rho_V(g) \otimes \rho_W(g)$ ].

□

### 25.2 Orthogonality

For the rest of this section, assume  $k$  is an algebraically closed field and  $hk \nmid |G| < \infty$  (so  $kG$  is finite dimensional and semisimple by Maschke's theorem).

For  $V, W$  left  $kG$ -modules, recall  $\text{Hom}_k(V, W)$  is also a  $kG$ -module. Hence given  $a \in kG$  we have the linear map  $\lambda_a : \text{Hom}_k(V, W) \rightarrow \text{Hom}_k(V, W)$  defined by left multiplication by  $a$ .

From section 17 we know if  $e = \frac{1}{|G|} \sum_{g \in G} g$  then the Reynold's operator  $\lambda_e$  is a projection onto  $\text{Hom}_k(V, W)^G = \text{Hom}_{kG}(V, W)$ .

**Theorem 25.1** (First orthogonality relation). *Let  $V, W$  be simple left  $kG$ -modules, and  $\chi_V, \chi_W$  their characters. Then*

$$\frac{1}{|G|} \sum_{g \in G} \chi_V(g^{-1})\chi_W(g) \stackrel{(*)}{=} \dim_k \left( \text{Hom}_k(V, W)^G \right) \stackrel{\text{Schur}}{=} \begin{cases} 1 & V \cong W \\ 0 & V \not\cong W. \end{cases}$$

*Proof.* Schur's lemma implies that it suffices to prove (\*). We have

$$\begin{aligned} \dim_k \left( \text{Hom}_k(V, W)^G \right) &= \text{tr}(\lambda_e : \text{Hom}_k(V, W) \rightarrow \text{Hom}_k(V, W)) \text{ [lemma 24.2]} \\ &= \frac{1}{|G|} \sum_{g \in G} \text{tr}(\lambda_g : \text{Hom}_k(V, W) \rightarrow \text{Hom}_k(V, W)) \\ &= \frac{1}{|G|} \sum_{g \in G} \text{tr}(\lambda_g : V^* \otimes W \rightarrow V^* \otimes W) \text{ [prop 24.3]} \\ &= \frac{1}{|G|} \sum_{g \in G} \chi_{V^* \otimes W}(g) \\ &= \frac{1}{|G|} \sum_{g \in G} \chi_{V^*}(g)\chi_W(g) \text{ [lemma 25.1]} \\ &= \frac{1}{|G|} \sum_{g \in G} \chi_V(g^{-1})\chi_W(g) \text{ [prop 24.2]} \end{aligned}$$

□

Theorem 25.1 suggests defining the following inner product (that is, mid-k-linear map) on  $F_k(G) \times F_k(G)$ :

$$\langle \chi, \chi' \rangle := \frac{1}{|G|} \sum_{g \in G} \chi(g^{-1})\chi'(g).$$

Let  $\chi_1, \dots, \chi_r$  be the irreducible characters of  $G$ , and  $C_1, \dots, C_r$  the conjugacy classes. Theorem 25.1 can be restated as

**Corollary 25.1.**  $\{\chi_1, \dots, \chi_r\}$  is an orthonormal basis for the  $r$ -dimensional space of class functions  $F_k(G)$ .

There is another orthogonality relation.

Recall that  $X = (\chi_i(C_j))_{i,j=1}^r$  is the character table. Let

$$\Gamma = \begin{pmatrix} |C_1| & 0 & \dots & 0 \\ \vdots & |C_2| & & \vdots \\ \vdots & & \ddots & \vdots \\ 0 & \dots & 0 & |C_r| \end{pmatrix}$$

$$Y = (\chi_i(C_j^{-1}))_{i,j=1}^r.$$

Then  $X, \Gamma, Y \in M_r(k)$ .

**Exercise.** (1) If  $k = \mathbb{C}$ ,  $Y = \overline{X}^T$

(2) Theorem 25.1 can be rewritten as

$$X\Gamma Y = |G|I_r$$

(3)  $\Gamma Y X = |G|I_r$

Then we have

**Theorem 25.2** (Second orthogonality relation).

$$\frac{1}{|G|} \sum_{l=1}^r \chi_l(C_i^{-1})\chi_l(C_j) = \delta_{ij}.$$

### 25.3 Decomposing $kG$ -modules into a direct sum of simples

**Theorem 25.3.** *Let  $k$  be an algebraically closed field with  $\text{char } k = 0$ . Let  $V$  be a finite dimensional  $kG$ -module. Then  $\chi_V$  determines the isomorphism class of  $V$  as follows:*

(1)  $\chi_V = \sum_i n_i \chi_i$  where the Fourier coefficients are given by

$$n_i = \langle \chi_V, \chi_i \rangle$$

(2)  $V \cong \bigoplus_{i=1}^r V_i^{n_i}$  where  $V_i$  is the simple  $kG$ -module with character  $\chi_i$ .

