

# Algebraic Geometry Seminar: Etale Cohomology

## III

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### 1 All things etale: degrees, sites and sheaves...

#### 1.1 The degree of an etale map

**Definition 1.** Let  $\varphi: Y \rightarrow X$  be an etale map. This morphism induces an homomorphism of function fields

$$K(X) \hookrightarrow K(Y)$$

and we define the degree of  $\varphi$  to be the degree of the field extension  $[K(X):K(Y)]$ .

**Remark 2.** If the degree of  $\varphi$  is  $n$ , geometrically this means that above each  $y \in Y$  there sit  $n$  points of  $X$  mapping to  $y$ . Draw this!

#### 1.2 Grothendieck topologies and sites

Recall that a Grothendieck topology is a category  $\mathcal{C}$  and a set  $\text{CovT}$  of families of maps  $\{\phi_i: U_i \rightarrow U\}_{i \in I}$  such that

- I.  $\{U \xrightarrow{\sim} U\} \in \text{CovT}$ .
- II.  $\{U_i \rightarrow U\}_{i \in I} \in \text{CovT}$  and  $\forall i, \{V_{ij} \rightarrow U_i\}_{j \in J} \in \text{CovT}$ , then  $\{V_{ij} \rightarrow U\}_{i \in I, j \in J} \in \text{CovT}$ .
- III.  $\{U_i \rightarrow U\}_{i \in I} \in \text{CovT}$  and  $V \rightarrow U$  an arbitrary morphism in  $\text{CatT}$  implies

$$\{U_i \times_U V \rightarrow V\}_{i \in I} \in \text{CovT}.$$

This system of coverings is called a *topology* and the category  $\mathcal{C}$  equipped w/ the topology is called a site.

**Example 3.** • The site  $X_{zar}$  consists of the category  $\mathcal{C}$  of open subsets of  $X$  w/ morphisms inclusions.

- The site  $X_{et}$  consists of the category  $Et/X$  of schemes etale over  $X$  w/ morphisms  $X$ -morphisms  $\varphi: U \rightarrow V$ . The coverings are surjective families of etale morphisms  $\{U_i \rightarrow U\}$  in  $Et/X$ .
- The site  $X_{fl}$  has underlying category the category of all  $X$ -schemes,  $Sch/X$ . The coverings are surjective families of  $X$ -morphisms  $\{\varphi: U_i \rightarrow U\}$  with each  $\varphi_i$  flat and of finite type.
- Let  $G$  be a profinite group. The site  $\mathbf{T}_G$  has underlying category the set of all finite discrete  $G$ -sets. Coverings are surjective families of  $G$ -maps.

#### 1.3 Sheaves for the etale topology

A *presheaf of sets* on a site  $\mathbf{T}$  is a contravariant functor  $\mathcal{F}: \text{Cat}(\mathbf{T}) \rightarrow \text{Sets}$ . A *sheaf* is a presheaf  $\mathcal{F}$  that satisfies the sheaf condition, i.e.

$$0 \rightarrow \mathcal{F}(U) \rightarrow \prod_{i \in I} \mathcal{F}(U_i) \rightrightarrows \prod_{i, j \in I} \mathcal{F}(U_i \times_U U_j)$$

is exact for every covering  $\{U_i \rightarrow U\}_{i \in I}$ .

**Example 4.** • For  $U \rightarrow X$  etale, define  $\mathcal{O}_{X_{et}}(U) := \Gamma(U, \mathcal{O}_U)$ . This is a sheaf and is called the structure sheaf on  $X_{et}$ .

- Let  $\mathcal{M}$  be a sheaf of coherent  $\mathcal{O}_X$ -modules. For any etale map  $\varphi: U \rightarrow X$ , we obtain a coherent  $\mathcal{O}_U$ -module  $\varphi^*\mathcal{M}$  on  $U_{zar}$ . Then  $U \mapsto \Gamma(U, \varphi^*\mathcal{M})$  is a presheaf, denoted  $\mathcal{M}^{et}$ .