*Proof.* Easy. We know  $V \cong \bigoplus V_i^{n_i}$  for some  $n_i \in \mathbb{N}$ , but lemma (25.1)(1) implies that  $\chi_V = \sum n_i \chi_i$ . Part (1) (and hence (2)) follows from orthogonality.  $\square$

**Proposition 25.1.** Let  $k$  be an algebraically closed field,  $\text{char } k = 0$  and  $V$  a finite dimensional  $kG$ -module. Then  $V$  is simple if and only if

$$\langle \chi_V, \chi_V \rangle = 1.$$

*Proof.* Write  $\chi_V = \sum n_i \chi_i$ , then

$$\langle \chi_V, \chi_V \rangle = \sum n_i^2.$$

This is 1 if and only if all  $n_i = 0$  except one.  $\square$

$g \in C_i$	1	(12)(34)	(123)	(132)
$ C_i $	1	3	4	4
$\chi_0$	1	1	1	1
$\chi_1$	1	1	$\omega$	$\omega^2$
$\chi_2$	1	1	$\omega^2$	$\omega$
$\chi_3$	$3 = \dim V_i$	$a$	$b$	$c$

Table 2: Character table for  $A_4$

**Example 25.1.**  $G = A_4 \leq S_3$ .

Let  $\omega = e^{2\pi i/3}$ . We saw before what all of the one-dimensional representations of  $A_4$  are, these give the first three rows of the character table below.

Using orthogonality, we can deduce the values for the three-dimensional character  $\chi_3$ . We know

$$\begin{aligned} \langle \chi_0, \chi_3 \rangle = 0 &\implies 3 + 3a + 4b + 4c = 0 \\ \langle \chi_1, \chi_3 \rangle = 0 &\implies 3 + 3a + 4\omega^2 b + 4\omega c = 0 \\ \langle \chi_2, \chi_3 \rangle = 0 &\implies 3 + 3a + 4\omega b + 4\omega^2 c = 0 \end{aligned}$$

From these, we deduce  $a = -1, b = c = 0$ .

## 26 Example from Harmonic Motion

OMITTED

## 27 Adjoint Associativity and Induction

To keep track of scalars acting on modules we let  ${}_R M$  denote a left  $R$ -module,  $N_S$  a right  $S$ -module and  ${}_R L_S$  an  $(R, S)$ -bimodule.

### 27.1 Adjoint Associativity

Let  $R, S, T$  be rings,  $M, N$  modules with scalars given in the above notation.

**Proposition 27.1.** (1) The abelian group  $\text{Hom}_R({}_R M_S, {}_R N)$  is a left  $S$ -module with scalar multiplication given by

$$(s\varphi)(m) := \varphi(ms)$$

(2) Similarly  $\text{Hom}_R({}_R M, {}_R N_T)$  is a right  $T$ -module with scalar multiplication

$$(\varphi t)(m) := \varphi(m)t$$

(3)  $\text{Hom}_R({}_R M_S, {}_R N_T)$  is an  $(S, T)$ -bimodule.

*Proof.* Exercise: this can be proved using the functoriality of  $\text{Hom}_R(-, -)$  or just checking module axioms directly. □

For a subring  $S$  of a ring  $R$ , recall we have an  $(R, S)$ -bimodule  ${}_R R_S$ .



**Proposition 27.2.** The isomorphism of abelian groups from section 5

$$\begin{aligned}\Phi : {}_S \text{Hom}_R({}_R R_S, {}_R M) &\longrightarrow M \\ \varphi &\longmapsto \varphi(1)\end{aligned}$$

is  $S$ -linear.

*Proof.* Just check  $S$ -linearity. We know  $\Phi$  is additive so consider  $s \in S$ , so we have

$$\begin{aligned}\Phi(s\varphi) &= (s\varphi)(1) \\ &= \varphi(s) \\ &= s\varphi(1) \\ &= s\Phi(\varphi).\end{aligned}$$

□

**Theorem 27.1** (Adjoint Associativity). *Let  $R, S, T$  be rings and consider modules  ${}_R B_S, {}_S M$  and  ${}_R N$ .*

(1) *The following is an isomorphism of groups*

$$\begin{aligned}\Phi : \text{Hom}_R({}_R B_S \otimes_S {}_S M, {}_R N) &\longrightarrow \text{Hom}_S({}_S M, {}_S \text{Hom}_R({}_R B_S, {}_R N)) \\ \varphi &\longmapsto (m \mapsto \varphi(- \otimes m))\end{aligned}$$

(2) *If  $M$  is an  $(S, T)$ -bimodule, this map is left  $T$ -linear. If  $N$  is an  $(R, T)$ -bimodule, then this map is right  $T$ -linear.*

*Proof.* Purely formal but long and tedious and mainly left as an exercise.

**Exercise.** • Check  $\Phi$  is well defined, that is

- $\varphi(- \otimes m)$  is  $R$ -linear
- $m \mapsto \varphi(- \otimes m)$  is  $S$ -linear

- Check  $\Phi$  is additive
- Use the universal property to construct an inverse  $\Psi$  to  $\Phi$  as follows:

Suppose given  $S$ -linear map  $\psi : M \rightarrow \text{Hom}_R(B, N)$ . We get a mid- $S$ -linear map

$$\begin{aligned}\tilde{\varphi} : B \otimes M &\longrightarrow N \\ (b, m) &\longmapsto [\psi(m)](b).\end{aligned}$$

Indeed, this is clearly additive in  $m$  and  $b$ , and

$$\begin{aligned}\tilde{\varphi}(bs, m) &= [\psi(m)](bs) \\ &= [s(\psi(m))](b) \\ &= [\varphi(sm)](b) \\ &= \tilde{\varphi}(b, sm).\end{aligned}$$

- Check  $\Psi = \Phi^{-1}$
- Check linearity in the case (2).

□

## 27.2 Induced Modules

Let  $k$  be a field,  $G$  a group and  $H$  a subgroup of  $G$ .  $kH$  is a subring of  $kG$ , and we also get the bimodule  ${}_kGkG_{kH}$ .

**Definition 27.1.** Let  $V$  be a left  $kH$ -module. The **induced module** is the  $kG$ -module

$${}_kGkG_{kH} \otimes_{kH} V =: \text{Ind}_H^G(V).$$

**Corollary 27.1** (Frobenius reciprocity). *With this notation,*

$$\text{Hom}_{kG}({}_kG \otimes_{kH} V, {}_kGW) = \text{Hom}_{kH}(V, {}_kHW).$$

*Proof.* Use theorem 27.1 and proposition 27.2. □

**Example 27.1.** Consider the dihedral group

$$G = D_n = \langle \sigma, \tau \mid \sigma^n = 1 = \tau^2, \tau\sigma = \sigma^{-1}\tau \rangle.$$

Let  $H = \langle \sigma \rangle$ .

Suppose we are given a one-dimensional  $\mathbb{C}H$ -module  $V = \mathbb{C}v$ . Hence  $\sigma v = \omega v$  for some  $n$ th root of unity  $\omega$ . What is  $\text{Ind}_H^G(V) = \mathbb{C}G \otimes_{\mathbb{C}H} V$ ? Observe

$$\begin{aligned} \mathbb{C}G \otimes_{\mathbb{C}H} V &= (\mathbb{C}G \otimes_{\mathbb{C}H} V) \oplus (\tau\mathbb{C}H \otimes_{\mathbb{C}H} V) \\ &= (1 \otimes V) \oplus (\tau \otimes V) \end{aligned}$$

as  $\mathbb{C}$ -spaces. Therefore  $W = \text{Ind}_H^G(V)$  is two-dimensional with  $\mathbb{C}$ -basis  $\{1 \otimes v, \tau \otimes v\}$ .

What is  $\rho_W : G \rightarrow GL_2(\mathbb{C})$  with respect to this basis?

We note that  $\tau$  swaps  $1 \otimes v$  with  $\tau \otimes v$  so

$$\rho_W(\tau) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

Furthermore, we have

$$\begin{aligned} \sigma(1 \otimes v) &= \sigma \otimes v = 1 \otimes \sigma v = 1 \otimes \omega v = \omega(1 \otimes v) \\ \sigma(\tau \otimes v) &= (\sigma\tau \otimes v) = \tau\sigma^{-1} \otimes v = \tau \otimes \omega^{-1}v = \omega^{-1}(\tau \otimes v) \end{aligned}$$

so

$$\rho_W(\sigma) = \begin{pmatrix} \omega & 0 \\ 0 & \omega^{-1} \end{pmatrix}.$$

If  $\omega \neq \omega^{-1}$  these give the two-dimensional simple  $\mathbb{C}G$ -modules.