**Exercise 1.** Show that  $\mathcal{M}^{et}$  is a sheaf.

**Exercise 2.** Show that  $(\mathcal{O}_{X_{zar}})^{et} = \mathcal{O}_{X_{et}}$ .

### 1.3.1 The sheaves on $\text{Spec}(k)$

Let  $\mathcal{F}$  be a presheaf of abelian groups on  $(\text{Spec } k)_{et}$ ,  $k$  a field. We may view this as a covariant functor  $Et/X \rightarrow Ab$ .

**Proposition 5.** *Then  $\mathcal{F}$  is a sheaf  $\iff \mathcal{F}(\bigoplus \mathcal{A}_i) = \bigoplus \mathcal{F}(\mathcal{A}_i)$  and  $\mathcal{F}(k') \simeq \mathcal{F}(K)^{\text{Gal}(K/k')}$  for every finite Galois extension  $K/k'$  of fields w/  $k'$  of finite degree over  $k$ .*

Now let  $k^{sep}$  be a separable closure of  $k$  and let  $G = \text{Gal}(k^{sep}/k)$ . For  $\mathcal{F}$  a sheaf on  $(\text{Spec } k)_{et}$ , define

$$M_{\mathcal{F}} = \varinjlim \mathcal{F}(k'),$$

$k'$  running through the subfields of  $k^{sep}$  that are finite and Galois over  $k$ .  $M_{\mathcal{F}}$  is then a discrete  $G$ -module. There is, in fact, an inverse functor...

**Exercise 3.** Show that the category of sheaves on  $(\text{Spec } k)_{et}$  and the category of discrete  $G$ -modules are equivalent.

### 1.3.2 Skyscraper sheaves

Let  $X$  be a variety and  $x \in X$  a point. For any etale map  $\varphi: U \rightarrow X$ , define

$$\Lambda^x(U) := \bigoplus_{u \in \varphi^{-1}(x)} \Lambda.$$

This means that  $\Lambda^x(U) = 0$  unless  $x \in \varphi(U)$ . However, if  $x \in \varphi(U)$ ,  $\Lambda^x(U) = \bigoplus_{u \in \varphi^{-1}(x) \cap U} \Lambda$ .

## 1.4 Direct images of sheaves

Let  $\varphi: X \rightarrow Y$  be a morphism of varieties and let  $\mathcal{F}$  be a sheaf on  $X_{et}$ . For  $U \rightarrow Y$  etale, set

$$\varphi_*\mathcal{F}(U) = \mathcal{F}(U \times_Y X).$$

This makes sense since  $U \rightarrow Y$  etale  $\implies U \times_Y X \rightarrow X$  etale.

**Proposition 6.** *If  $\mathcal{F}$  is a sheaf on  $X_{et}$ , then  $\varphi_*\mathcal{F}$  is a sheaf on  $Y_{et}$  and is called the direct image of  $\mathcal{F}$ .*

**Exercise 4.** Prove the above proposition.

**Remark 7.**  $\varphi_*$ , when viewed as a functor from the category of sheaves on  $X_{et}$  to the category of sheaves on  $Y_{et}$ , is not exact. For example, if  $\varphi$  is a map from  $X$  to a point, then  $\varphi_*$  is the global sections functor  $\Gamma$ , which we know not to be exact. We will see an example of this shortly.

**Example 8.**  $\mathcal{O} \longrightarrow \mathcal{I}_{0,\infty} \longrightarrow \mathcal{O}_{\mathbb{P}^1} \longrightarrow \mathcal{O}_{\mathbb{P}^1}/\mathcal{I}_{0,\infty} \longrightarrow 0$  is an exact sequence.