## 28 Annihilators and the Jacobson Radical

### 28.1 Restriction Functor

Let  $R$  be a ring,  $I \triangleleft R$  and consider the canonical quotient map  $\pi : R \rightarrow R/I$ .

Recall from section 5 (using the universal property of quotients) that we have a “restriction” functor

$$\begin{aligned} \rho : \mathbf{mod} - R/I &\rightarrow \mathbf{mod} - R \\ M &\mapsto M_R \end{aligned}$$

where the  $R$ -module structure on  $M$  is given by

$$mr := m\pi(r) = m(r + I).$$

**Exercise.** This is an additive functor.

**Proposition 28.1.** The restriction functor  $\rho$  identifies the class of (right)  $R/I$ -modules with the class of right  $R$ -modules such that  $MI = 0$ .

*Proof.* Suppose  $M \in \mathbf{mod}\text{-}R/I$ . Then it is clear  $M_R I = 0$ .

Conversely, suppose  $M_R \in \mathbf{mod}\text{-}R$  such that  $M_R I = 0$ . We get an  $R/I$ -module on the underlying abelian group  $M$  of  $M_R$  by defining  $m(r + I) := mr$ , which is well defined because  $M_R I = 0$ .  $\square$

## 28.2 Annihilators

**Definition 28.1.** Let  $R$  be a ring,  $M \in \mathbf{mod}\text{-}R$ ,  $I \triangleleft R$ ,  $N \subseteq M$ . We say  $I$  **annihilates**  $N$  if  $NI = 0$ .

[Note that  $NI = \{\sum_i m_i r_i \mid m_i \in N, r_i \in I\}$ ].

The **right annihilator** of  $N$  in  $R$  is

$$r \operatorname{ann}_R(N) = \operatorname{ann}_R(N) = \{r \in R \mid nr = 0 \ \forall n \in N\}$$

that is, the maximal subset of  $R$  which annihilates  $N$ .

**Proposition 28.2.** With the above notation,

- (1)  $\operatorname{ann}_R(N)$  is a right ideal of  $R$
- (2) If  $N$  is a submodule then  $\operatorname{ann}_R(N)$  is an ideal.

*Proof.* Just need to check closure axioms. Let  $n \in N$ ,  $r', r'' \in \operatorname{ann}_R(N)$ ,  $r \in R$ .

- (1)
  - $n0 = 0 \implies 0 \in \operatorname{ann}_R(N)$
  - $n(r' + r'') = 0 \implies r' + r'' \in \operatorname{ann}_R(N)$
  - $n(-r') = -nr' = 0 \implies -r' \in \operatorname{ann}_R(N)$
  - $n(r'r) = 0r = 0 \implies r'r \in \operatorname{ann}_R(N)$
- (2) Since  $nr \in N$  we have  $n(rr') = (nr)r' = 0$  so  $rr' \in \operatorname{ann}_R(N)$ .

$\square$

**Example 28.1.** Consider the product of rings  $R = R_1 \times \cdots \times R_n$ . Let  $I = 0 \times R_2 \times \cdots \times R_n$  be the kernel of the projection map  $\pi_1 : R \rightarrow R_1$  (and therefore an ideal of  $R$ ).

Recall every  $R$ -module has the form  $M_1 \times \cdots \times M_n$  for  $R_i$ -modules  $M_i$ . Those of the form  $M_1 \times 0 \times \cdots \times 0$  are annihilated by  $I$ .

**Example 28.2.** Let  $N_R, N'_R \leq M_R$ . Suppose  $I$  annihilates  $N$  and  $I'$  annihilates  $N'$ . Then  $I \cap I'$  annihilates  $N + N'$ .

Indeed, see that given any  $r \in I \cap I'$ ,  $n \in N$ ,  $n' \in N'$  we have

$$(n + n')r = nr + nr' = 0.$$

**Lemma 28.1.** Let  $M \in \mathbf{mod}\text{-}R$ .

- (1) Given any  $m \in M$  we have  $mR \cong R/\operatorname{ann}_R(m)$ .
- (2) Suppose  $M$  is simple. Then for any  $m \in M \setminus \{0\}$ ,  $\operatorname{ann}_R(M)$  is a maximal right ideal. Conversely, any maximal right ideal  $I \triangleleft R$  is the annihilator of  $1 + I \in R/I$  in the simple module  $R/I$ .

*Proof.* (1)  $\implies$  (2) exercise.

For (1) use the first isomorphism theorem on  $R \xrightarrow{\lambda_M} M$ .  $\square$

### 28.3 Jacobson Radical

**Theorem 28.1.** *Let  $R$  be a ring.*

(1) *The following subsets of  $R$  are equal:*

$$J_1 = \bigcap_S \text{ann}_R(S) \text{ for } S \text{ ranging over all simple } R\text{-modules}$$

$$J_2 = \bigcap_I I \text{ for } I \text{ ranging over all maximal right ideals of } R$$

(2) *The common subset  $J_1 = J_2$  in (1) is an ideal, called the **Jacobson radical** of  $R$  and is denoted  $J(R)$  (or sometimes  $\text{rad } R$ ).*

(3) *Via the restriction functor  $\rho : \underline{\text{mod}}\text{-}R/J(R) \rightarrow \underline{\text{mod}}\text{-}R$ , the semisimple  $R$ -modules are precisely the semisimple  $R/J(R)$  modules.*

*Proof.* Proposition (28.2)(2) implies (2). Furthermore, proposition (28.1) implies (3). It remains to prove (1). But we have

$$\begin{aligned} J_1 &= \bigcup_S \text{ann}_R(S) \\ &= \bigcup_S \bigcup_{s \in S} \text{ann}_R(s) \\ &= \bigcup_{S, s \in S \setminus \{0\}} \text{ann}_R(s) \\ &= \bigcup_{I \text{ max}} I \\ &= J_2. \end{aligned}$$

□

**Definition 28.2.** Say  $R$  is **semiprimitive** if  $J(R) = 0$ .

**Example 28.3.**  $\mathbb{Z}$  is semiprimitive as

$$J(\mathbb{Z}) = \langle 2 \rangle \cap \langle 3 \rangle \cap \dots = 0.$$

**Definition 28.3.** Let  $R$  be a ring and  $r \in R$ . We say  $r$  is **nilpotent** if  $r^n = 0$  for some  $n > 0$ . Similarly,  $S \subseteq R$  is nilpotent if  $S^n = 0$  for some  $n$ .

**Proposition 28.3.** Let  $R$  be a ring,  $I \subseteq R$  be a nilpotent right ideal. Then  $I \subseteq J(R)$ .

*Proof.* It suffices to show  $I \subseteq \text{ann}_R(S)$  for any simple  $R$ -module  $S$ .

Note that  $SI$  is a submodule of  $S$  so by simplicity is either 0 or  $S$ . Suppose by way of contradiction that  $SI = S$ . Pick  $n$  large enough so  $I^n = 0$ , then

$$S = SI = SI^2 = \dots = SI^n = 0$$

which is a contradiction. So  $SI = 0$  and therefore  $I \subseteq \text{ann}_R(S)$  as required.

□

**Example 28.4.** Let  $G$  be a finite group,  $k$  a field with  $\text{char } k \mid |G|$ . Consider  $\Sigma = \sum_{g \in G} g$ . Note that for any  $h \in G$  we have

$$h\Sigma = \Sigma = \Sigma h.$$

Therefore,  $k\Sigma$  is an ideal of  $kG$ . Also,  $(k\Sigma)^2 = k\Sigma^2 = k|G|\Sigma = 0$ , so  $k\Sigma$  is nilpotent, and  $J(kG) \supseteq k\Sigma$ .

**Exercise.** Consider rings  $R, S$  and  $(R, S)$ -bimodule  $B$ . We have the ring

$$\begin{pmatrix} R & B \\ 0 & S \end{pmatrix}$$

with entrywise addition and matrix multiplication (which is well defined as  $B$  is an  $(R, S)$ -bimodule).

Then

$$\begin{pmatrix} 0 & B \\ 0 & 0 \end{pmatrix}$$

is a nilpotent ideal.

## 29 Nakayama Lemma and the Wedderburn-Artin Theorem

Fix a ring  $R$ .

### 29.1 NAK Lemma

**Lemma 29.1.** Let  $I$  be a right ideal of  $R$ . Then every element of  $1 + I$  has a right inverse in  $R$  if and only if  $1 + I \subseteq R^*$ .

*Proof.* The reverse direction is clear as two-sided inverses are trivially right inverses as well.