## 1.5 In which we concern ourselves with the category of sheaves

**Definition 9.** Working in the category  $PreSh(X_{et})$ , a sequence of presheaves  $0 \longrightarrow \mathcal{P}' \longrightarrow \mathcal{P} \longrightarrow \mathcal{P}'' \longrightarrow 0$  is called exact if

$$0 \longrightarrow \mathcal{P}'(U) \longrightarrow \mathcal{P}(U) \longrightarrow \mathcal{P}''(U) \longrightarrow 0$$

is exact for all  $U \longrightarrow X$  etale.

**Definition 10.** A sequence of sheaves  $0 \longrightarrow \mathcal{S}' \longrightarrow \mathcal{S} \longrightarrow \mathcal{S}'' \longrightarrow 0$  is exact if and only if it is exact at the stalks.

**Remark 11.** If a sequence of sheaves is exact, THIS DOES NOT IMPLY that the sequence will be exact as a sequence of presheaves. However, when we sheafify an exact sequence of presheaves, we DO get an exact sequence of sheaves! That is, the functor

$$i: Sh(X_{et}) \longrightarrow PreSh(X_{et})$$

is not exact BUT

$$a: PreSh(X_{et}) \longrightarrow Sh(X_{et})$$

is.

**Example 12.**  $\mathcal{O} \longrightarrow \mathcal{I}_{0,\infty} \longrightarrow \mathcal{O}_{\mathbb{P}^1} \longrightarrow \mathcal{O}_{\mathbb{P}^1}/\mathcal{I}_{0,\infty} \longrightarrow 0$  is exact at the stalks but not globally.

## 2 Derived functor cohomology

First recall that the functor taking a sheaf  $\mathcal{F}$  to its global sections  $\Gamma(X, \mathcal{F})$  is a left exact functor but NOT necessarily exact. What sheaf cohomology does is measure how far from being exact this functor really is:

Let  $\mathcal{F}$  be a sheaf and choose an injective resolution

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{I}^0 \longrightarrow \mathcal{I}^1 \longrightarrow \mathcal{I}^2 \longrightarrow \dots$$

and, applying the functor  $\Gamma(X, -)$ , obtain

$$\Gamma(X, \mathcal{I}^0) \longrightarrow \Gamma(X, \mathcal{I}^1) \longrightarrow \Gamma(X, \mathcal{I}^2) \longrightarrow \dots$$

Now this sequence is not necessarily exact. It is, however, a complex and thus we are able to define  $H^r(X_{et}, \mathcal{F})$  to be its  $r^{\text{th}}$  cohomology group.

**Remark 13.** We have the following:

- i.  $H^0(X, \mathcal{F}) = \Gamma(X, \mathcal{F})$ ;
- ii. If  $\mathcal{F}$  is injective, then  $H^i(X, \mathcal{F}) = 0, \forall i > 0$ ;
- iii. A short exact sequence  $0 \longrightarrow \mathcal{F}' \longrightarrow \mathcal{F} \longrightarrow \mathcal{F}'' \longrightarrow 0$  gives rise to a long exact sequence

$$0 \longrightarrow H^0(X_{et}, \mathcal{F}') \longrightarrow H^0(X_{et}, \mathcal{F}) \longrightarrow H^0(X_{et}, \mathcal{F}'') \longrightarrow H^1(X_{et}, \mathcal{F}') \longrightarrow \dots$$

ALSO, these three properties determine the functor  $H^r(X, -)$  up to unique isomorphism.

**Remark 14.** Letting  $x = \text{Spec } k$  and noting that  $(M_{\mathcal{F}})^G = \Gamma(x, \mathcal{F})$ , one sees the derived functors of  $M \mapsto M^G$  and  $\mathcal{F} \mapsto \Gamma(x, \mathcal{F})$  correspond, implying that

$$H^r(x, \mathcal{F}) = H^r(G, \mathcal{M}_{\mathcal{F}}).$$

Thus étale cohomology is a generalisation of Galois cohomology.