We prove the forward direction. Suppose  $r \in I$ , so  $1 + r$  has right inverse  $1 + s \in R$ . Then

$$1 = (1 + r)(1 + s) = 1 + r + s + rs$$

so  $s = -r - rs \in I$ . Therefore  $1 + s$  has right inverse, say  $1 + r'$ . By then

$$(1 + r) = (1 + r)(1 + s)(1 + r') = (1 + r').$$

Hence  $(1 + s)$  is also a left inverse of  $1 + r' = 1 + r$ , and so  $1 + r \in R^*$ . □

**Lemma 29.2** (Nakayama-Azumaya-Krull (NAK)). Let  $M$  be a finitely generated  $R$ -module and  $I$  a right ideal of  $R$  such that  $1 + I \subseteq R^*$ . If  $MI = M$  then  $M = 0$  – that is,  $MI \subsetneq M$  unless  $M = 0$ .

*Proof.* By induction on the number of generators  $n$  with  $n = 0$  being what we wish to show.

Suppose  $M = m_1R + \dots + m_nR$ . If  $MI = M \ni m_n = m_1r_1 + \dots + m_n r_n$  with  $r_i \in I$ . So

$$m_n(1 - r_n) = m_1r_1 + \dots + m_{n-1}r_{n-1}.$$

But  $-r_n \in I$  so  $1 - r_n \in R^*$  by the assumption. Therefore

$$m_n = m_1r_1(1 - r_n)^{-1} + \dots + m_{n-1}r_{n-1}(1 - r_n)^{-1}$$

so  $M$  is generated by  $m_1, \dots, m_{n-1}$  and we are done by induction. □

**Corollary 29.1.** Let  $I$  be a right ideal of  $R$  such that  $1 + I \in R^*$ . For any simple right  $R$ -module  $M$  we have  $MI = 0$ . In particular,  $I \subseteq J(R)$ .

*Proof.* Simplicity of  $M$  implies that  $MI = 0$  or  $M$ . Nakayama's lemma then tells us that  $MI = 0$ . □

### 29.2 Properties of the Jacobson Radical

**Proposition 29.1.**  $1 + J(R) \subseteq R^*$ .

*Proof.* Let  $r \in J(R)$ , and suppose by way of contradiction that  $1 + r$  is not invertible. Then lemma 29.1 implies that it doesn't have a right inverse, and so  $(1 + r)R \subsetneq R$ .

Zorn's lemma implies that there is a maximal right ideal  $I$  which contains  $(1 + r)R$ . But now  $I \supseteq J(R)$  so  $I \ni r, 1 + r \implies I \ni 1$  which contradicts the maximality of  $I$ .  $\square$

**Theorem 29.1.** *The Jacobson radical is equal to any of the subsets listed below*

$$J_a(R) = \bigcap_{S \text{ left simple}} \text{ann}_R(S)$$

$$J_b(R) = \bigcap_{I \text{ left maximal}} I$$

$$J_c(R) = \text{the largest right (or left or two-sided) ideal such that } 1 + I \subseteq R^*.$$

*Proof.*  $J_a(R) = J_b(R)$  by the same proof as in theorem (28.1). Corollary (29.1) and proposition (29.1) imply that  $J(R)$  is a maximal right (or left) ideal satisfying  $1 + I \subseteq R^*$ . It is two-sided so the three subsets in (3) are all equal to  $J(R)$ .

Left-right symmetry in (3) gives  $J_a(R) = J(R)$ .  $\square$

### 29.3 Wedderburn-Artin Theorem

**Theorem 29.2** (Wedderburn-Artin). *A ring  $R$  is semisimple if and only if it is semiprimitive and is (right) artinian.*

*Proof.* Suppose that  $R$  is semisimple, so  $R_R = \bigoplus_{j=1}^n I_j$  where  $I_j$  are simple right  $R$ -modules (that is, minimal right ideals). Since  $I_j$  is artinian, so is  $R_R$ .

Note  $M_j := \sum_{i \neq j} I_i$  is a maximal right ideal as  $R/M_j \cong I_j$  which is simple. It follows that

$$J(R) \subseteq \bigcap_{j=1}^n M_j = 0.$$

For the converse, we note that the descending chain condition and semiprimitivity of  $R$  imply the following facts

- (a) Any right ideal  $I$  contains a minimal right ideal  $I'$
- (b) Any minimal right ideal  $I$  of  $R$  is a direct summand of  $R_R$

Why?  $J(R) = \bigcap_L L$  where  $L$  ranges over all maximal right ideals. Thus there is a maximal right ideal  $L$  such that  $I \cap L \subsetneq I$ . But  $I$  is simple, so  $I \cap L = 0$ . Also,  $L$  is maximal and so  $I + L = R$  and therefore  $R = I \oplus L$ .

We wish to show  $R_R$  is a direct sum of simple modules by constructing two sequences of ideals  $I_n, I'_n$  such that

- (i)  $R_R \cong I_n \oplus I'_n$

(ii)  $I_n$  is the internal direct sum of minimal right ideals

(iii)  $I'_n \supsetneq I'_{n+1}$ .

Given these sequences, the descending chain condition implies that eventually  $I'_n = 0$  for large enough  $n$ , so  $R_R = I_n$  is a direct sum of simples. To generate the sequences, we start with  $I_0 = 0$  so  $I'_0 = R$ . Assume that  $I_n, I'_n$  are defined. Fact (a) implies that we can find a minimal right ideal  $I''_n$  contained in  $I'_n$ . Fact (b) then implies that the inclusion map  $I''_n \hookrightarrow R_R$  splits, and so the inclusion  $I''_n \hookrightarrow I'_n$  also splits. Hence

$$I'_n = I''_n \oplus I'_{n+1}$$

for some ideal  $I'_{n+1}$ . Define  $I_{n+1} = I_n \oplus I''_n$  and we are done. □

**Definition 29.1.** A ring  $R$  is **simple** if it has no nontrivial ideals (that is, the only two-sided ideals are 0 and  $R$ ).

**Proposition 29.2.** A ring  $R$  is simple (right) artinian if and only if  $R \cong M_n(D)$  for some division ring  $D$ .

*Proof.* Suppose  $R$  is a simple artinian ring. Then simplicity implies that  $J(R) = 0$  so the Wedderburn-Artin theorem implies that  $R$  is semisimple.

The wedderburn theorem then implies that  $R \cong \prod_{i=1}^n M_{n_i}(D_i)$  for division rings  $D_i$ . Simplicity then implies that  $n = 1$  (else  $M_{n_1}(D_1) \times 0 \times \dots \times 0$  is a nontrivial ideal).

Proof of the converse is an exercise in the computation of ideals. □

## 30 Radicals and Artinian Rings

### 30.1 Nilpotence

**Theorem 30.1.** Let  $R$  be a (right) artinian ring. Then  $J(R)$  is the unique largest nilpotent right ideal of  $R$ .

*Proof.* Proposition (28.3) implies that any nilpotent right ideal is contained in  $J(R)$  so it suffices to show  $J(R)$  is nilpotent. The DCC implies that for  $n$  large enough, we have

$$J(R)^n = J(R)^{n+1} = \dots$$

Suppose  $J(R)$  is not nilpotent, so  $J(R)^{n+1} \neq 0$  (that is,  $J(R)J(R)^n \neq 0$ ). Hence DCC implies that we can find a right ideal  $I$  satisfying

$$IJ(R)^n \neq 0 \tag{30.1}$$

such that  $I$  is minimal amongst right ideals satisfying (30.1). Pick  $x \in I$  such that  $xJ(R)^n \neq 0$ . Then  $xR \subseteq I$  and  $xRJ(R)^n \neq 0$  so  $xR = I$ .

Also,  $0 \neq IJ(R)^n \leq I$  and

$$(IJ(R)^n)J(R)^n = IJ(R)^{2n} = IJ(R) \neq 0$$

(this comes from the fact that the minimality of  $I$  implies that  $I = IJ(R)^n$ ). Now, the Nakayama lemma and the fact that  $I$  is finitely generated (by  $x$ ) imply that  $I = 0$ , a contradiction. □

**Lemma 30.1.** Let  $R$  be a ring, and  $I$  an ideal contained in  $J(R)$ . Then

$$J(R/I) = J(R)/I.$$

*Proof.* This is clear from the definition of  $J(R/I)$  and  $J(R)$  as an intersection of maximal right (or left) ideals.  $\square$

**Corollary 30.1.** Let  $R$  be a (right) artinian ring. Then the Jacobson radical is the only nilpotent ideal  $I$  with  $R/I$  semisimple.

*Proof.* We know  $J(R)$  is nilpotent. Also, lemma (30.1) implies that  $J(R/J(R)) = J(R)/J(R) = 0$ , so  $R/J(R)$  is semiprimitive. Furthermore, as  $R$  is artinian, so is  $R/J(R)$ . The Wedderburn-Artin theorem then implies that  $R/J(R)$  is semisimple.

Suppose conversely that  $I \triangleleft R$  is nilpotent, and  $R/I$  is semisimple. We know  $I \subseteq J(R)$ . Also, the nilpotency of  $J(R)$  implies that  $J(R)/I \triangleleft R/I$  is nilpotent. But  $R/I$  is semisimple, and therefore has no nonzero nilpotent ideals (as any such is contained in  $J(R/I)$ ). Therefore  $J(R)/I = 0$  and thus  $J(R) = I$ .  $\square$

### 30.2 DCC implies ACC

**Theorem 30.2** (Hopkins-Levitzki). Let  $R$  be a right artinian ring. Then  $R$  is also right noetherian.

*Proof.* Theorem (30.1) implies that we have a sequence of ideals

$$R > J(R) > J(R)^2 > \cdots > J(R)^n = 0.$$

It suffices to show each quotient  $M_i = J(R)^i/J(R)^{i+1}$  is a noetherian right  $R$ -module.

Note that  $J(R)$  annihilates  $M_i$  so  $M_i$  is an  $R/J(R)$ -module. Now, the Wedderburn-Artin theorem implies that  $R/J(R)$  is semisimple. So  $M_i$  is a direct sum of simple  $R/J(R)$ -modules (which correspond to simple  $R$ -modules). But  $M_i$  is also an artinian module, so this is a (exercise) finite direct sum. Now, simple modules are noetherian so  $M_i$  is as well.  $\square$

### 30.3 Computing Some Radicals

**Example 30.1.** Let  $R_1, R_2$  be simple artinian rings and consider the  $(R_1, R_2)$ -bimodule  ${}_R B_{R_2}$  such that  $B_{R_2}$  is artinian.

**Exercise.** Then

$$R = \begin{pmatrix} R_1 & B \\ 0 & R_2 \end{pmatrix}$$

is artinian because it is right artinian over  $\begin{pmatrix} R_1 & 0 \\ 0 & R_2 \end{pmatrix}$ .

Then  $J = \begin{pmatrix} 0 & B \\ 0 & 0 \end{pmatrix}$  is a nilpotent ideal and

$$R/J = \begin{pmatrix} R_1 & 0 \\ 0 & R_2 \end{pmatrix} \cong R_1 \times R_2$$

which is semisimple.

Corollary (30.1) implies that  $J = J(R)$ .



A consequence of this is that the subring  $R = \begin{pmatrix} M_2(k) & M_2(k) \\ 0 & M_2(k) \end{pmatrix}$  of  $M_4(k)$  has Jacobson radical  $\begin{pmatrix} 0 & M_2(k) \\ 0 & 0 \end{pmatrix}$ .

**Definition 30.1.** Let  $R$  be a ring, and  $x \in R$ . We say  $x$  is **normal** if  $xR = Rx$ . In this case,  $xR$  is a two-sided ideal.

**Remark.** Let  $x \in R$  be normal and nilpotent. Then  $xR$  is a nilpotent ideal. Why? Suppose  $x^n = 0$ , then

$$(xR)^n = xRxR \dots xR = x^2R^2xRxR \dots xR = x^nR = 0.$$

**Example 30.2.** Let  $k$  be a field,  $\zeta \in k$  be a primitive  $n$ th root of unity. We let

$$R = \frac{k\langle x, y \rangle}{\langle yx - \zeta xy, x^n, y^n - \alpha \rangle}$$

for some  $\alpha \in k$  such that  $y^n - \alpha \in k[y]$  is irreducible. We then want to find  $J(R)$ .

$R$  is finite dimensional over  $k$  with spanning set  $\{x^i y^j\}_{i,j=0}^{n-1}$ , so  $R$  is artinian.

Now  $x \in R$  is nilpotent and is normal because by using  $yx - \zeta xy = 0$  we can write any  $p(x, y)x$  as  $xq(x, y)$  for some  $q(x, y) \in R$ . Thus  $Rx \subseteq xR$ . A similar argument gives  $xR \subseteq Rx$  and so  $x$  is normal.

The remark then implies that  $xR \subseteq J(R)$ .

**Claim 30.1.**  $xR = J(R)$ .

This follows because

$$\begin{aligned} R/xR &= \frac{k\langle x, y \rangle}{\langle yx - \zeta xy, x^n, y^n - \alpha, x \rangle} \\ &= \frac{k[y]}{\langle y^n - \alpha \rangle} \end{aligned}$$

which is a field. This is semisimple so corollary (30.1) implies  $xR = J(R)$ .